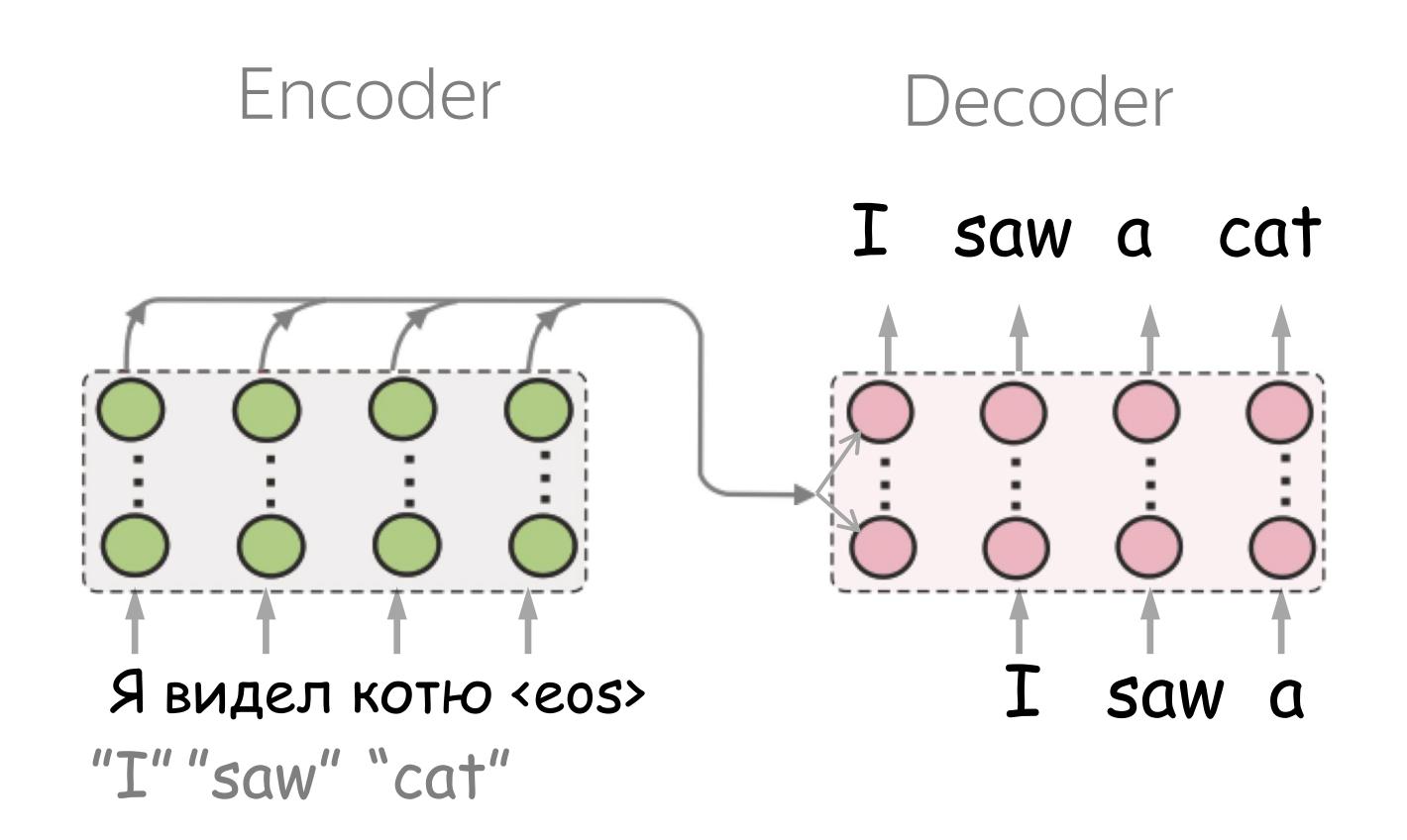
## NMT Analysis: The Trade-Off Between Source and Target, and (a bit of) the Training Process

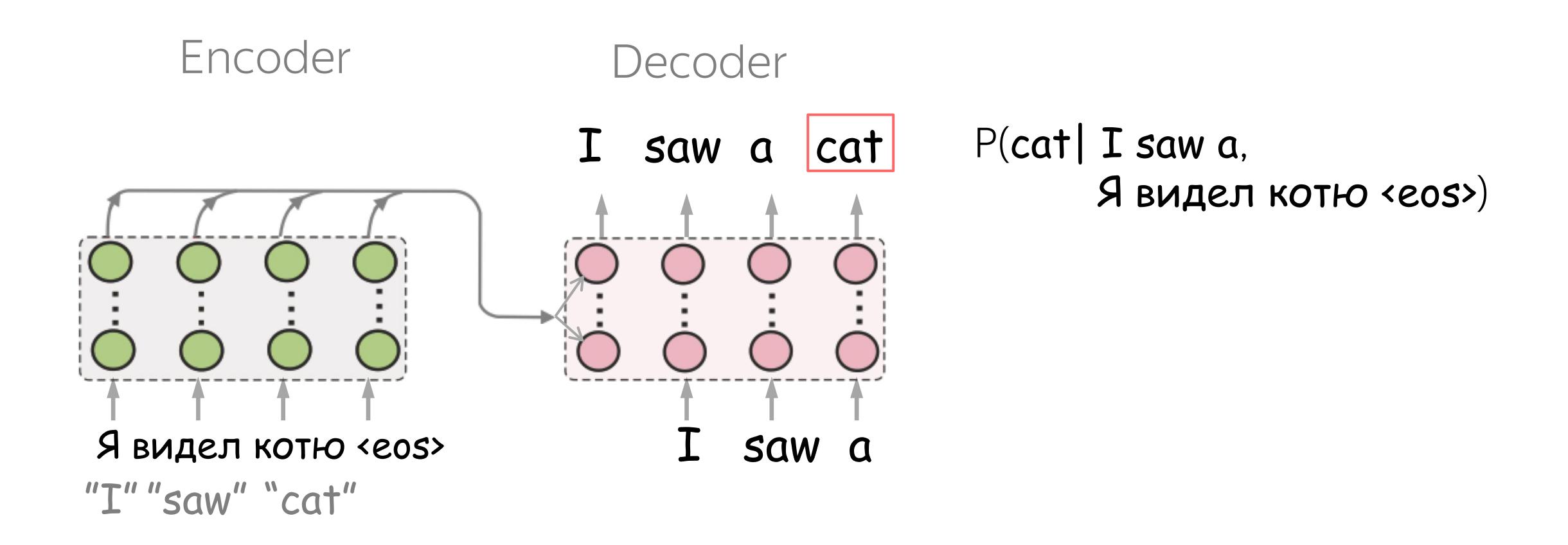
Lena Voita Rico Sennrich Ivan Titov 1,2

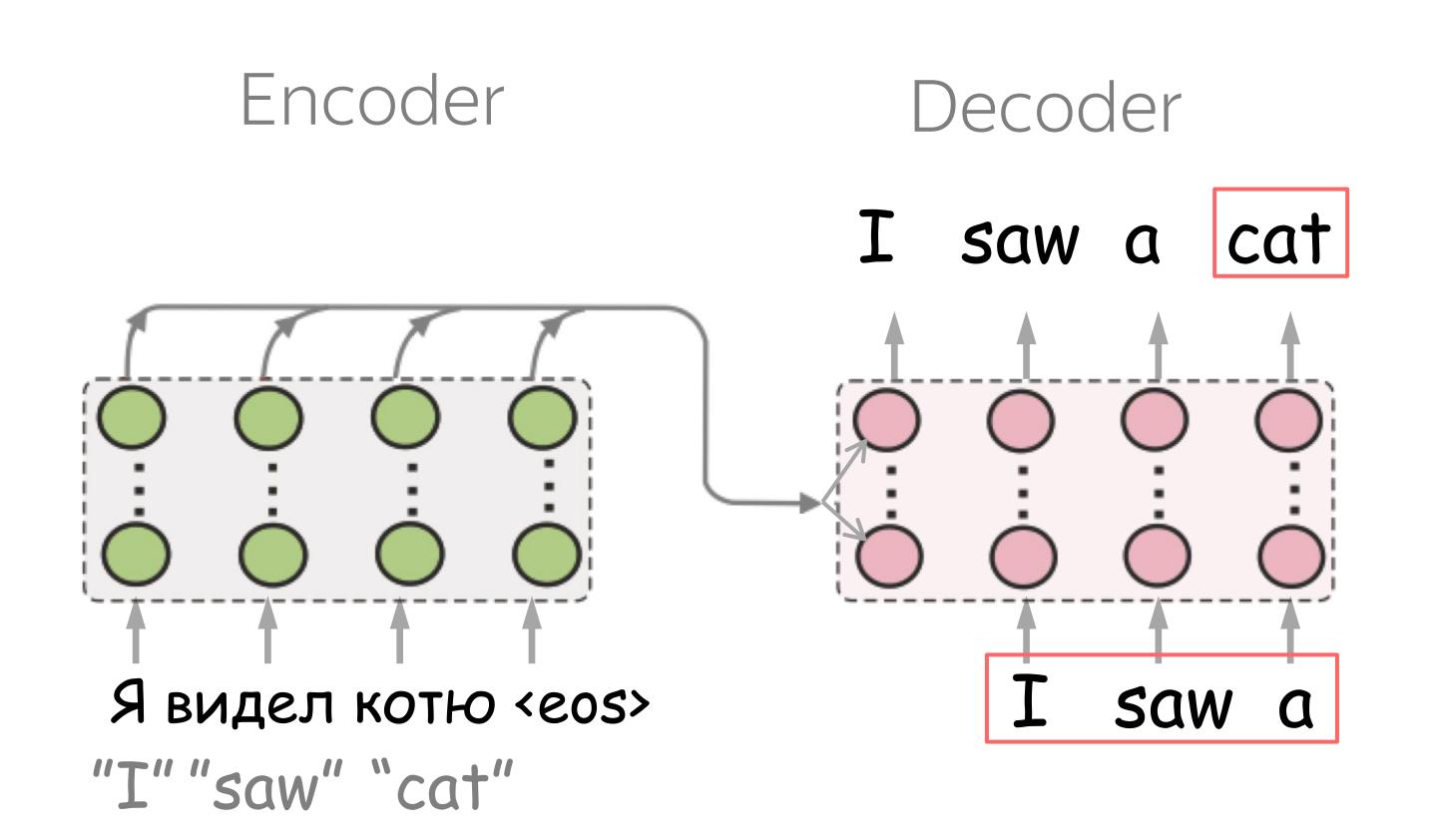










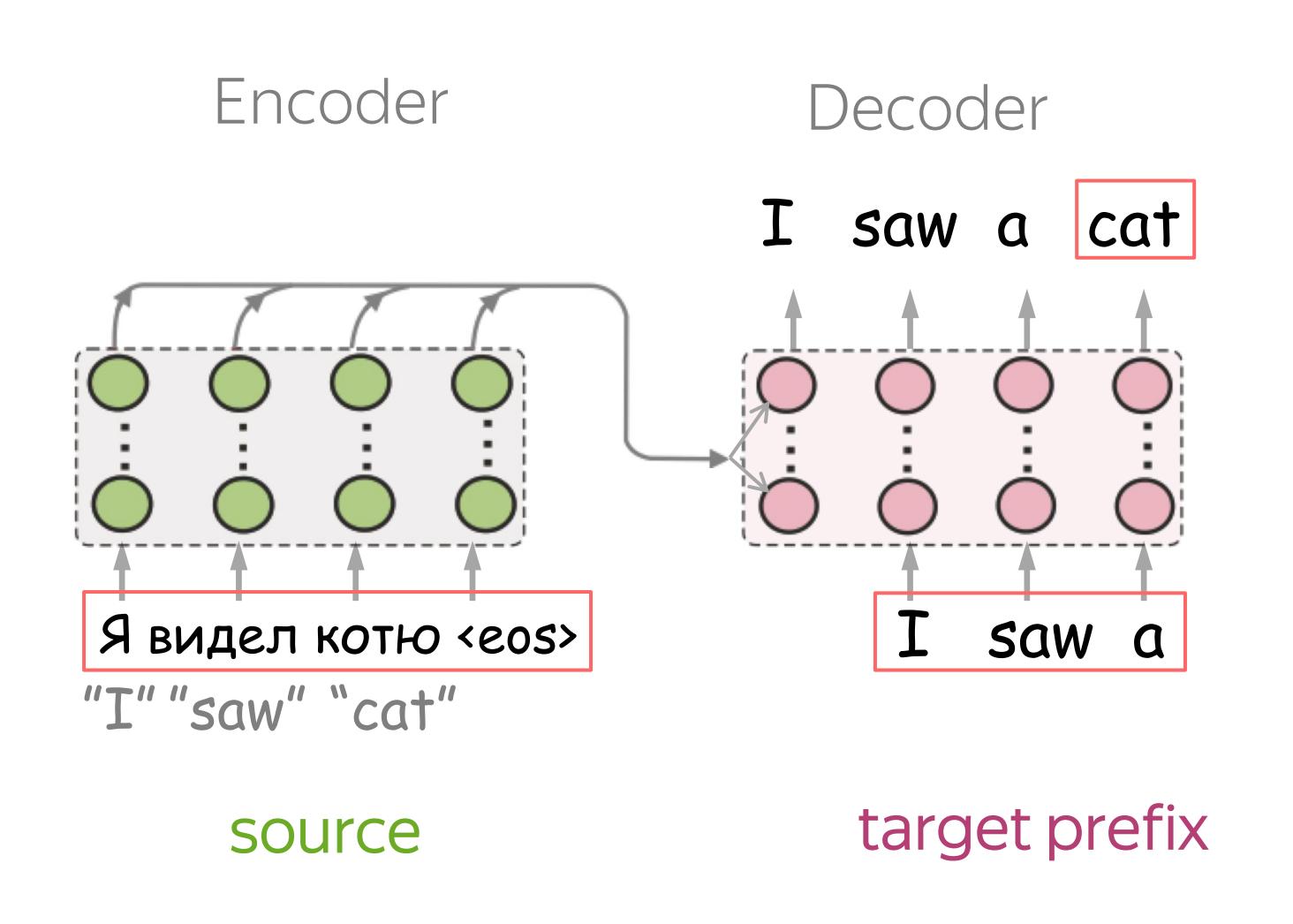


P(cat | I saw a, Я видел котю чеоs>)

Two types of context:

target prefix

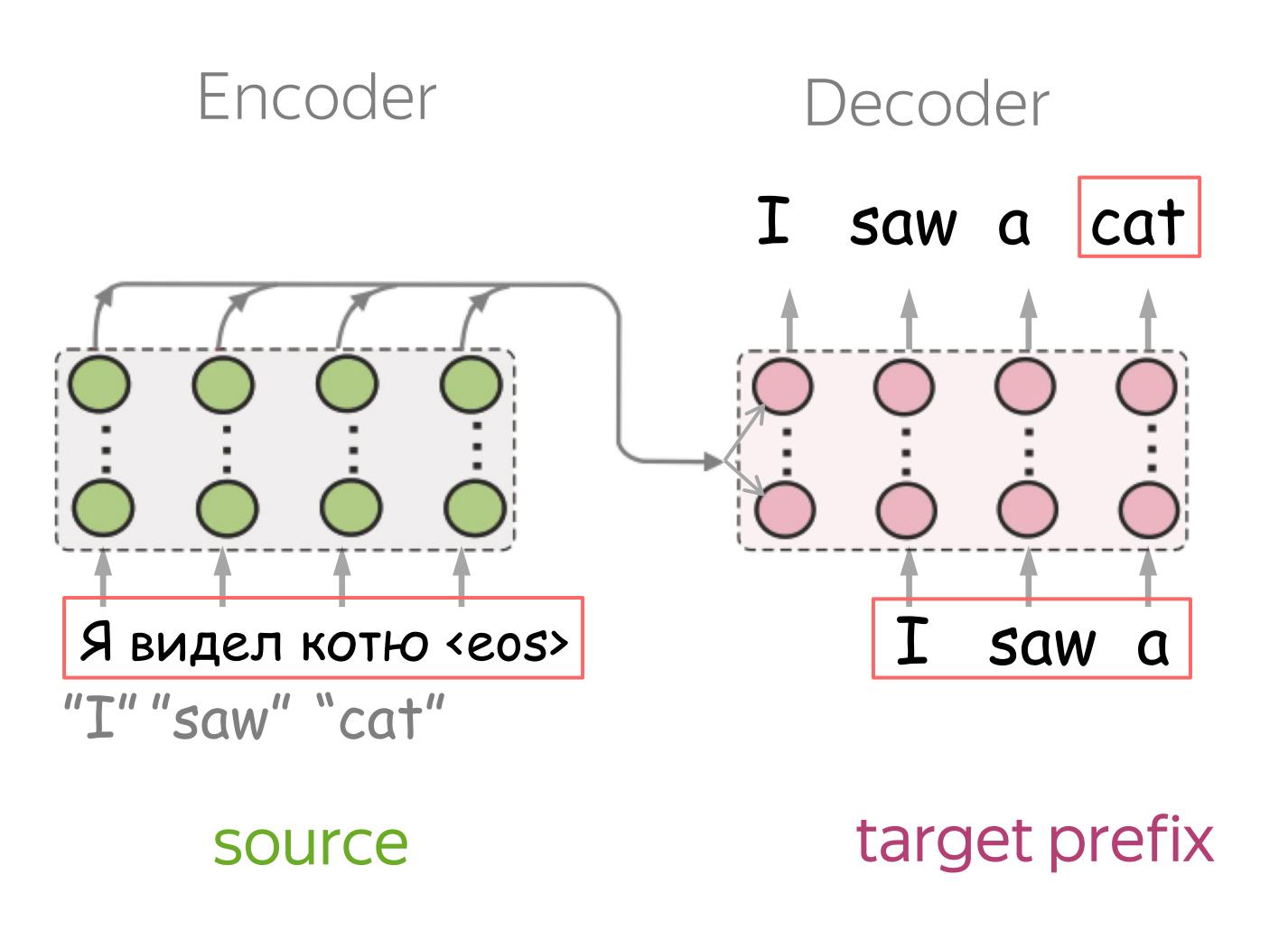
target prefix



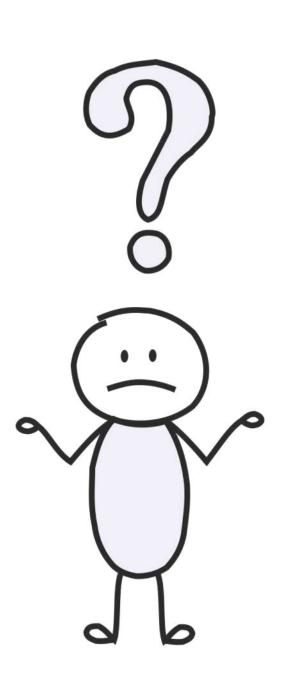
P(cat | I saw a, Я видел котю <eos>)

Two types of context:

- target prefix
- source



What influences predictions: source or target?



# Why this is important: models fail to effectively use source and target

• context gates which weigh source and target contexts help in both RNNs (Tu et al., 2017; Wang et al., 2018) and Transformer (Li et al., 2020)

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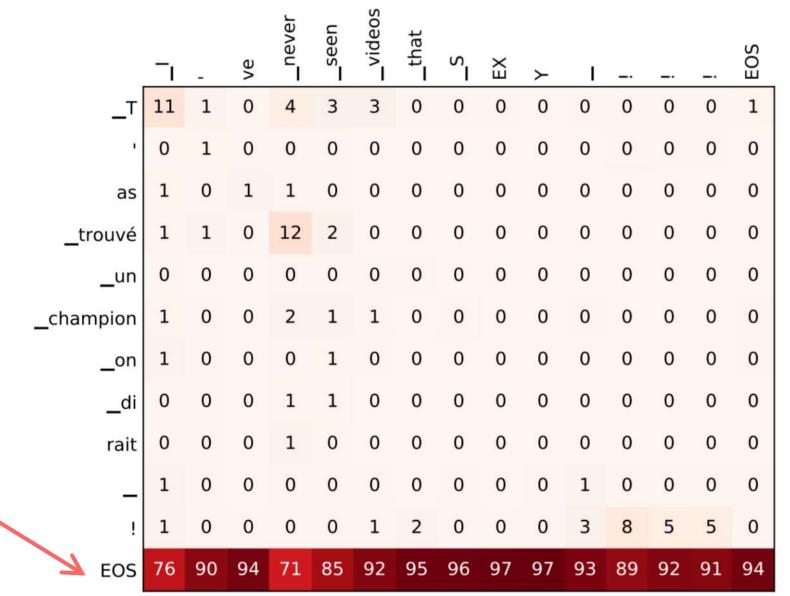
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E.g., most of attention is concentrated on source EOS



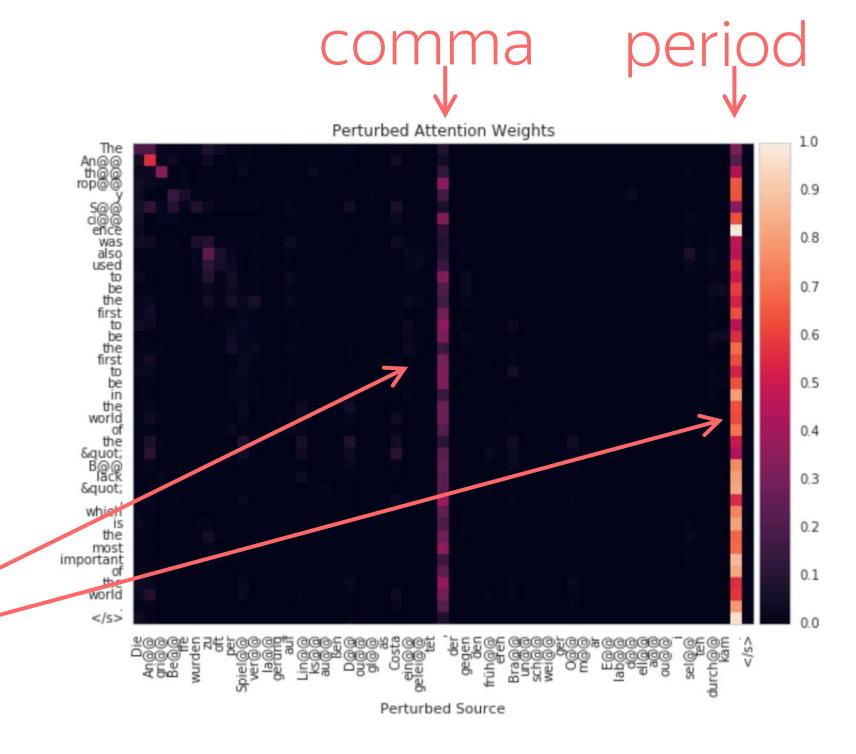
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Evidence is based on heuristics.

E.g., most of attention is concentrated on source EOS or only on a few frequent tokens



Picture from: Lee et al., 2018

#### This can also be useful for other applications

A method which estimates how a model uses source may be useful to

- evaluate techniques which force a model to rely on input (e.g., regularizations, additional loss terms, etc.)
- evaluate models for other tasks where reliance on source is important (e.g., data to text generation, image captioning, etc.)

#### What is going to happen:

#### The Trade-Off Between Source and Target

- Our Approach: (a version of) LRP
- Experiments
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(A Bit of) the Training Process (work in progress)

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#### The Trade-Off Between Source and Target

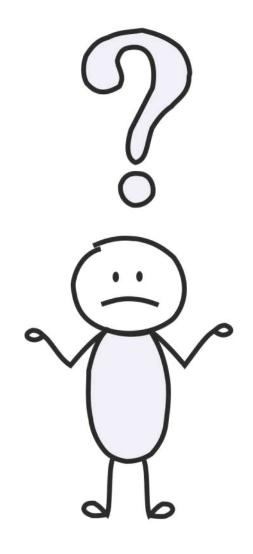
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## Our Approach:

Layerwise Relevance Propagation

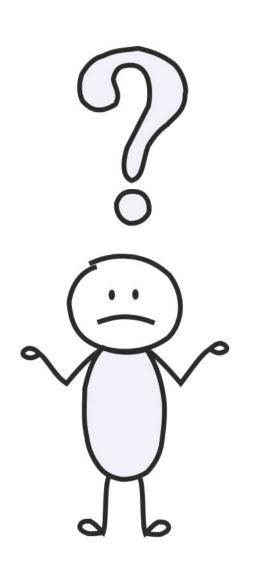
#### What do we want?



What influences predictions:

source or target?

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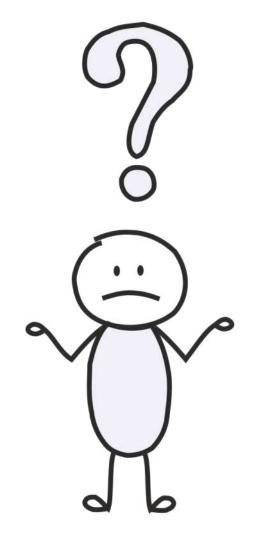
What influences predictions:

source or target?

#### Previous work:

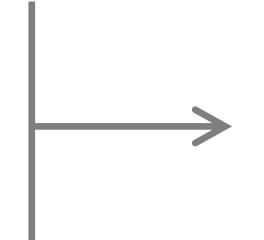
- tried to evaluate which individual tokens influence a prediction (e.g., Alvarez-Melis & Jaakkola, EMNLP 2017, He et al, EMNLP 2019)
- these influences were abstract quantities and did not reflect part of the total contribution

#### What do we want?



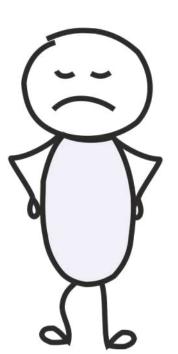
What influences predictions:

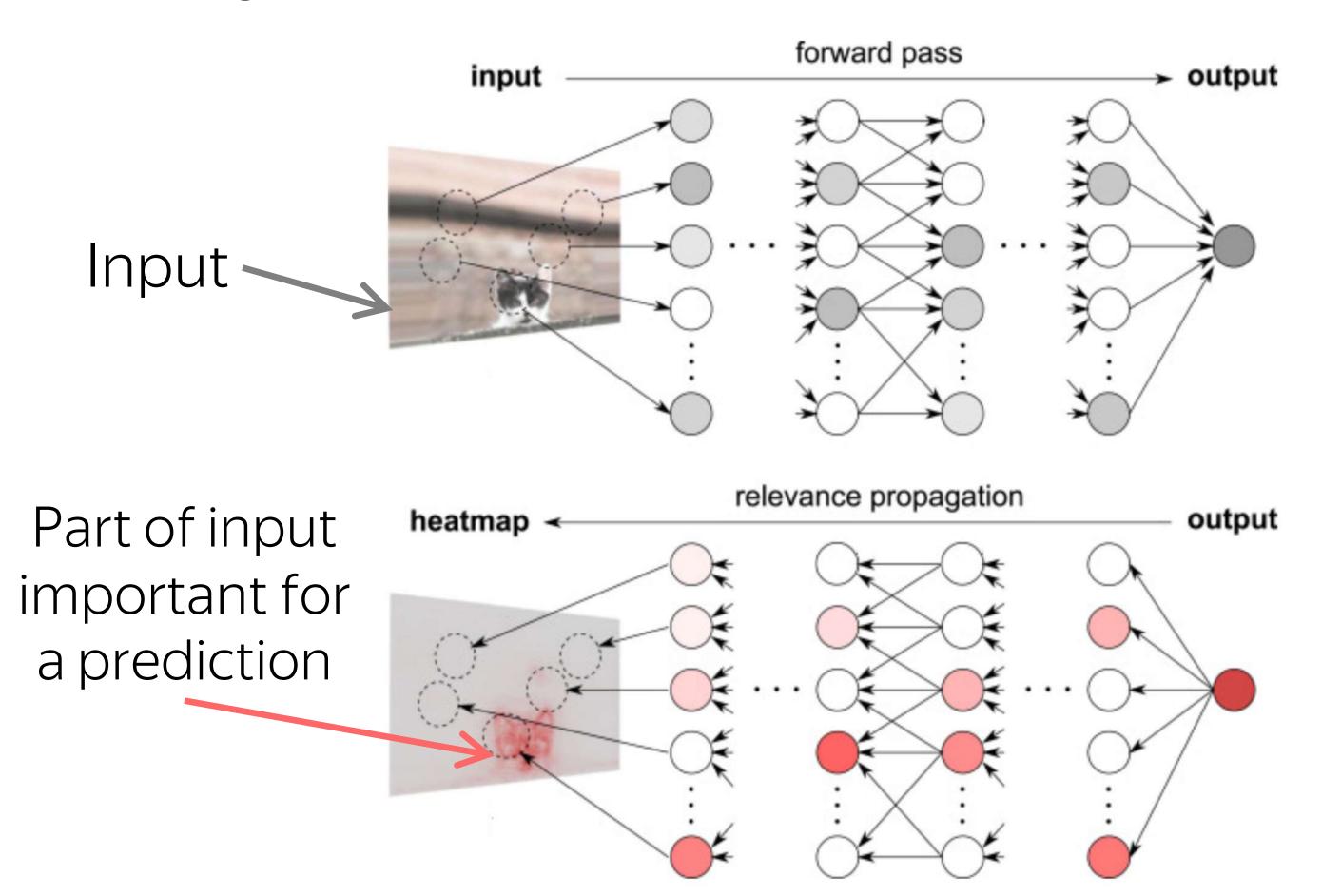
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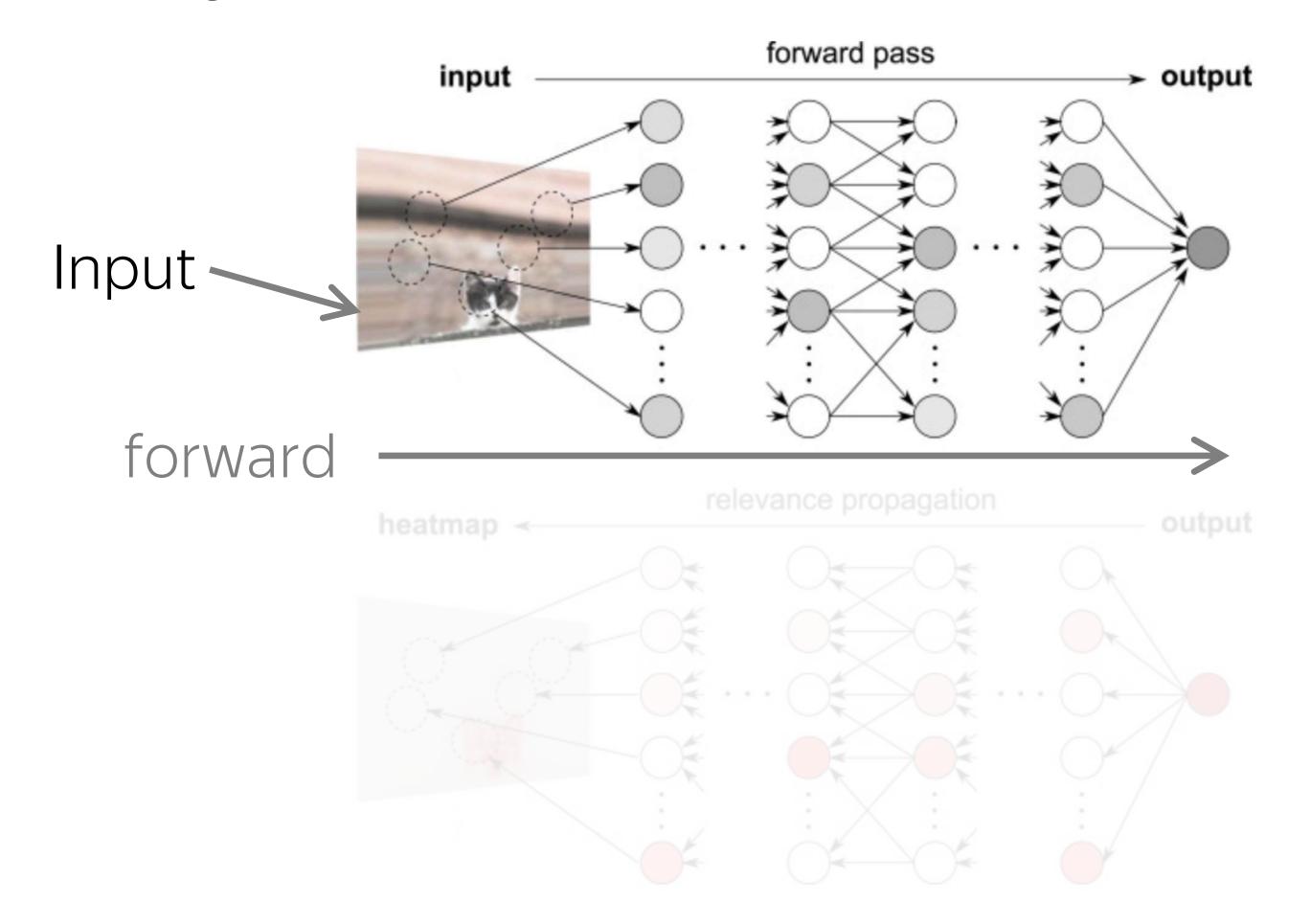
We want: not an abstract quantity, but relative contributions

(i.e., part of the total contribution)

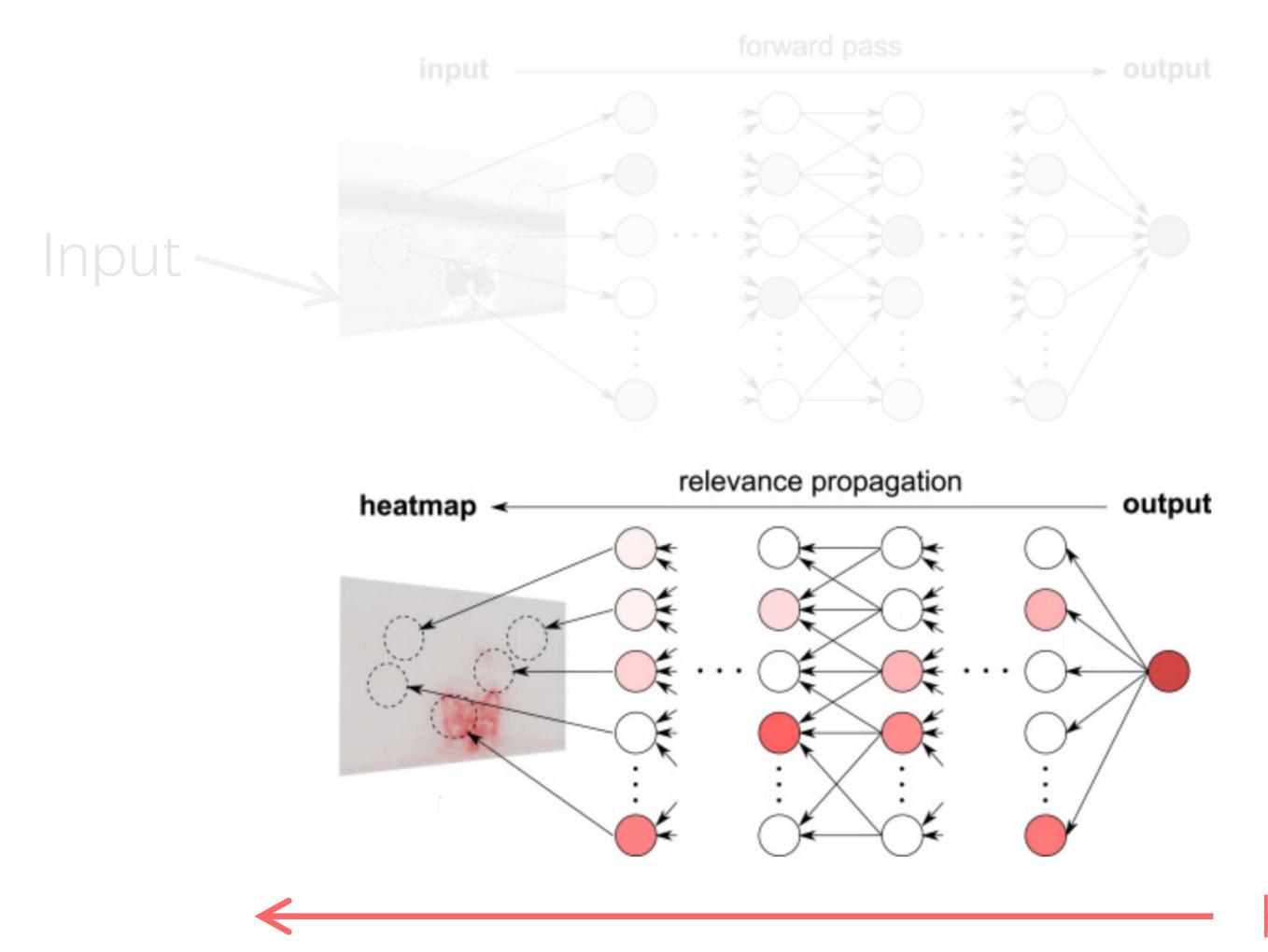




- identifies which pixels
   contributed to a prediction
- back-propagates relevance recursively from the output layer to the input

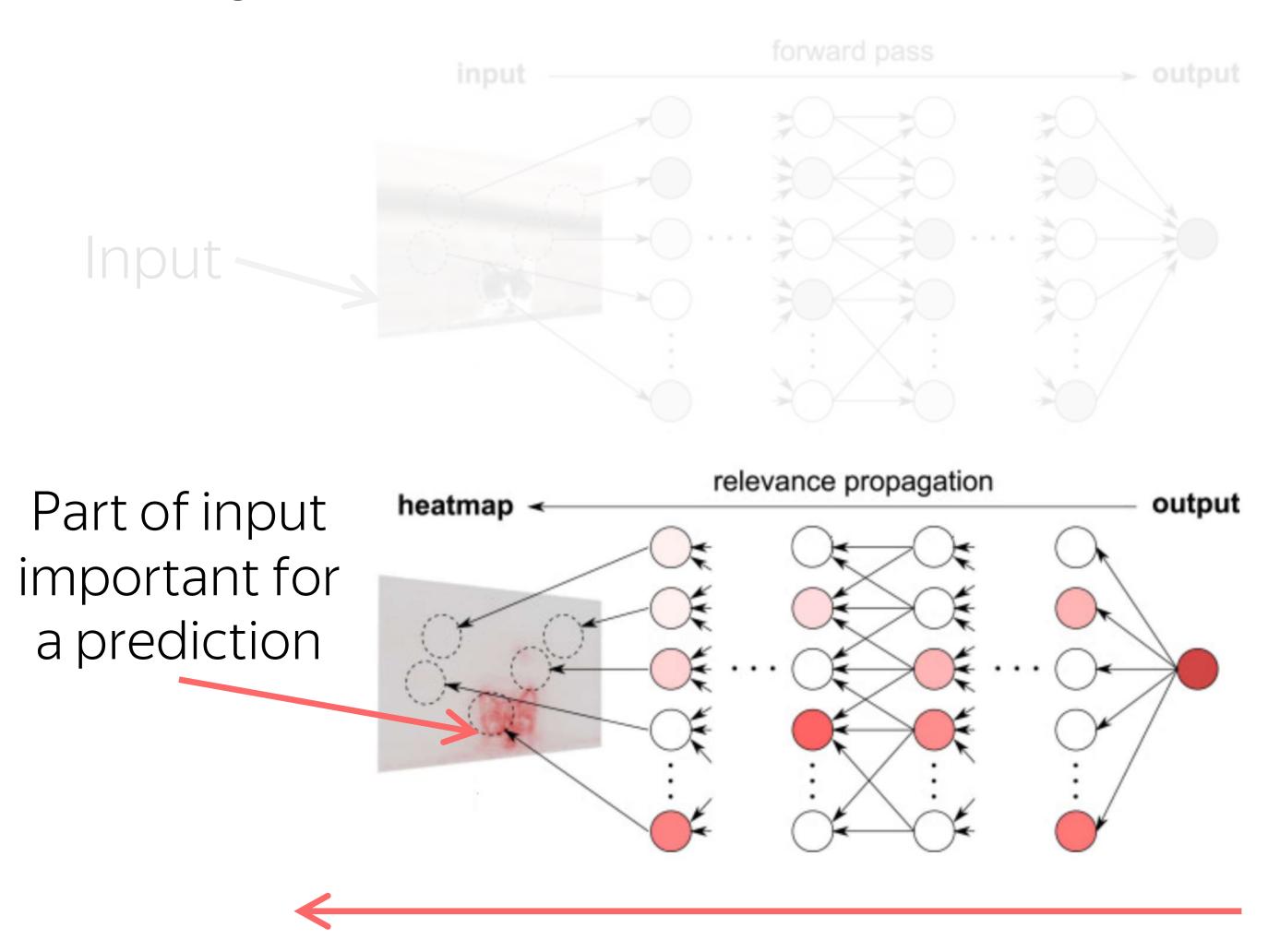


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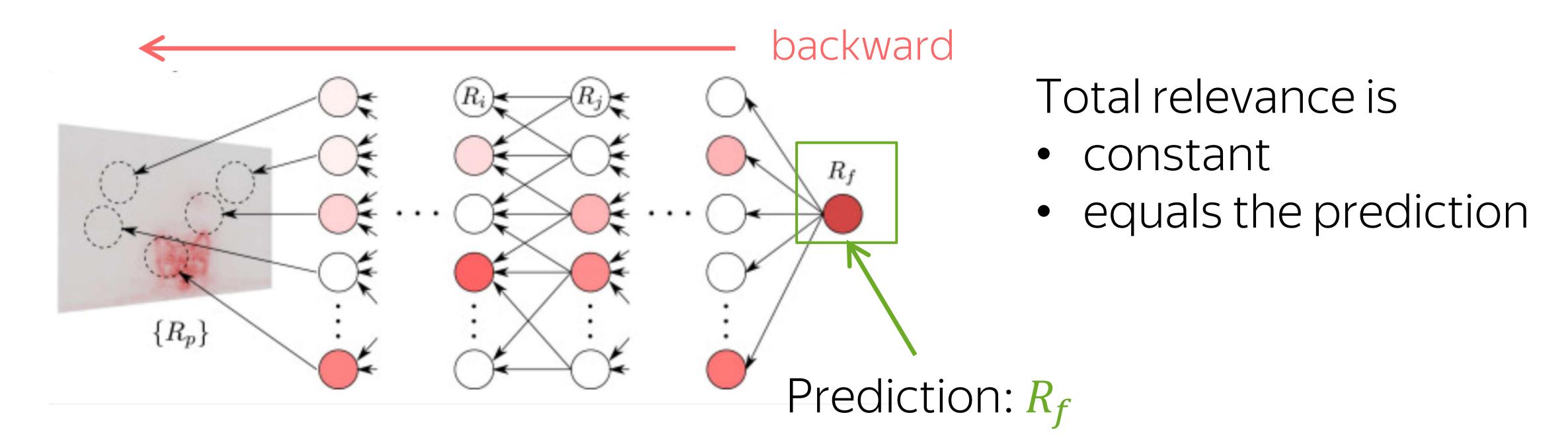
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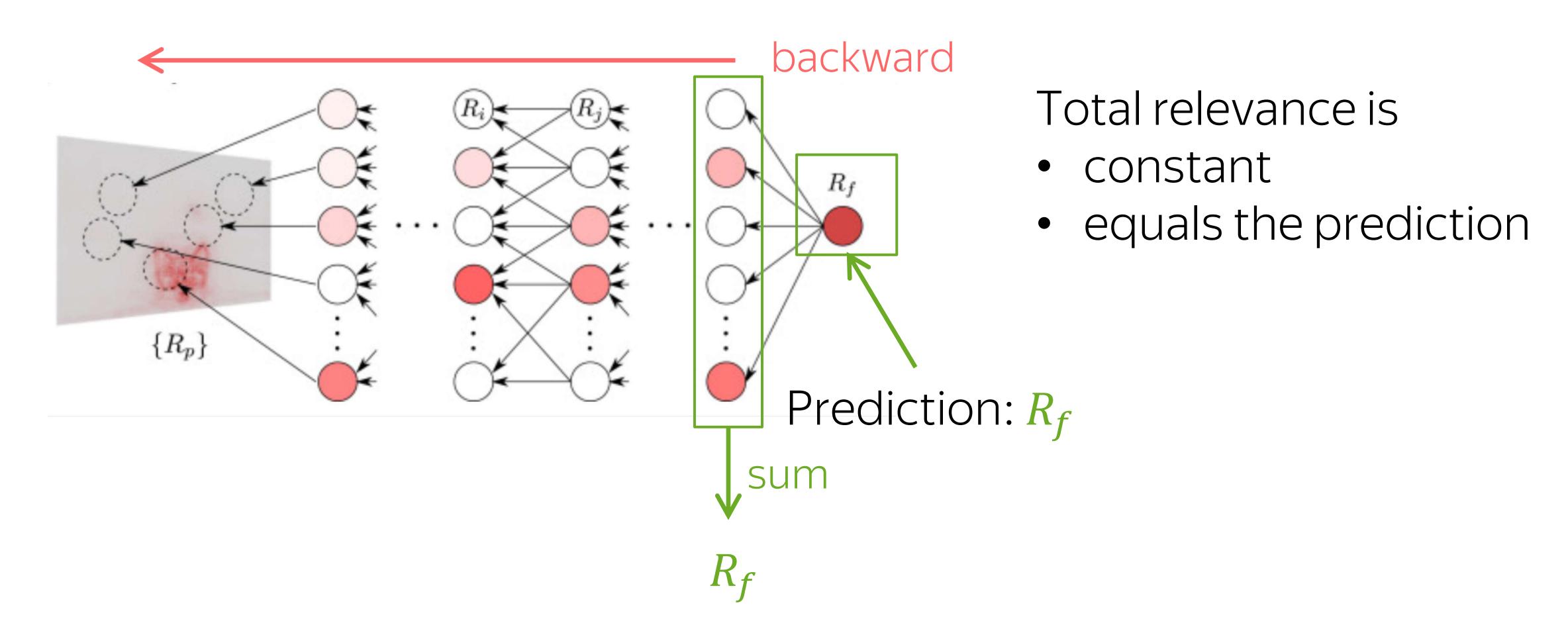
backward

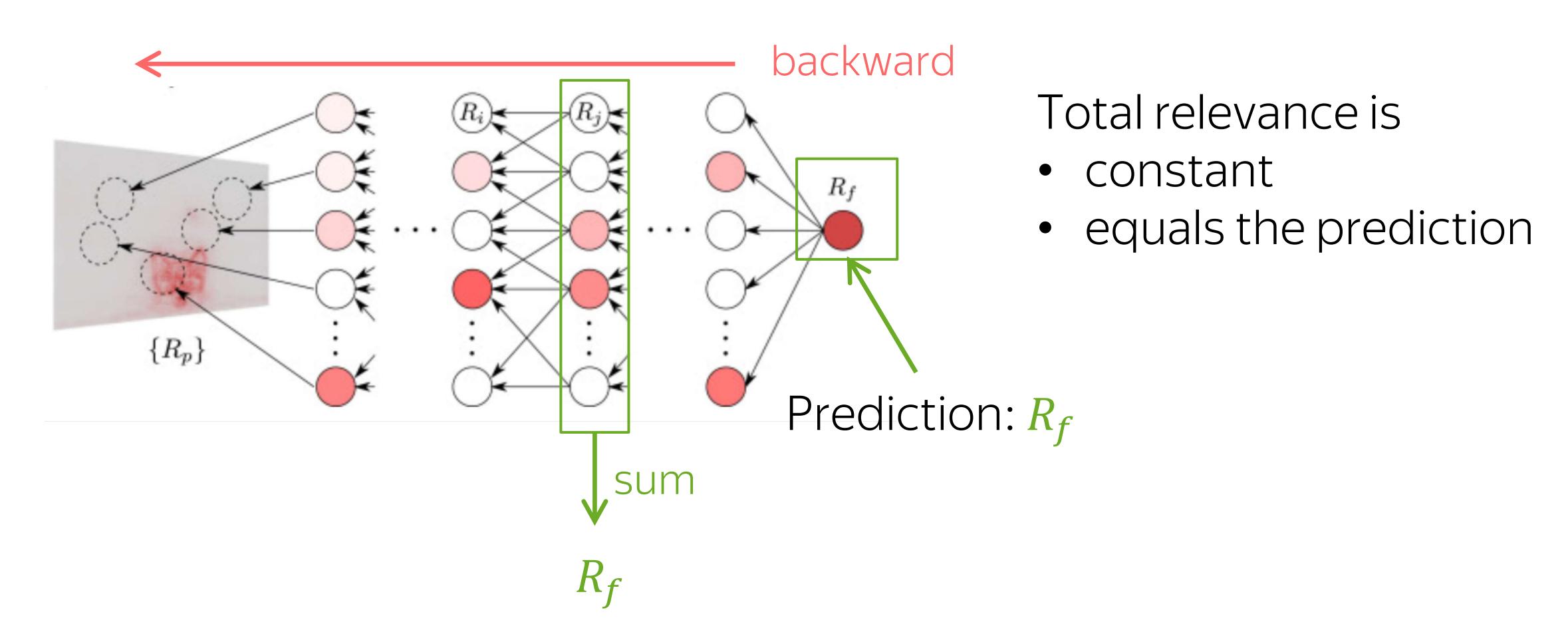


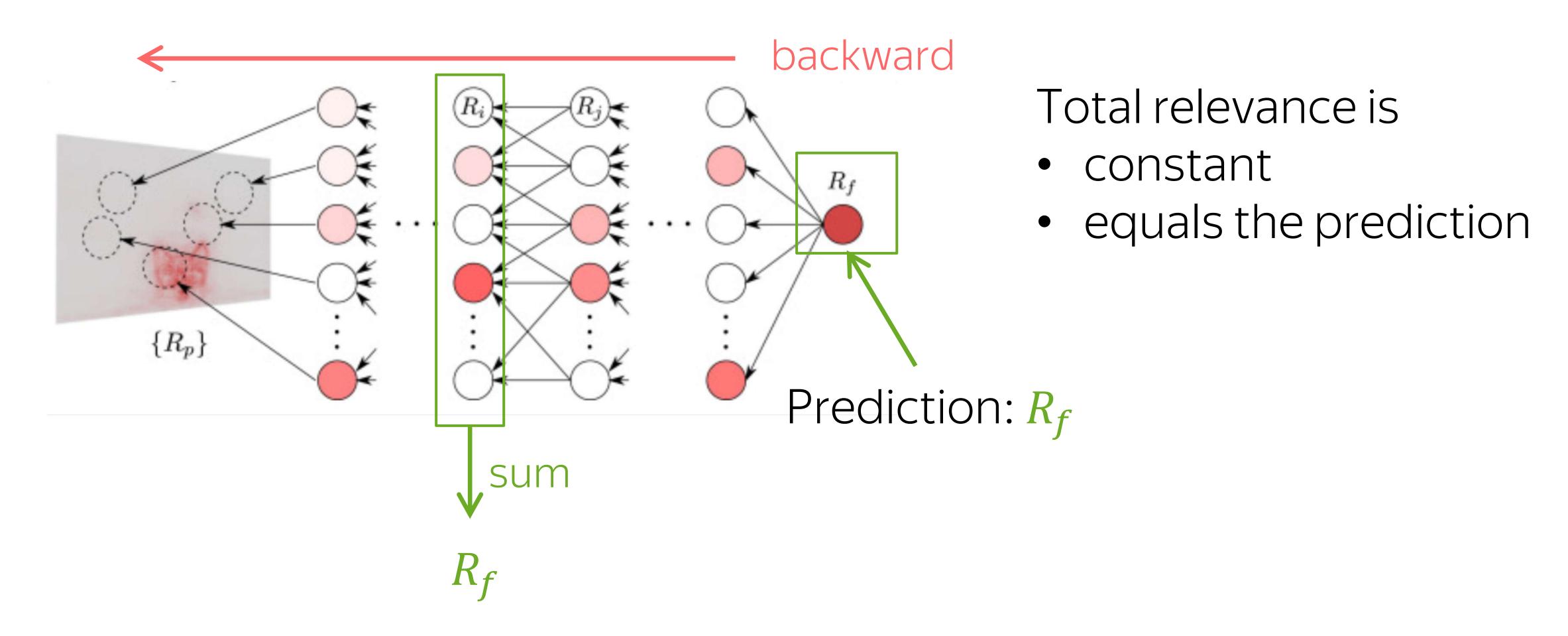
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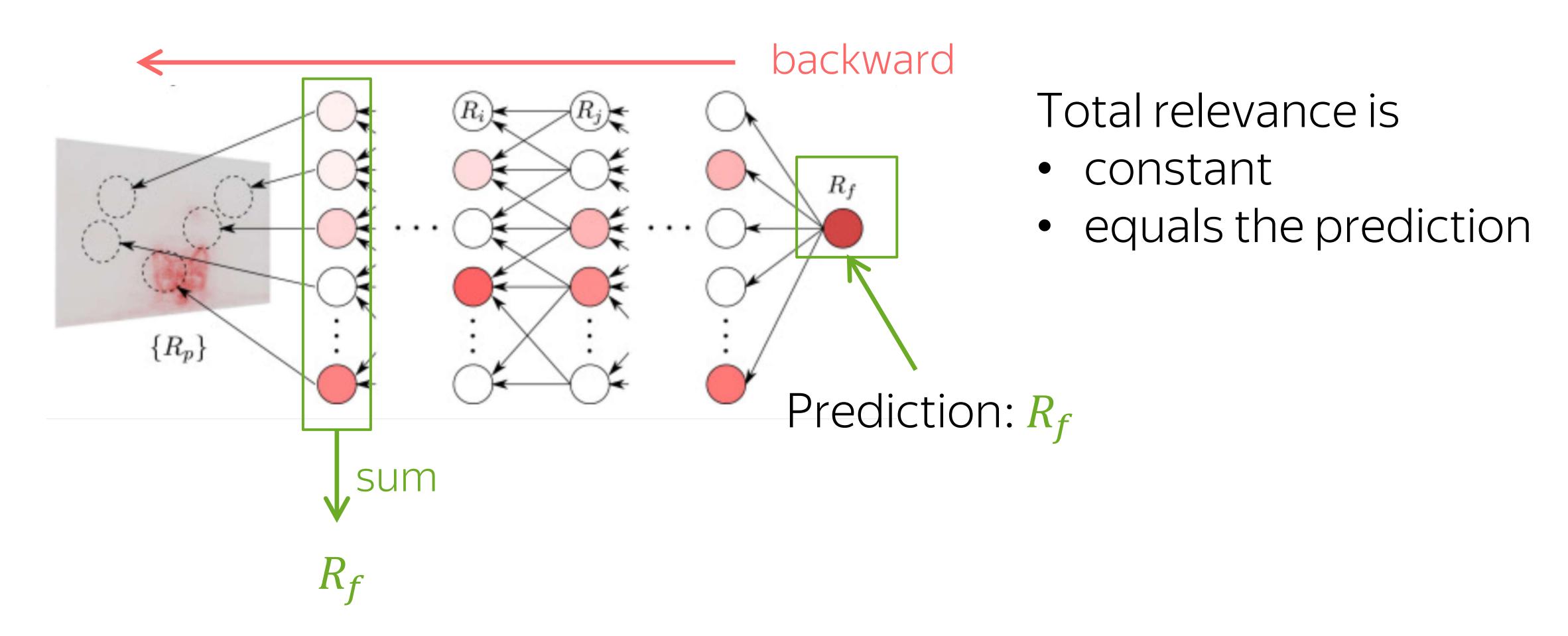
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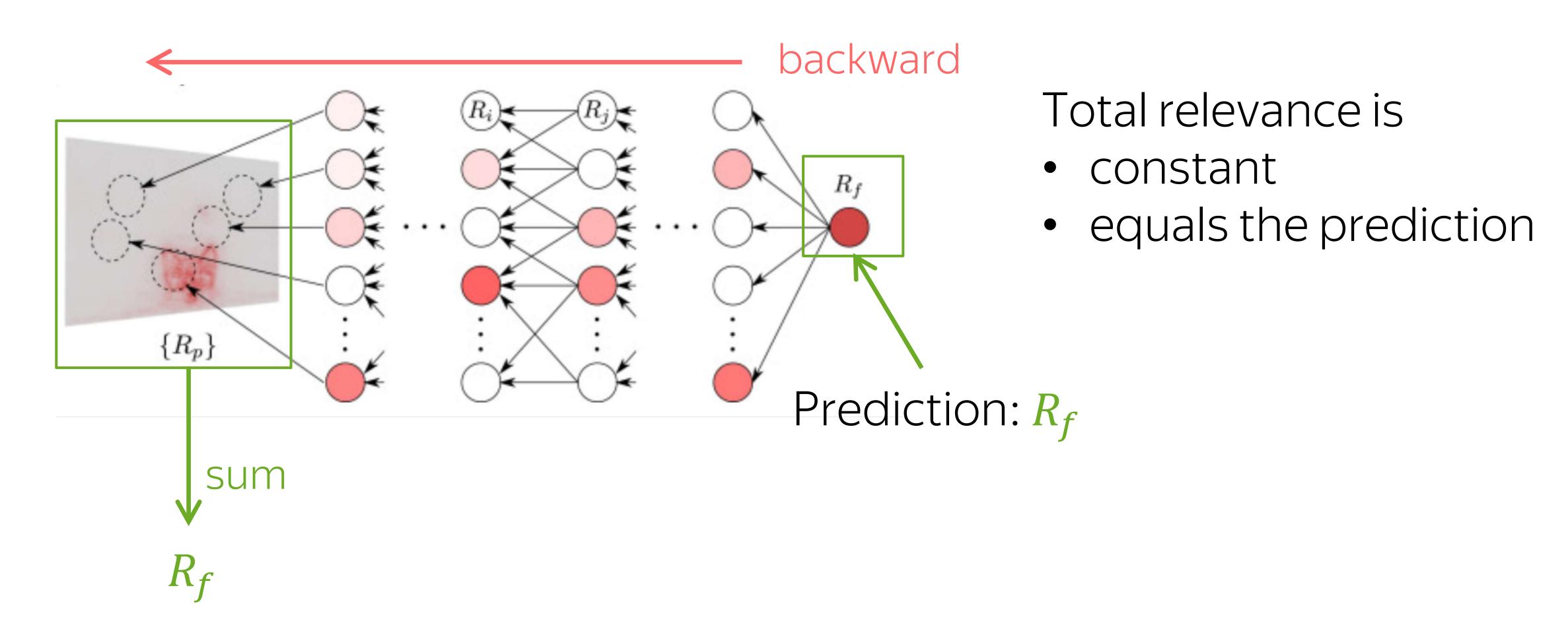


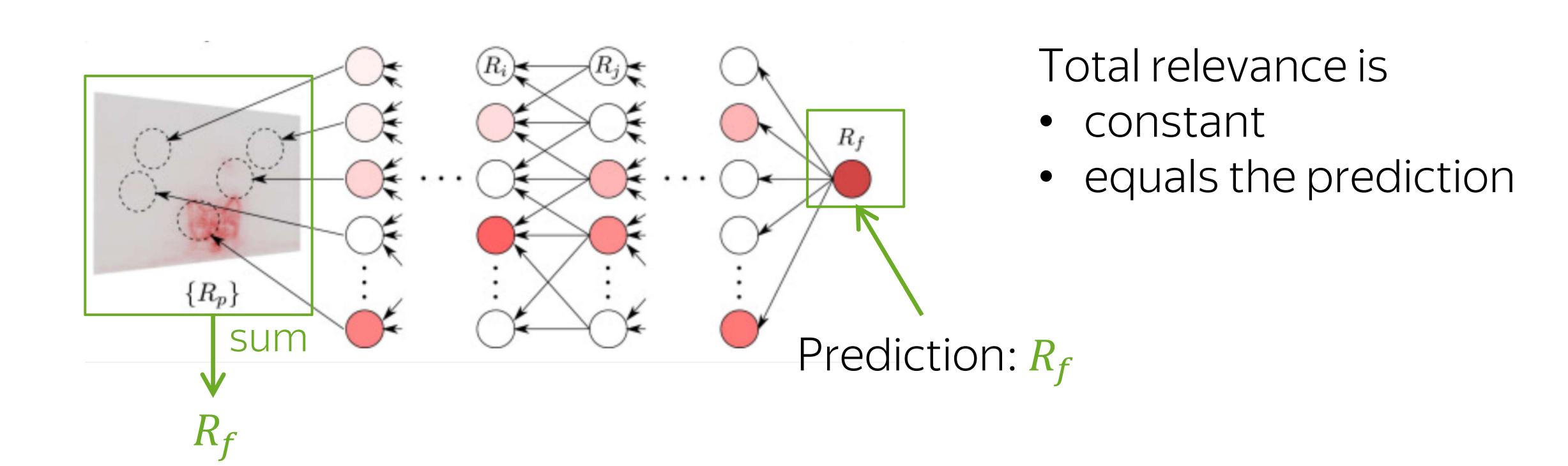




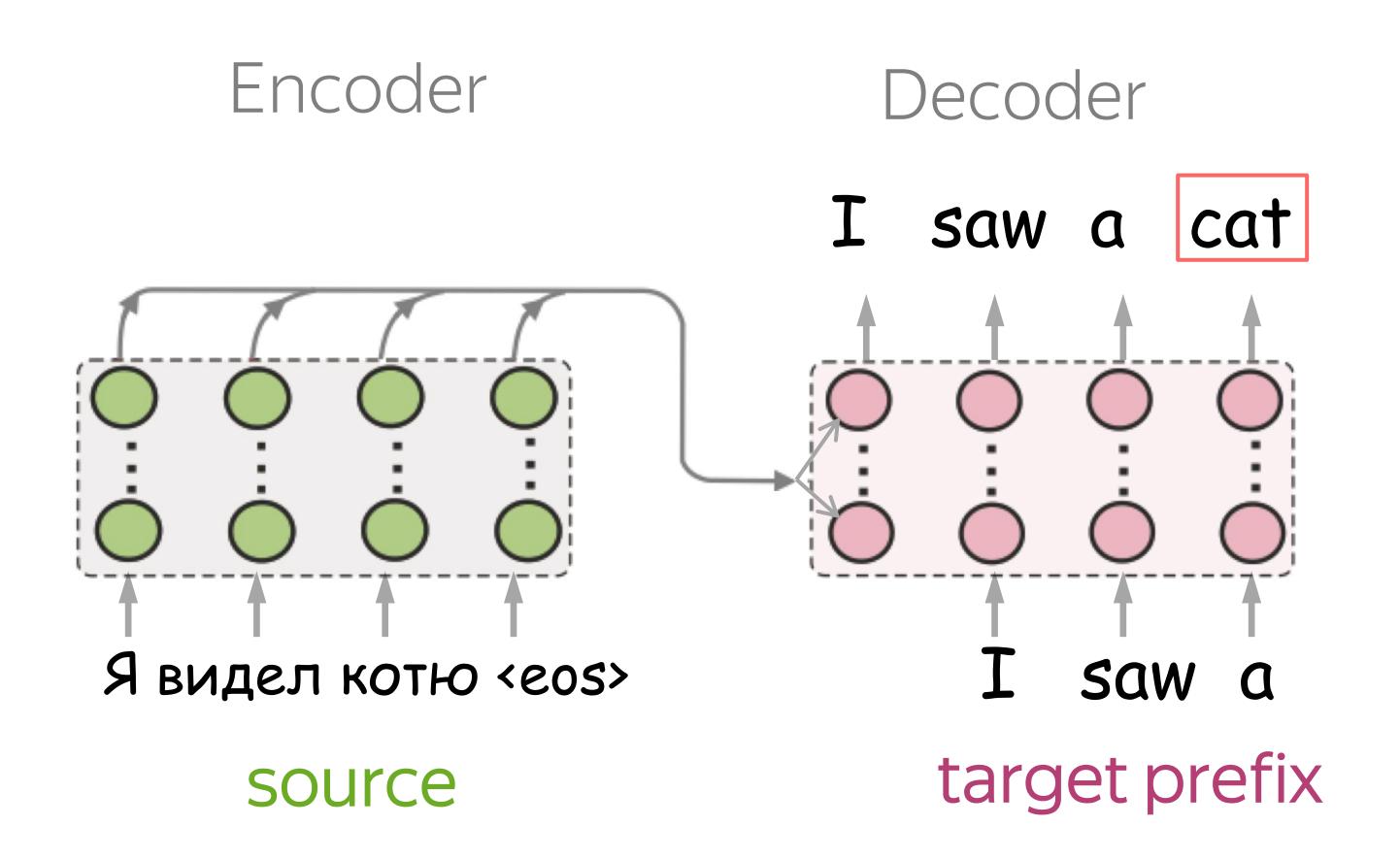


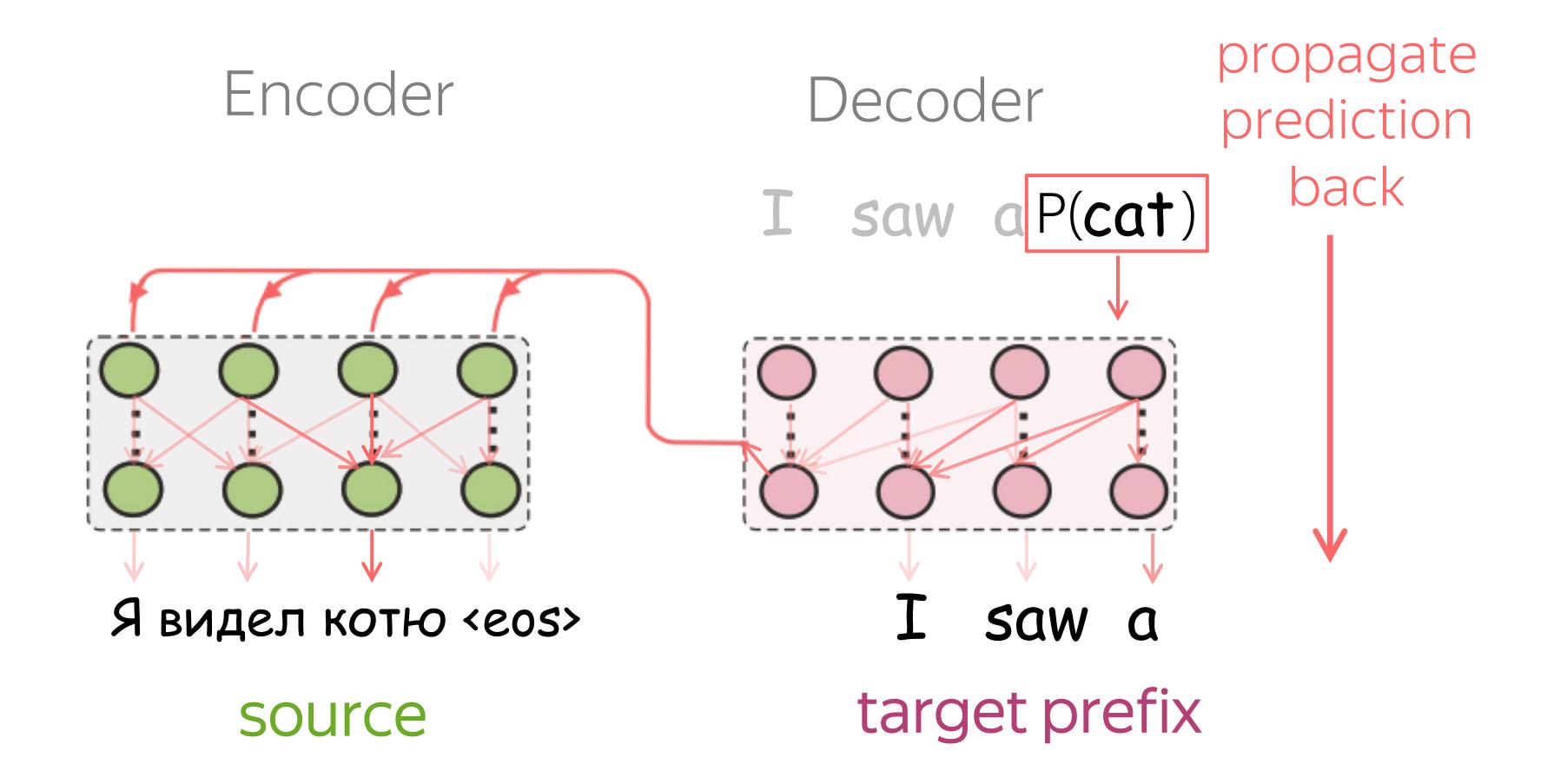


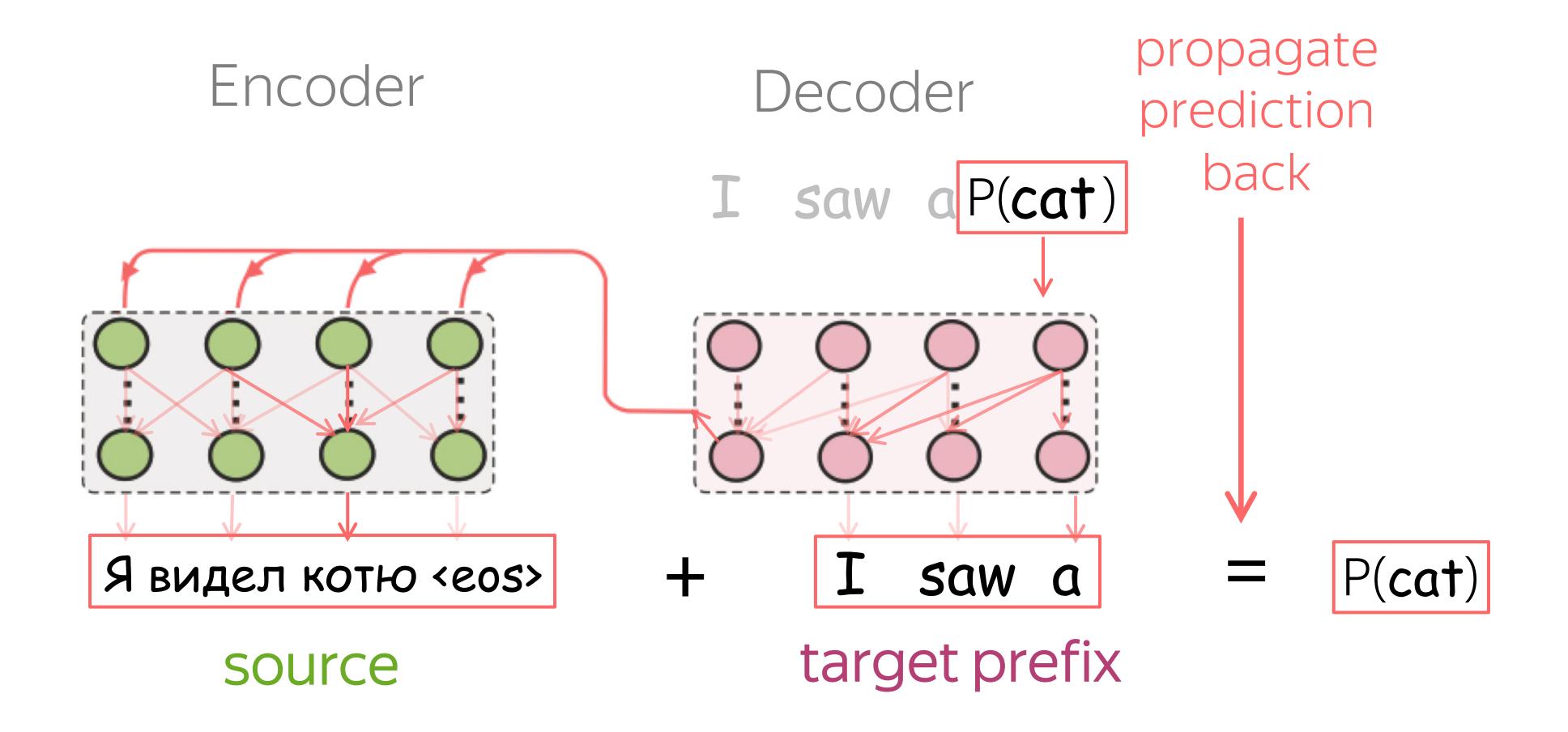


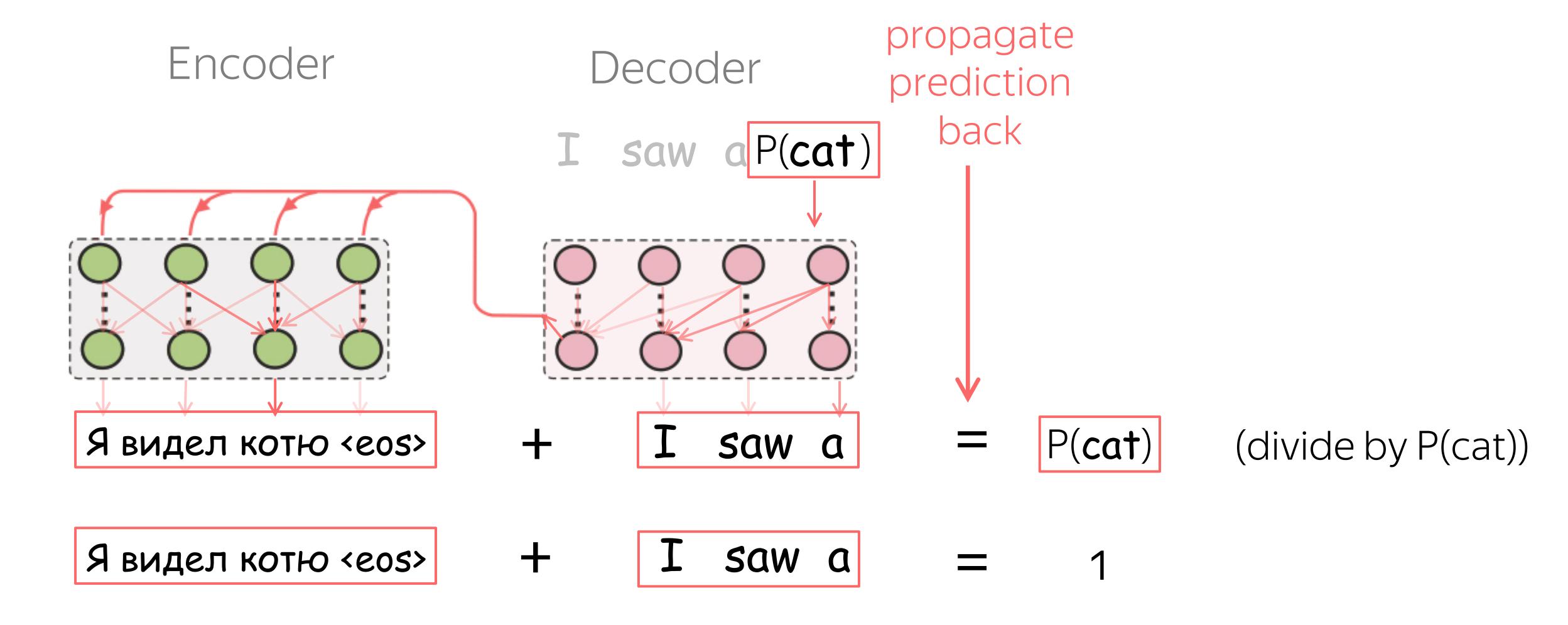


We can evaluate relative contribution of input elements!

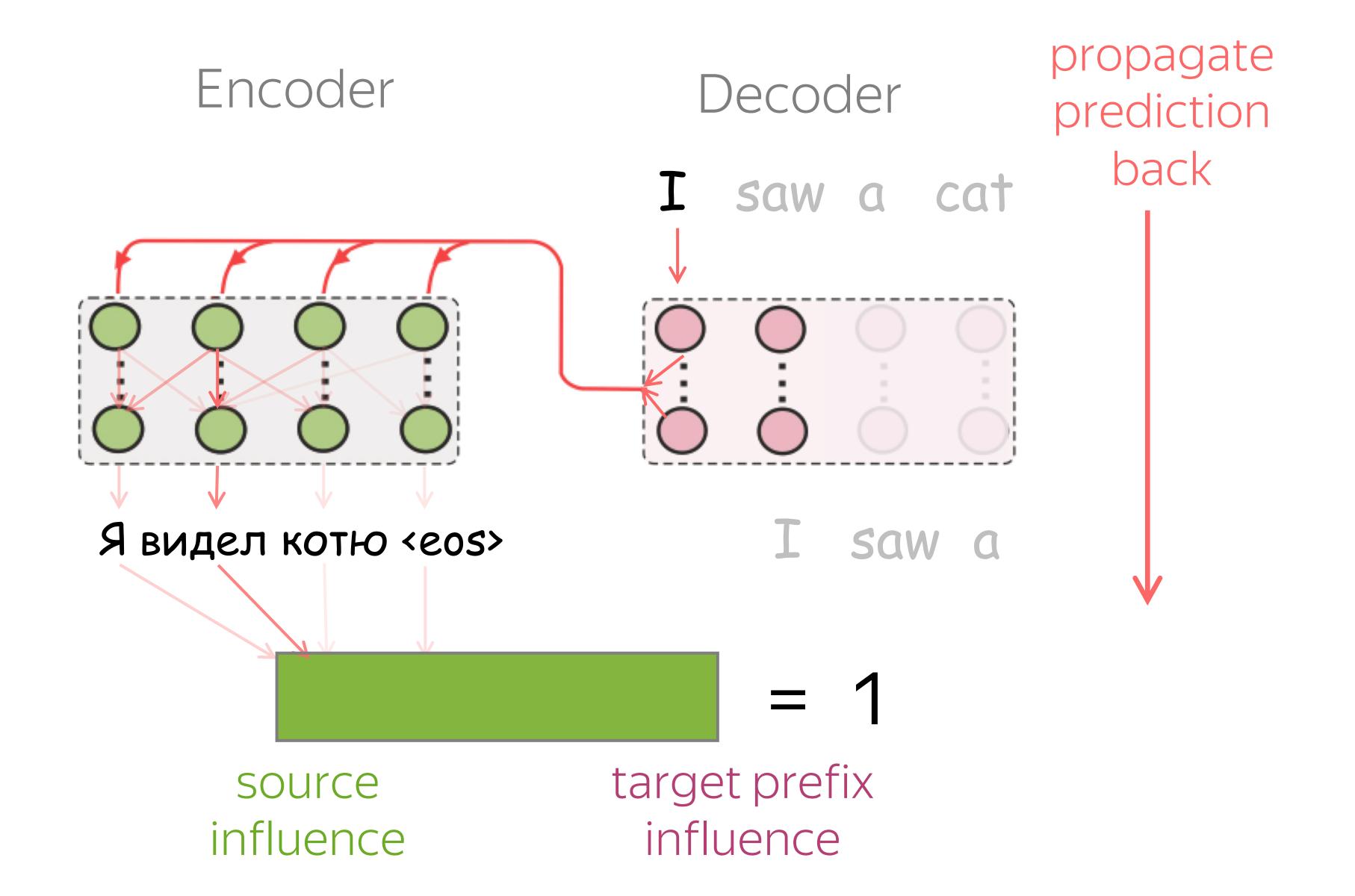


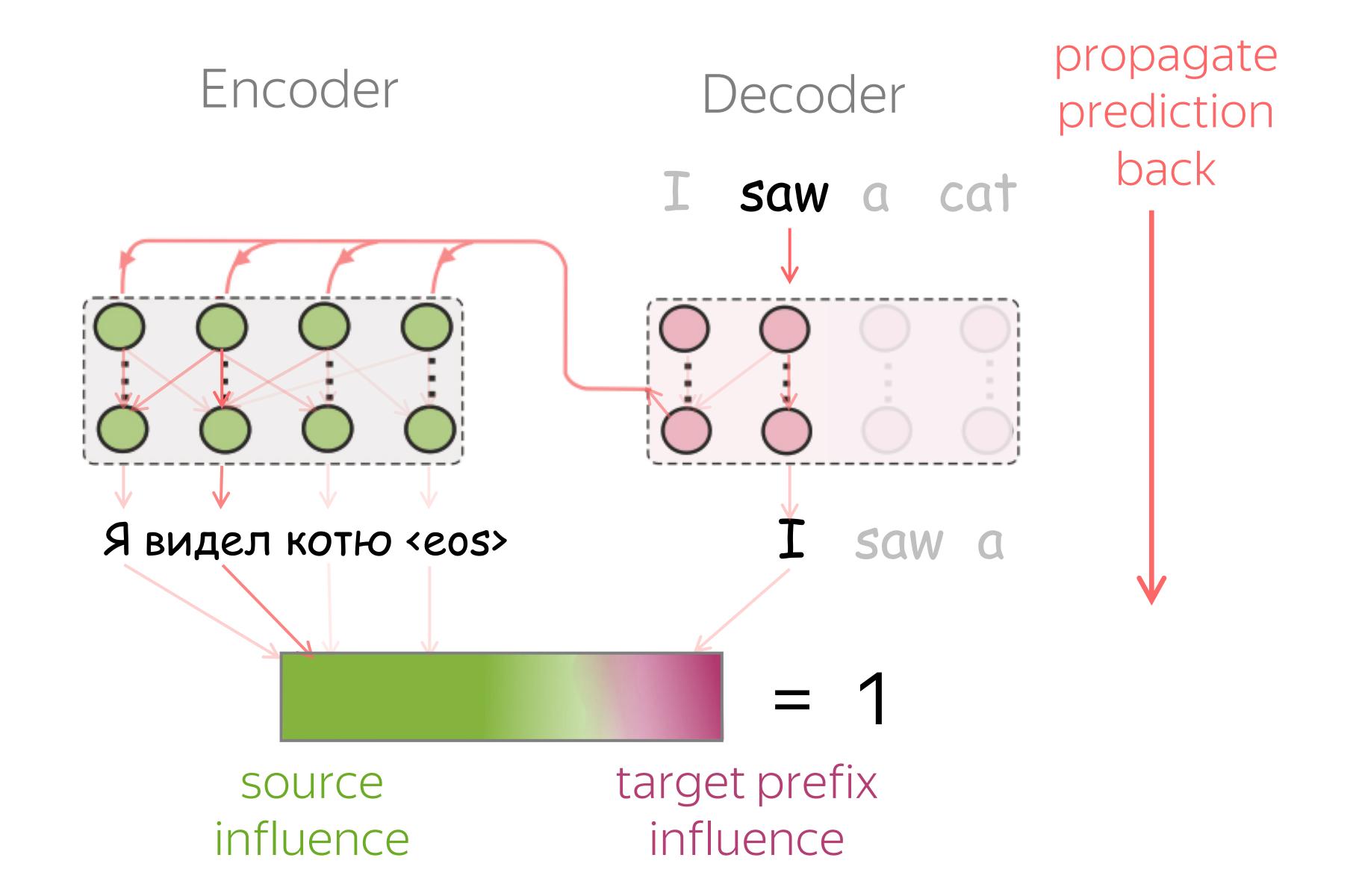


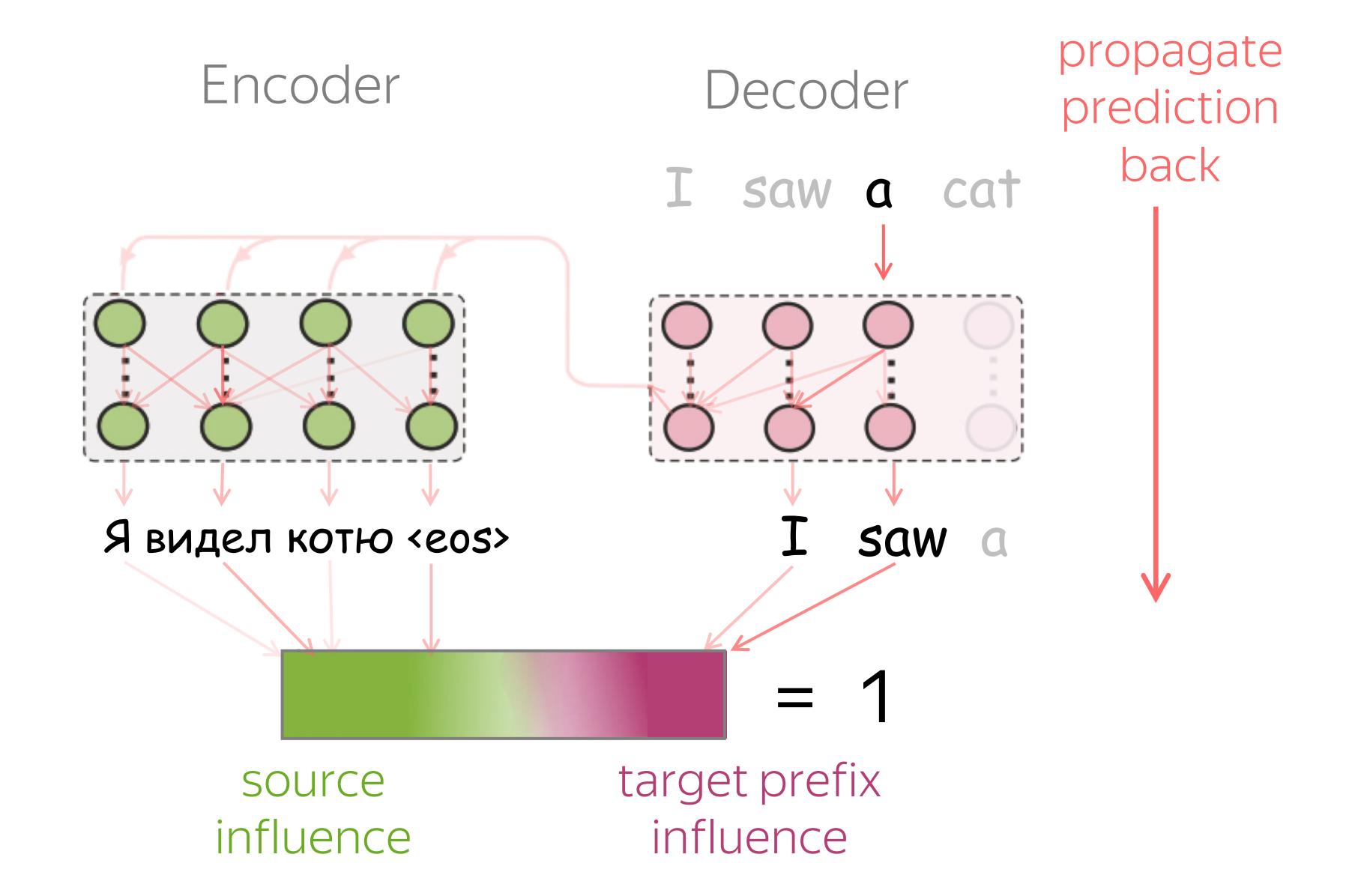


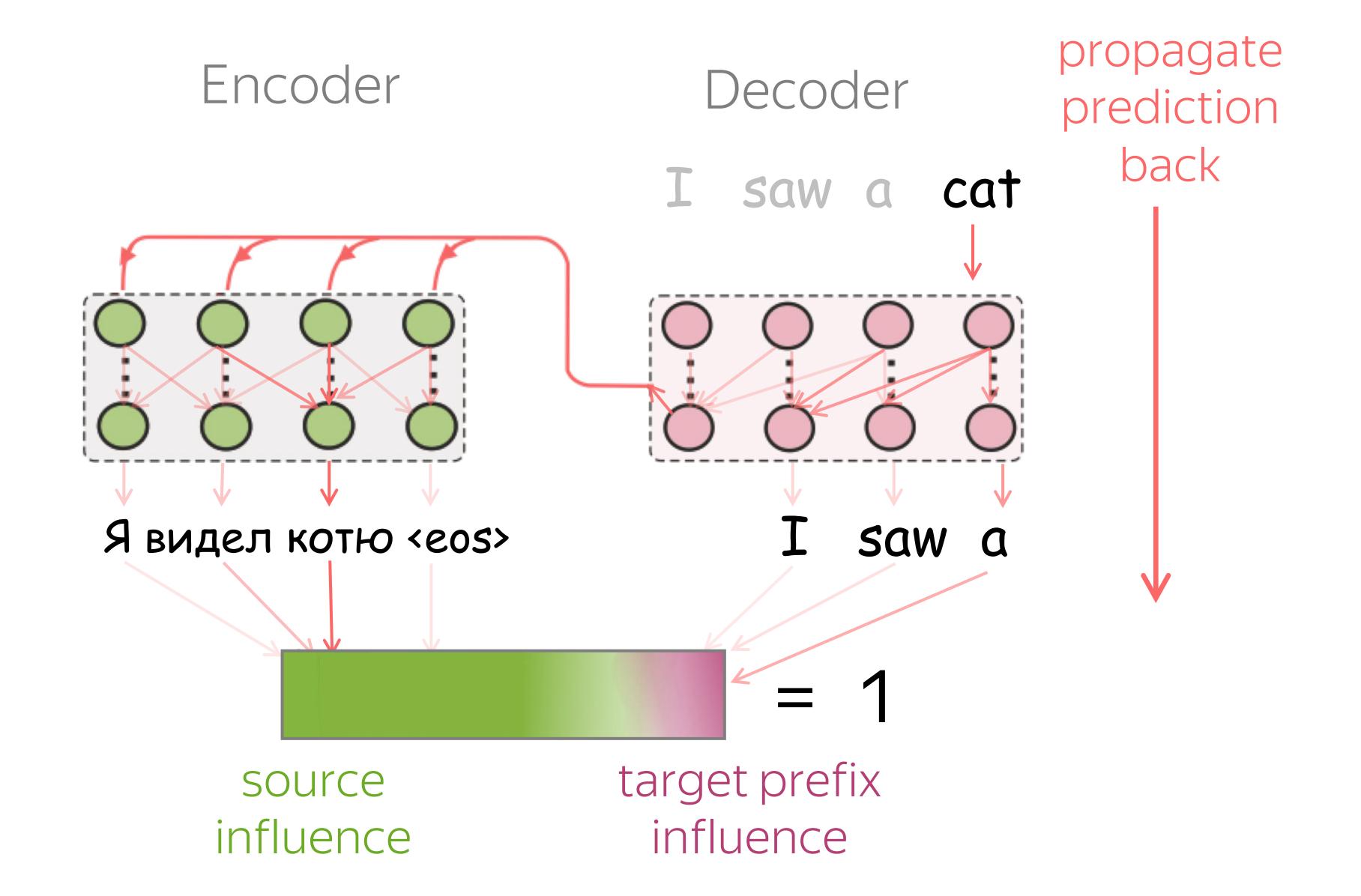


Relative contribution of source and target tokens



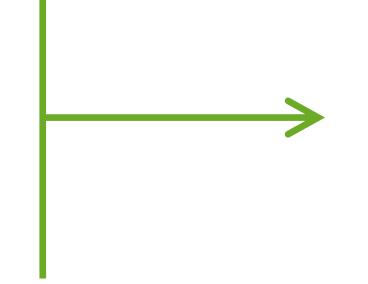






 standard LRP does not support many operations (e.g., attention layer)

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We extend LRP to these layers

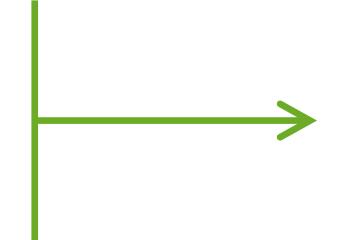
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We extend LRP to these layers

• standard LRP has several variants:  $\varepsilon$ -,  $\alpha\beta$ -,  $\gamma$ -rules (differ in a way they redistribute relevance)

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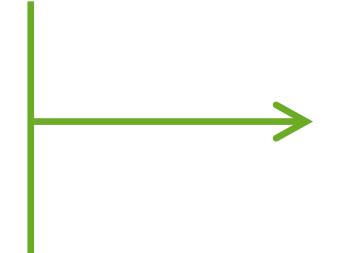
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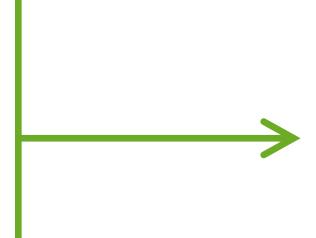
We use  $\alpha\beta$ -LRP: it keeps all contributions positive

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More details are in the paper!

#### What We Do

#### LRP:

 can be inaccurate for small contributions

 relevancies may differ little

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how these patterns change
 (e.g., across models, datasets,
 training stages, etc)
 but not about absolute values of
 contributions

#### The Trade-Off Between Source and Target

- Our Approach: (a version of) LRP
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Compare patterns for different models

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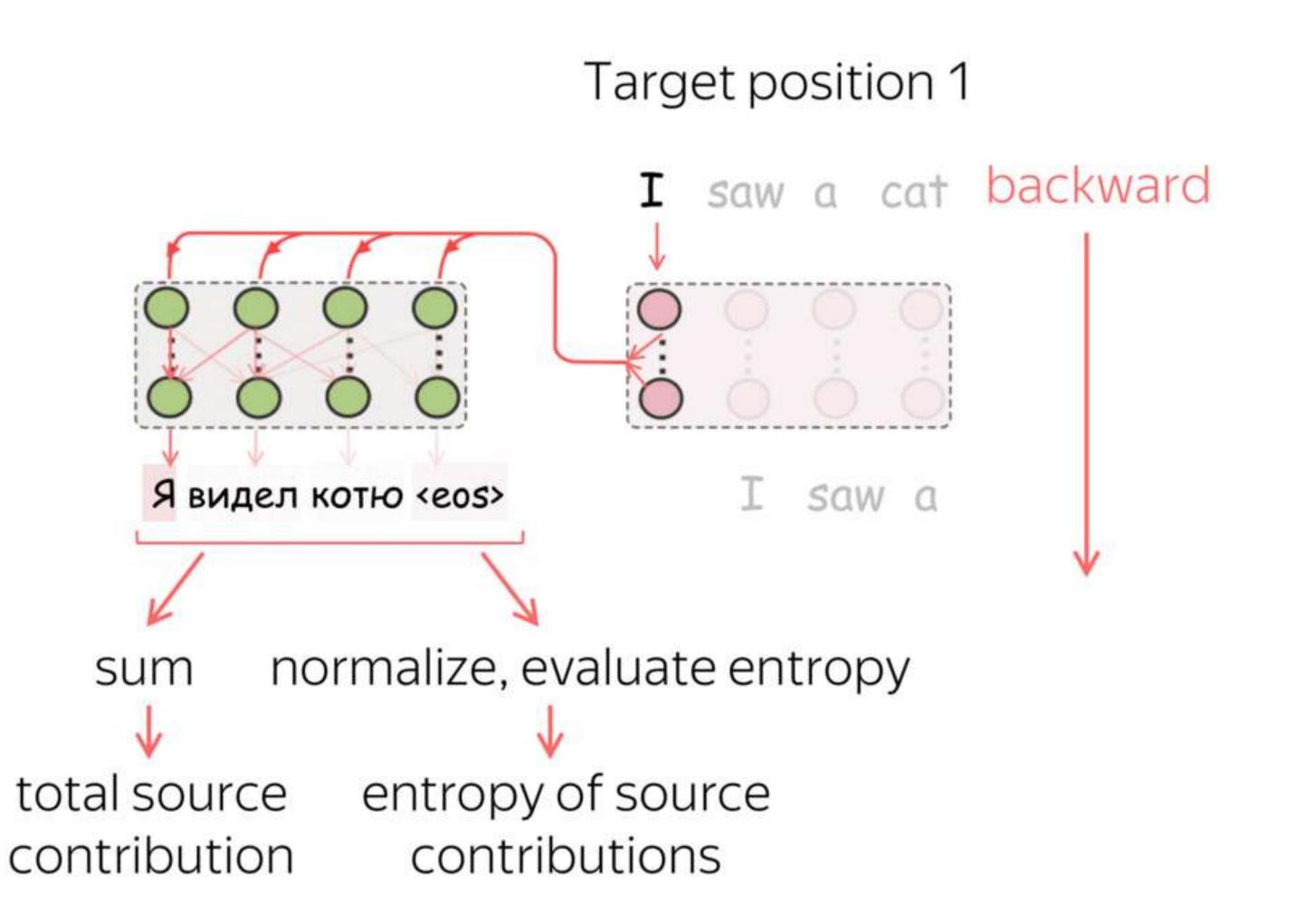
# Experiments:

Getting Acquainted



### We look at: total contribution and entropy

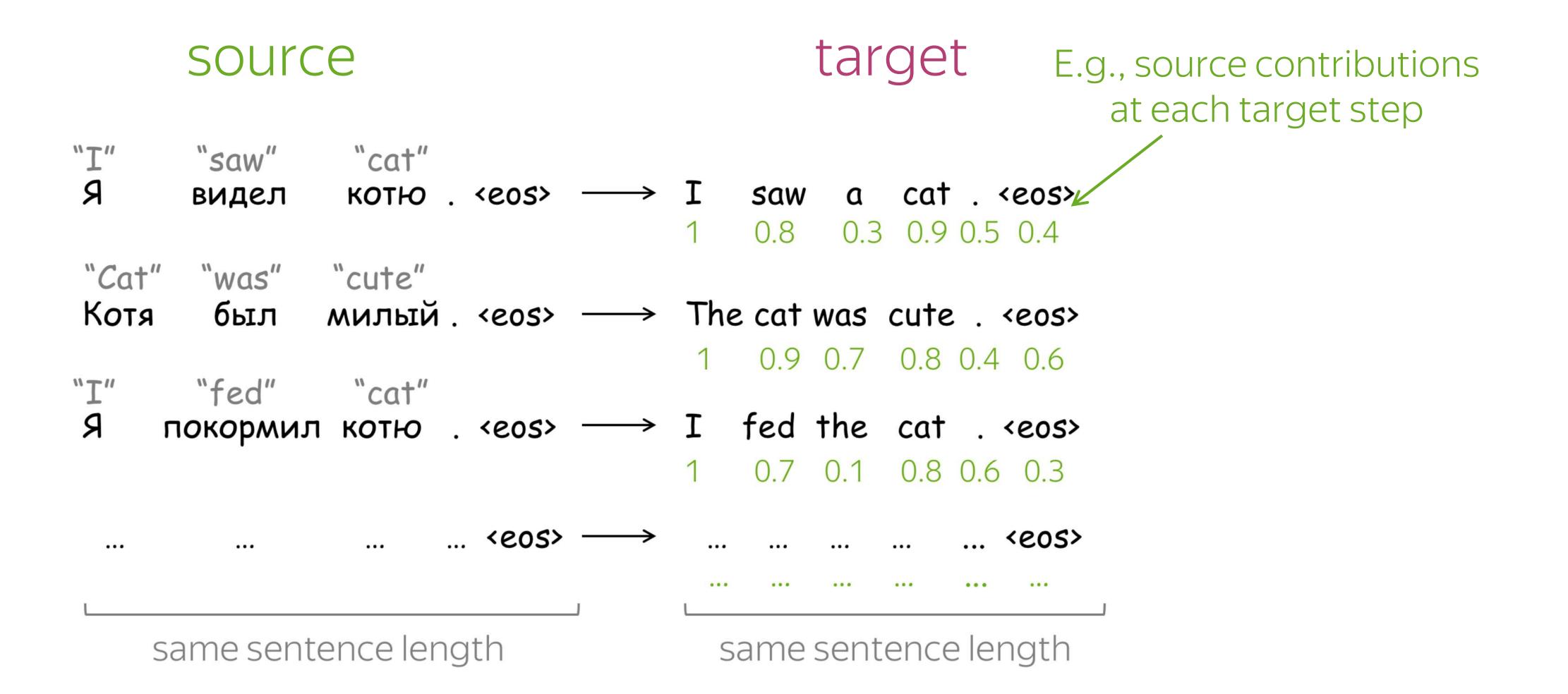
- separately for each target position
- total contribution or entropy of contributions



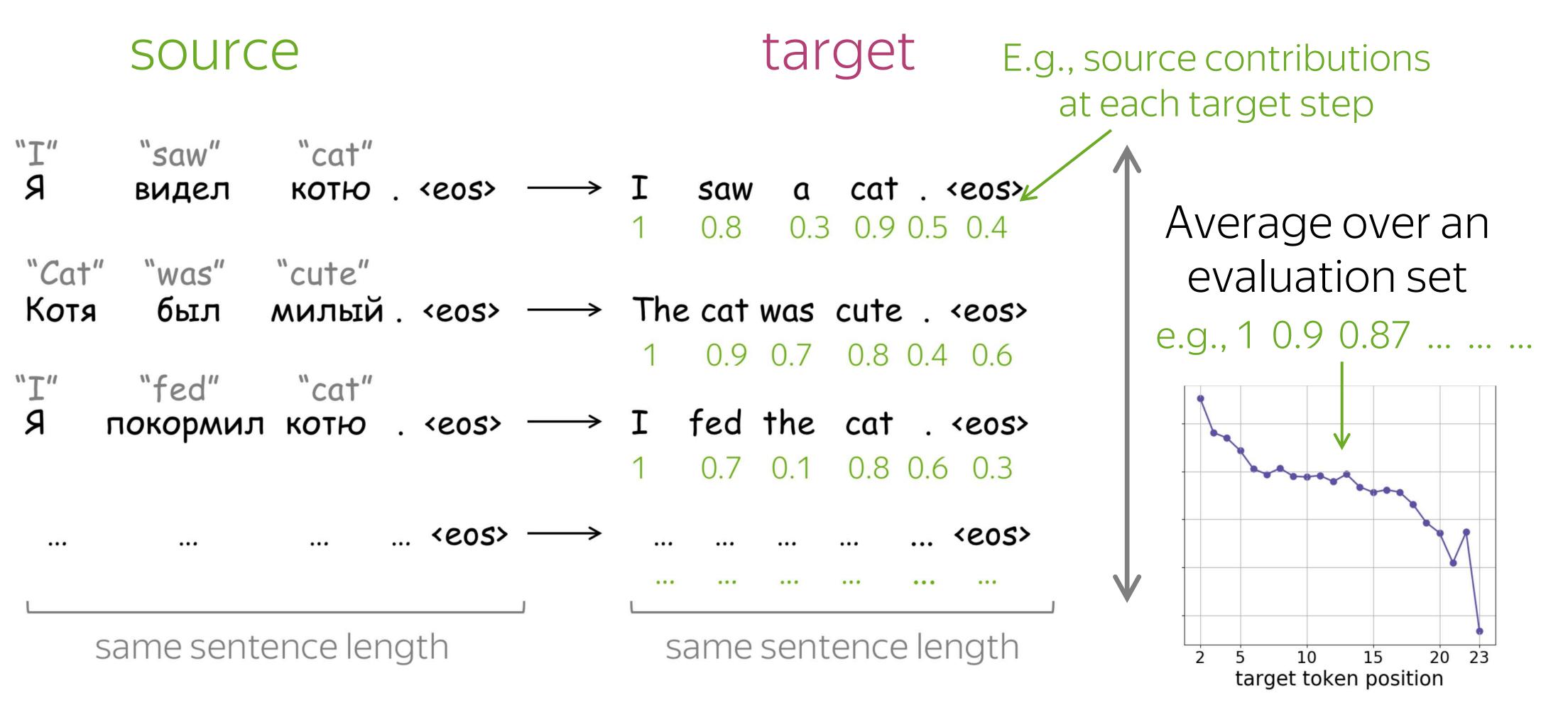
### We look at: average over a dataset

```
target
      source
               "cat"
      "saw"
                                       saw
               котю . <еоs>
      видел
                                                0.9 0.5 0.4
"Cat"
              "cute"
       "was"
Котя
       был
              милый. <eos> — > The cat was cute . <eos>
                                    1 0.9 0.7 0.8 0.4 0.6
               "cat"
                                   I fed the cat . <eos>
    покормил кот (eos) \longrightarrow 
                                                0.8 0.6 0.3
                     ... <eos>
                                                    ... <eos>
    same sentence length
                                     same sentence length
```

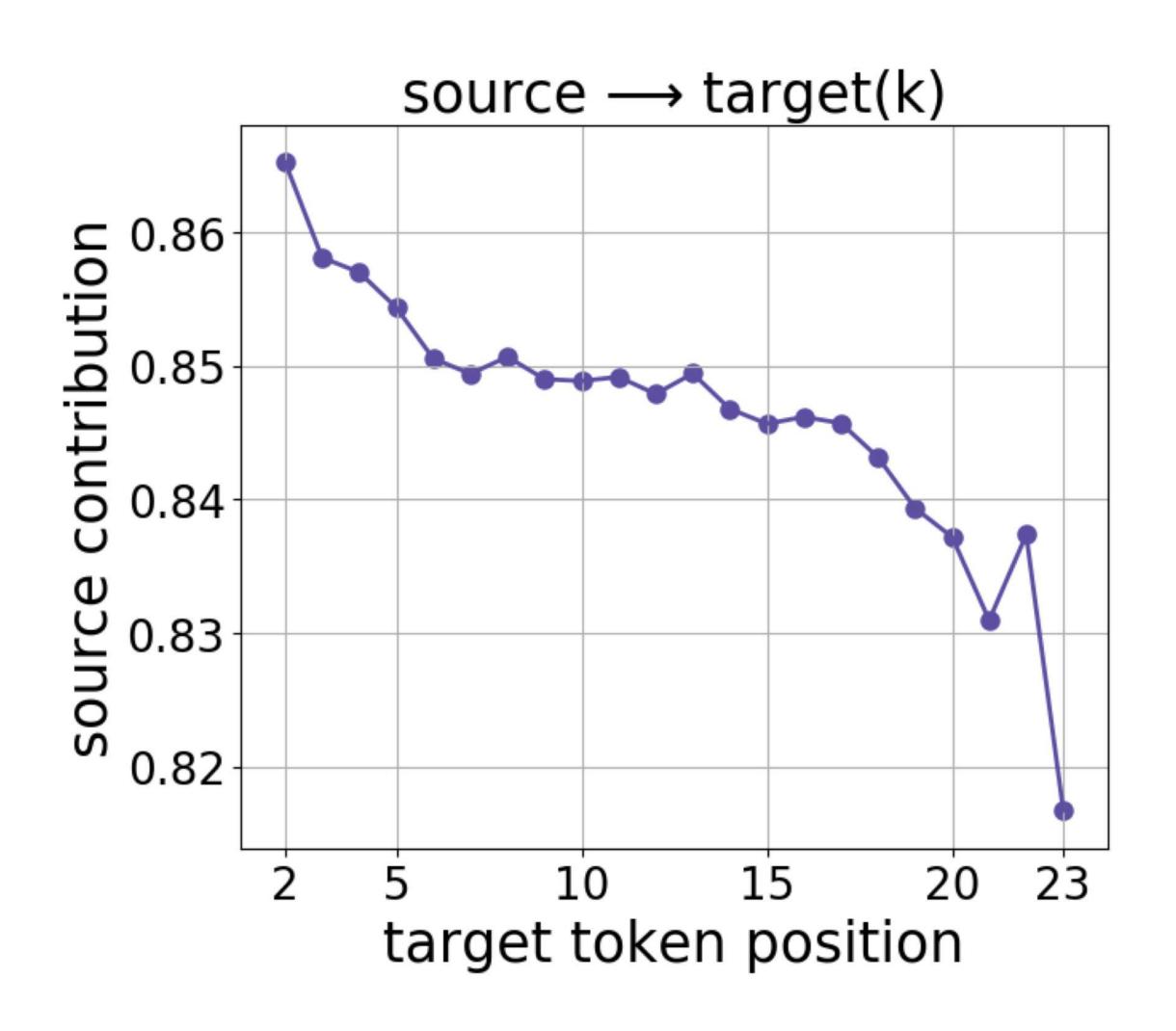
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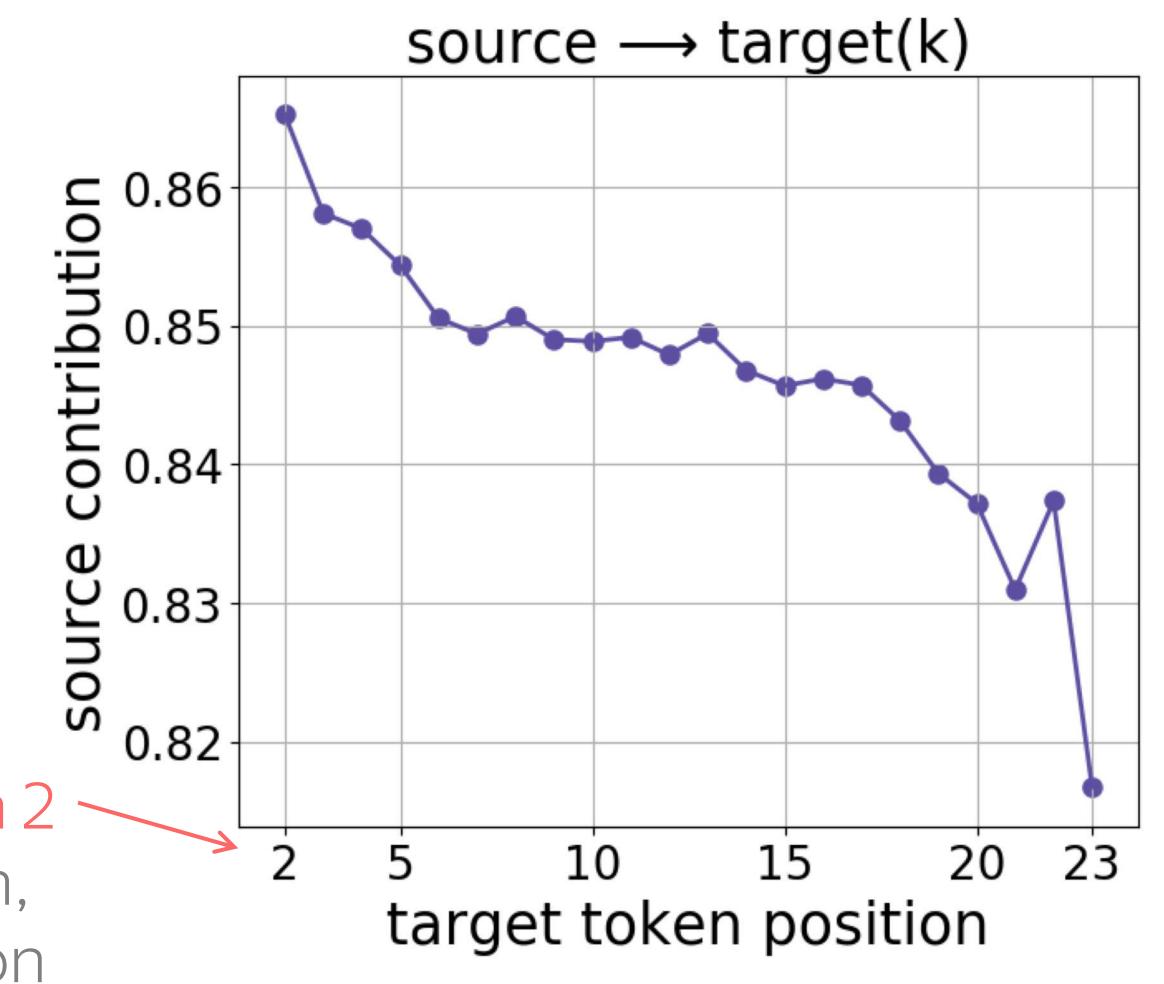


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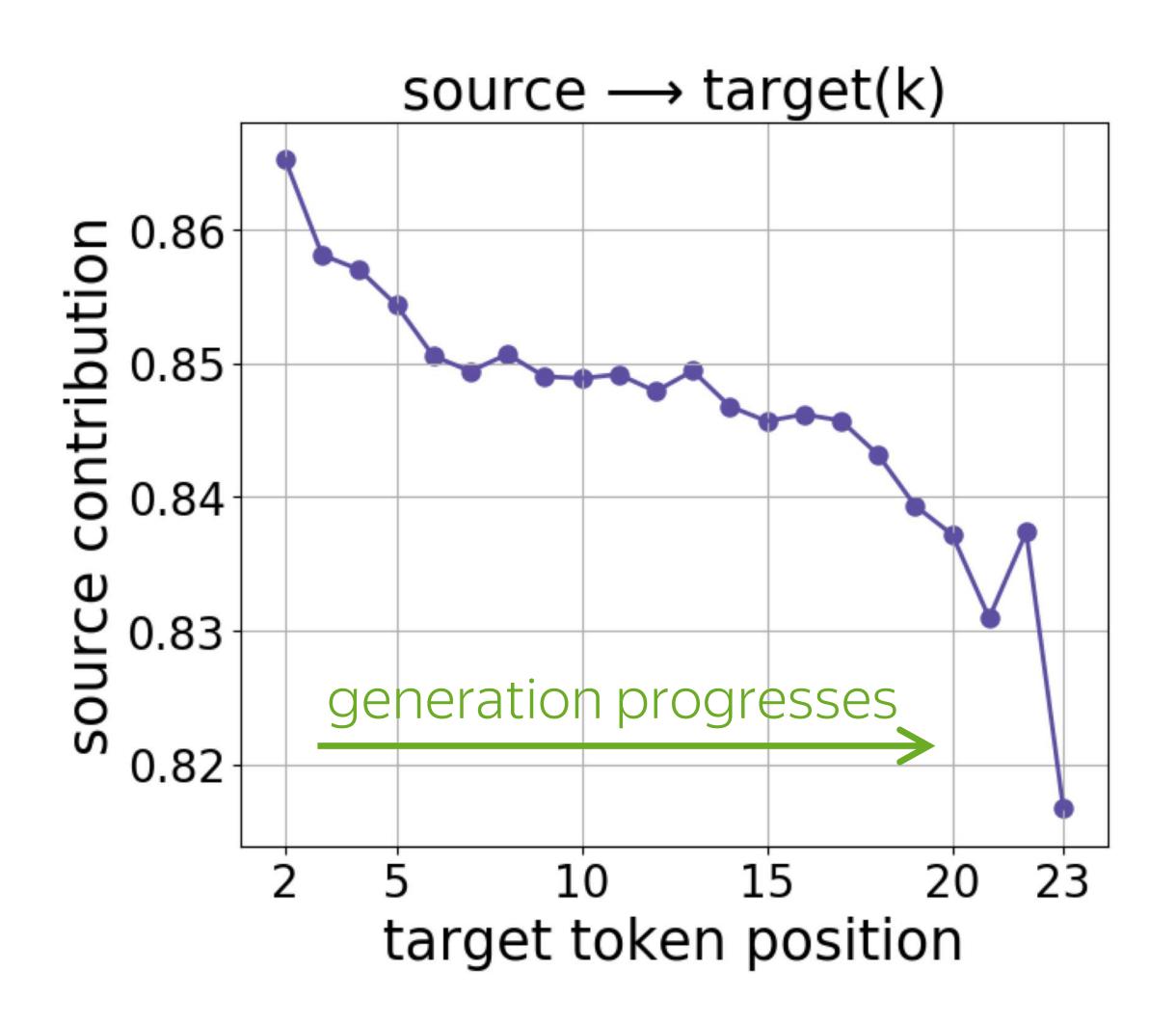


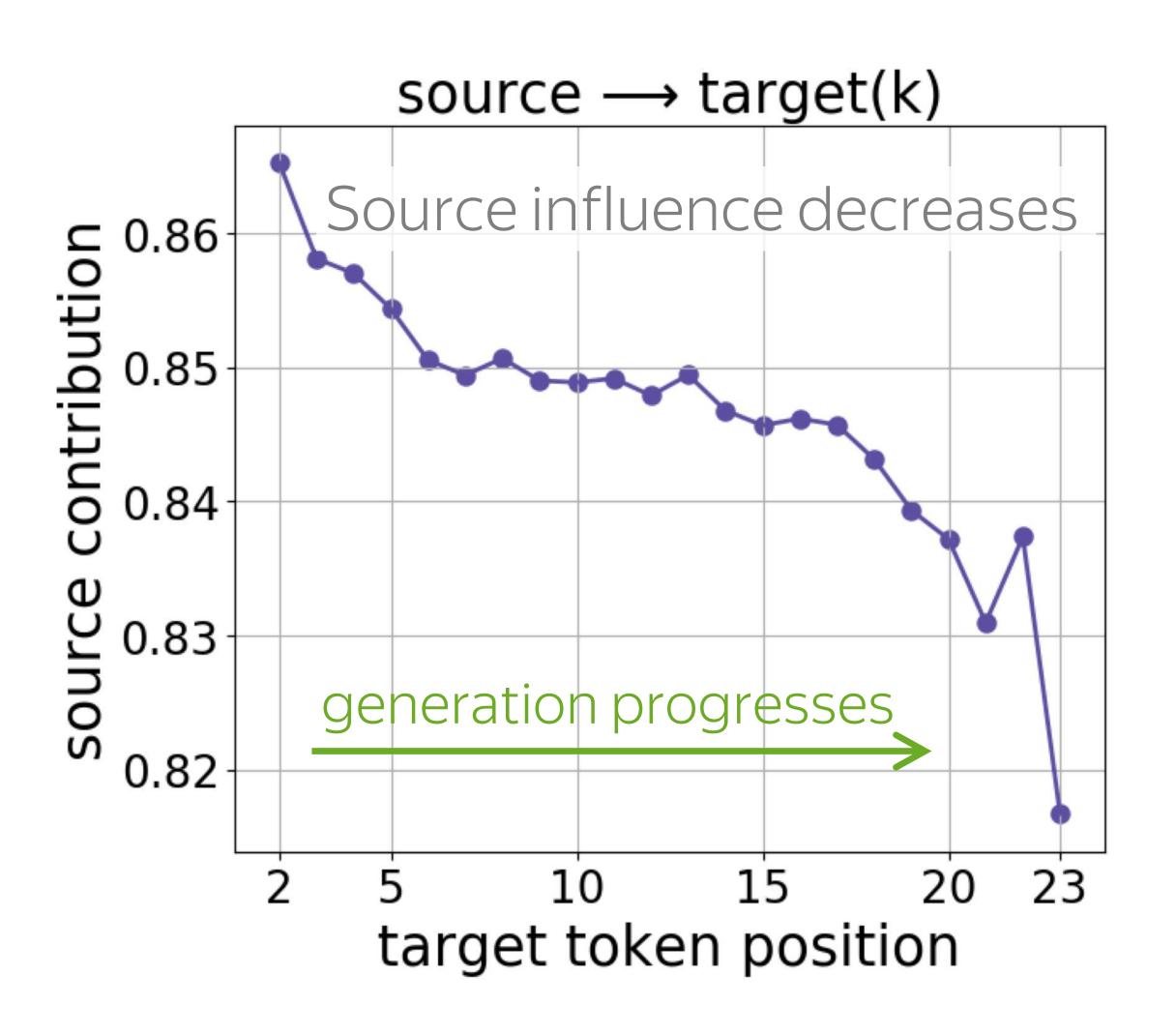
We see: general pattern

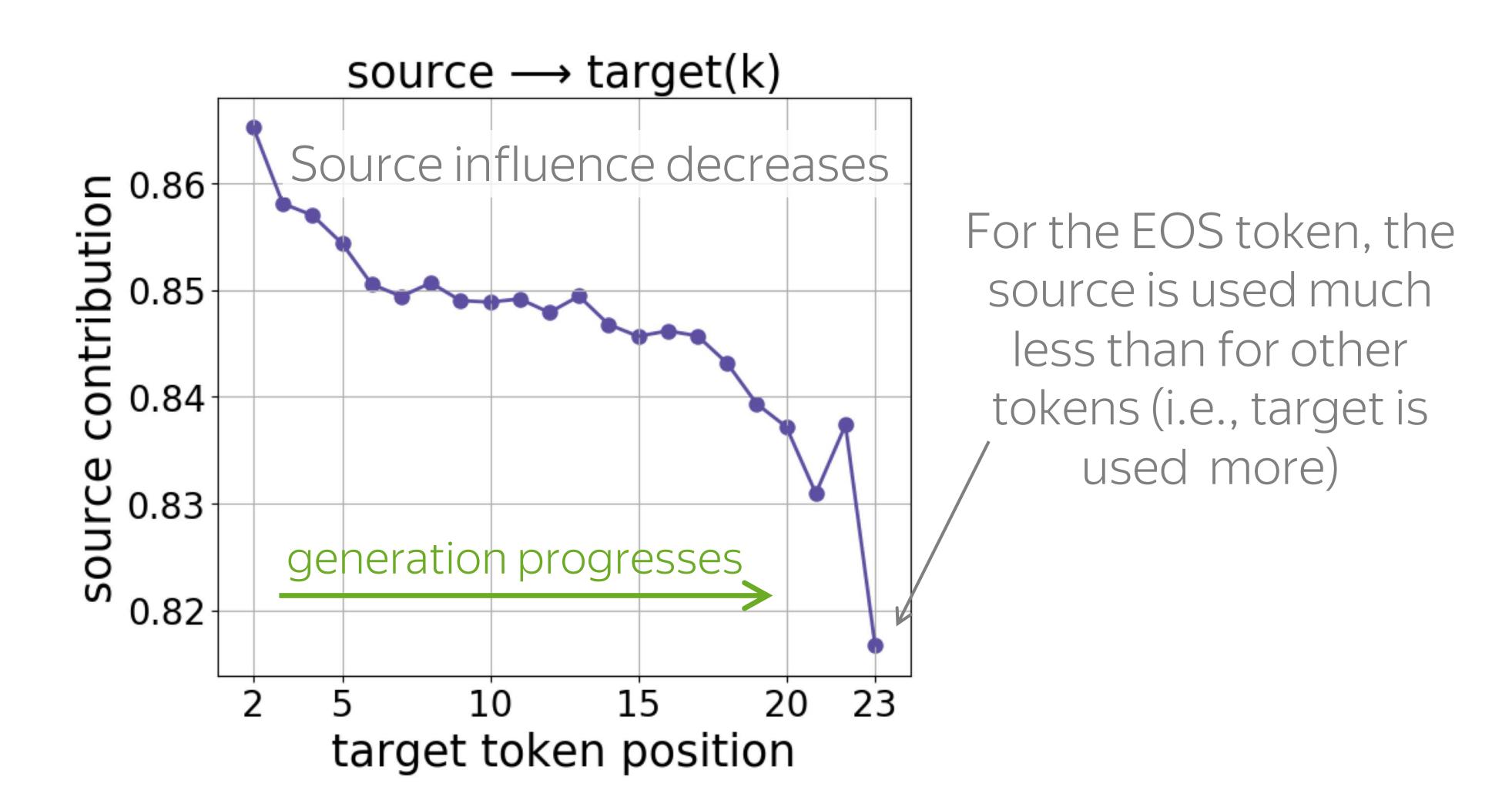


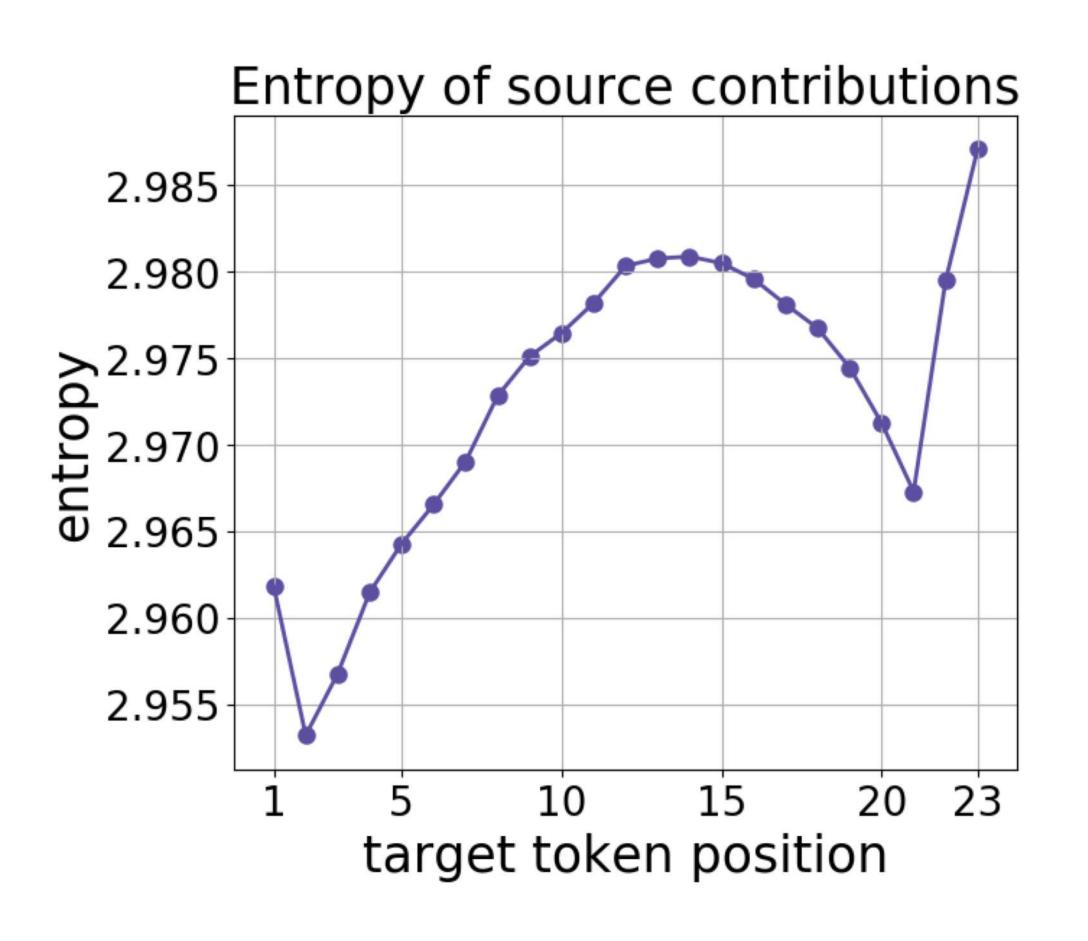


start from position 2 (for the first token, source contribution is always 1)

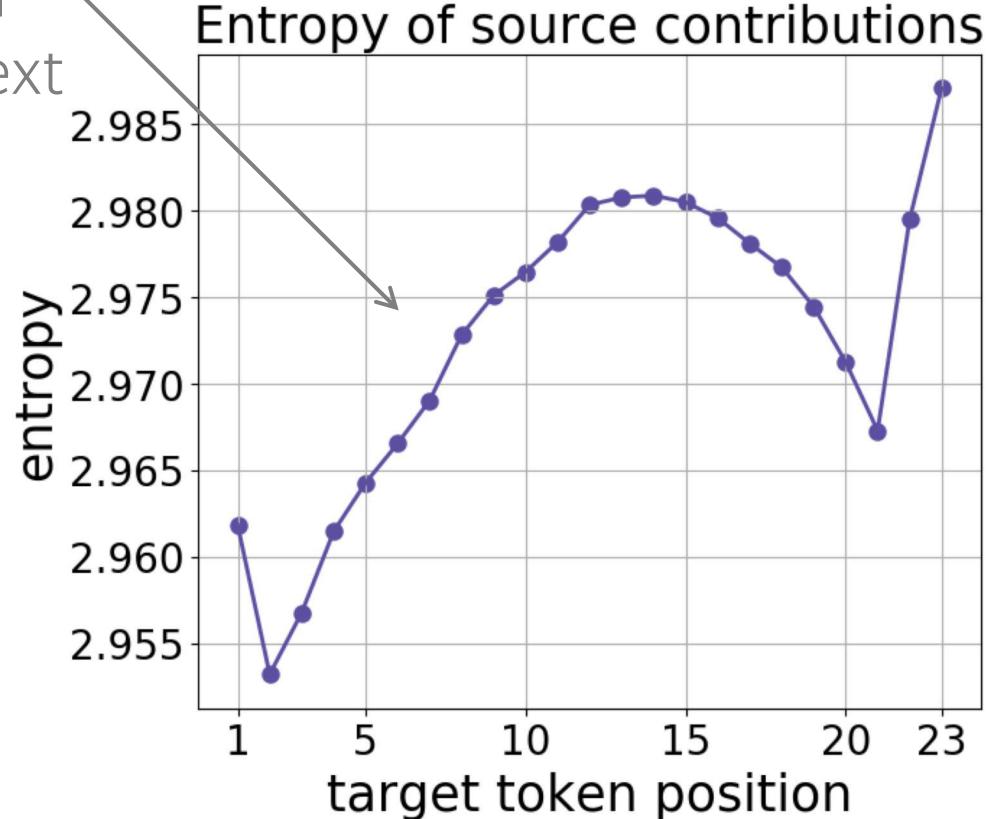




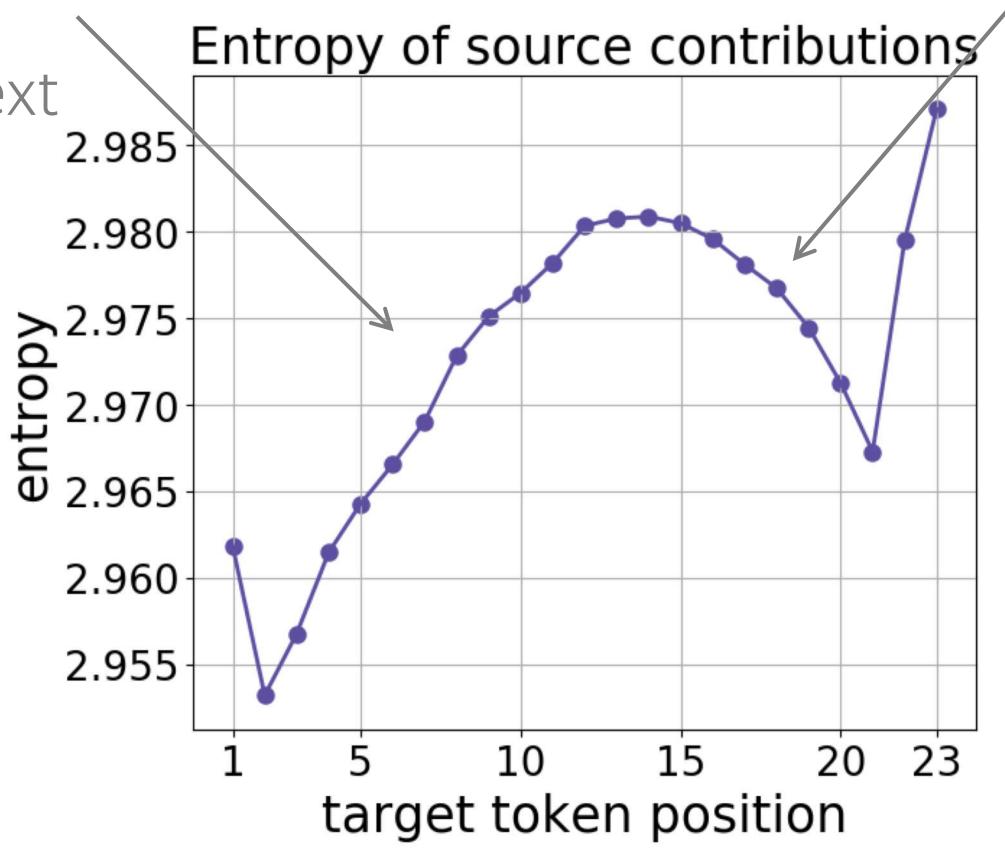




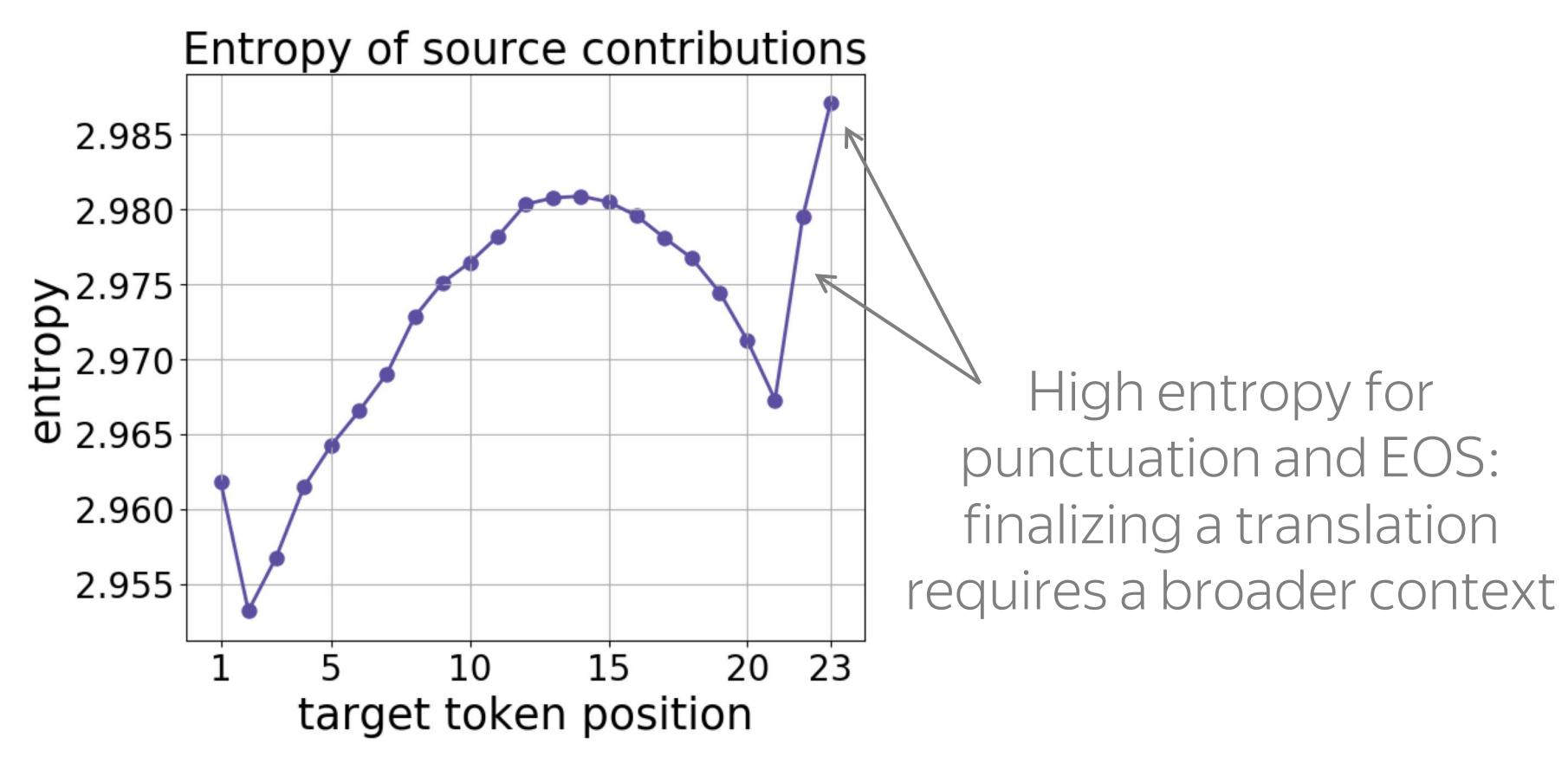
First, as generation progresses, the model relies on a broader context (entropy increases)



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Then, for the last part of a translation, it becomes more focused (entropy decreases)



## Summary

During generation,

- source influence decreases (i.e., prefix influence increases)
- entropy of source contributions goes up till the half of the translation, then down

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## Model Prefixes are Simpler

Compared to references, beam search translations:

contain fewer rare tokens

Burlot & Yvon, WMT 2018, Ott et al, ICML 2018

have less reoderings

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are simpler syntactically

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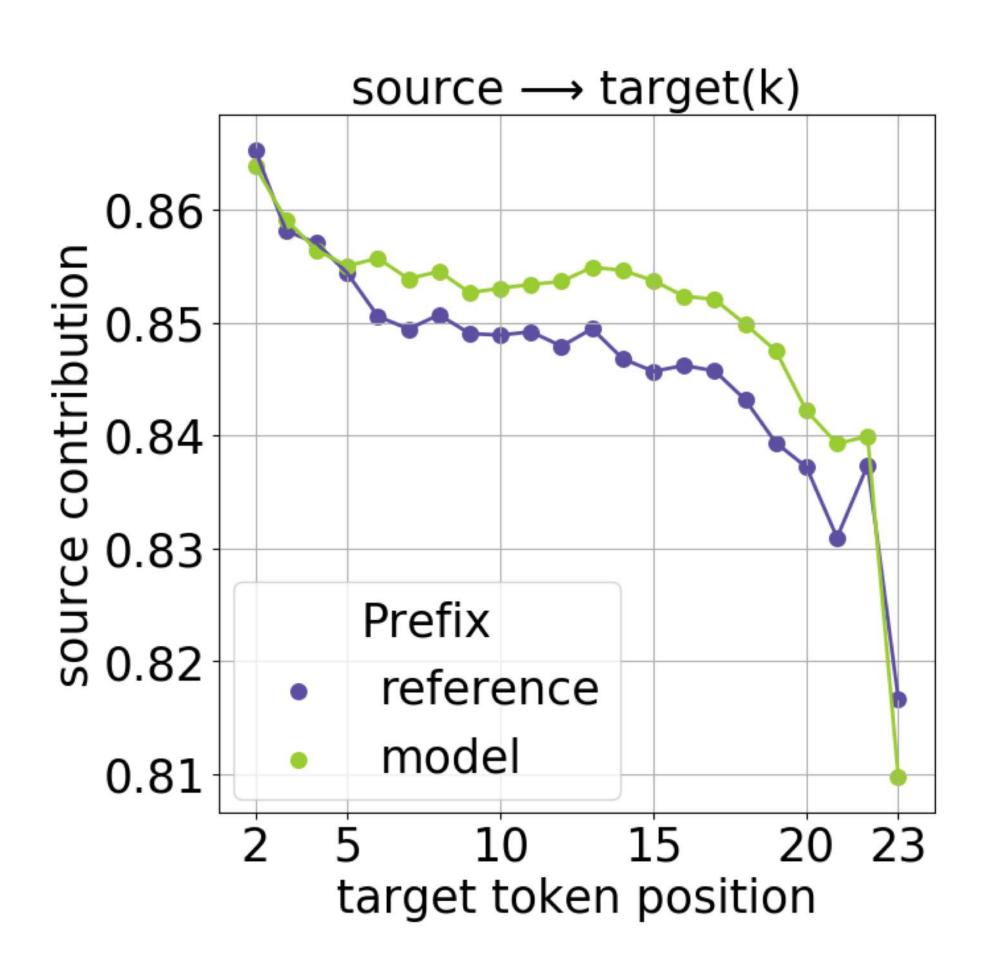
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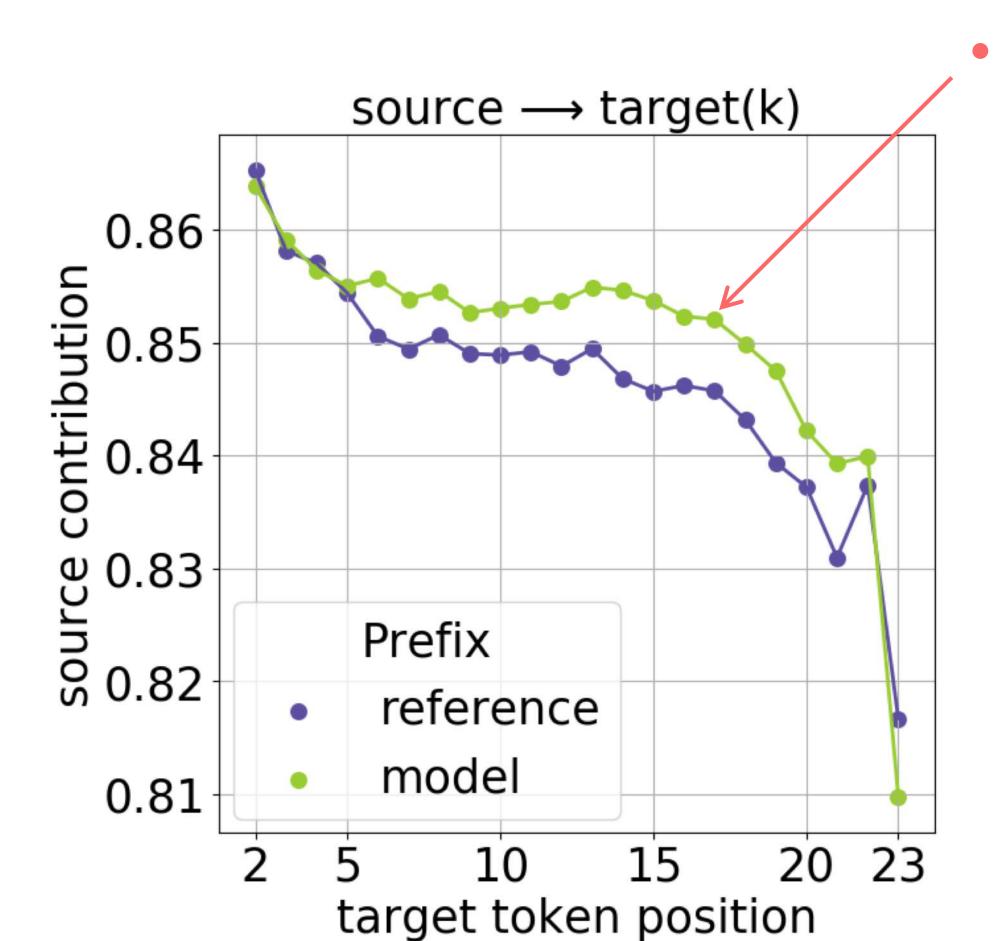
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Model-generated translations are simpler than references

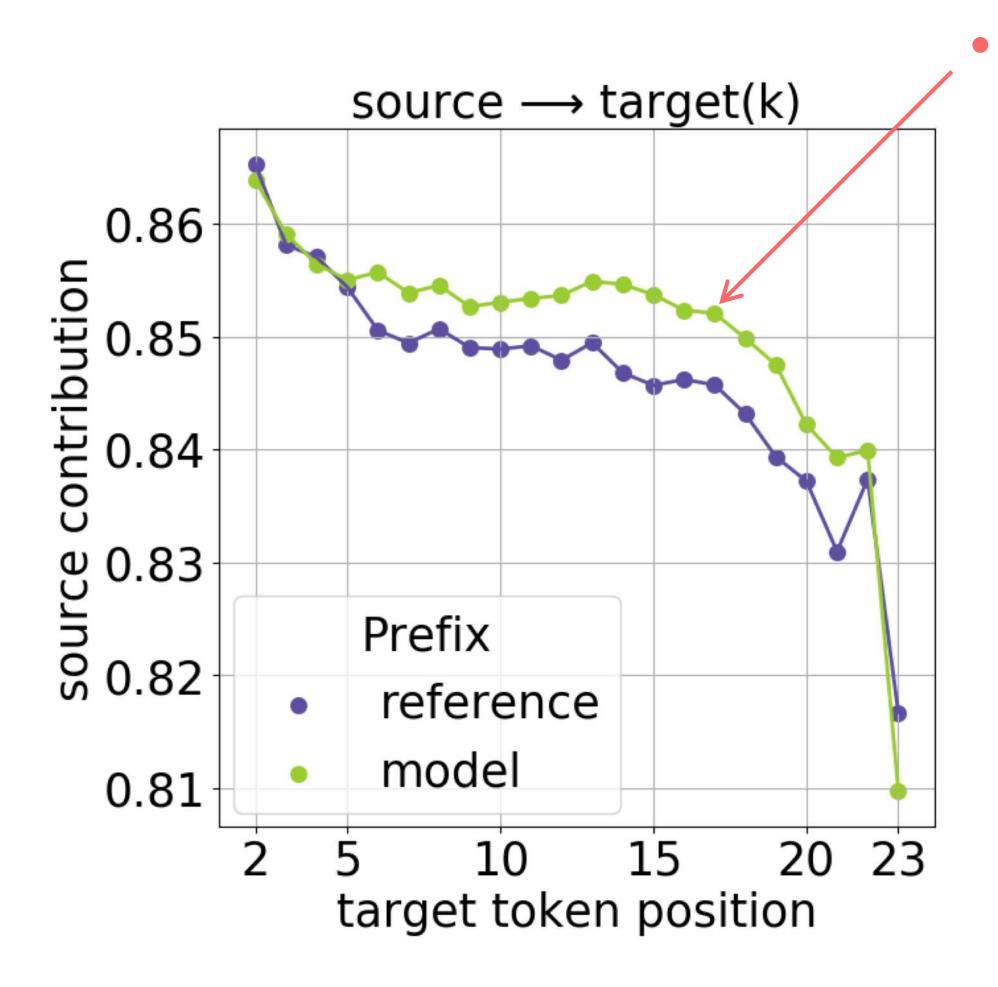


With model-generated prefixes:

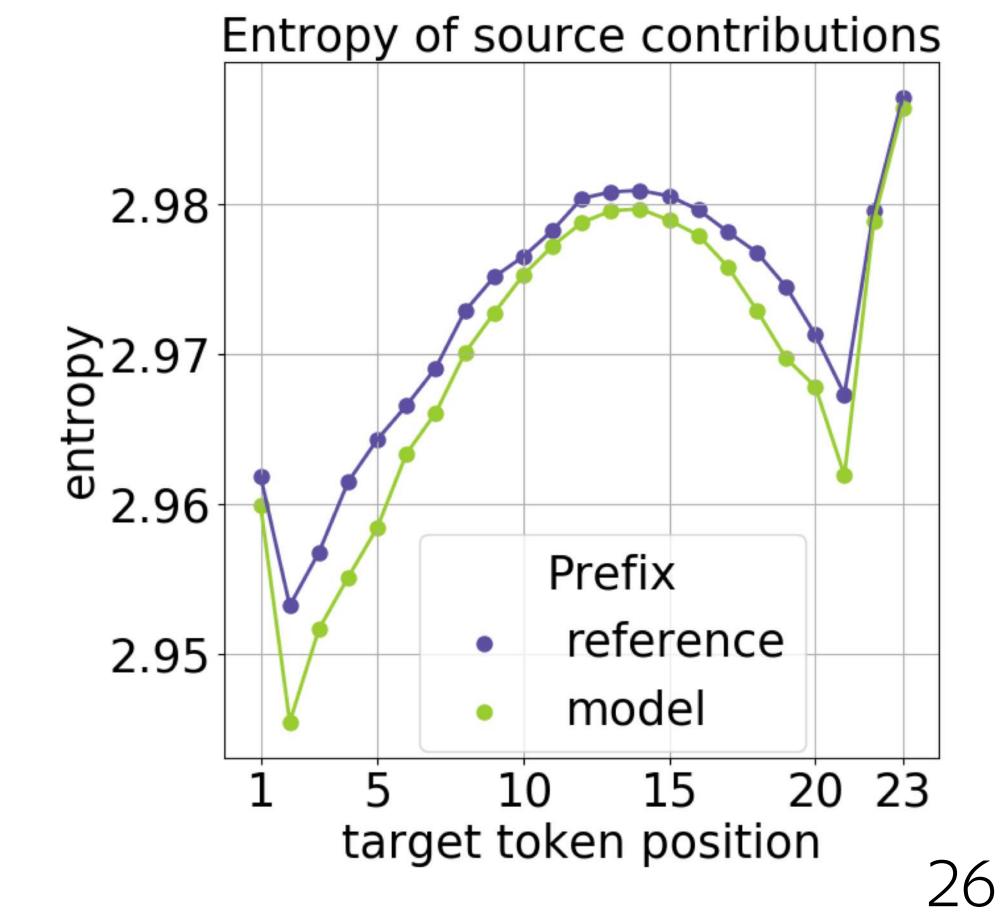


source is used more

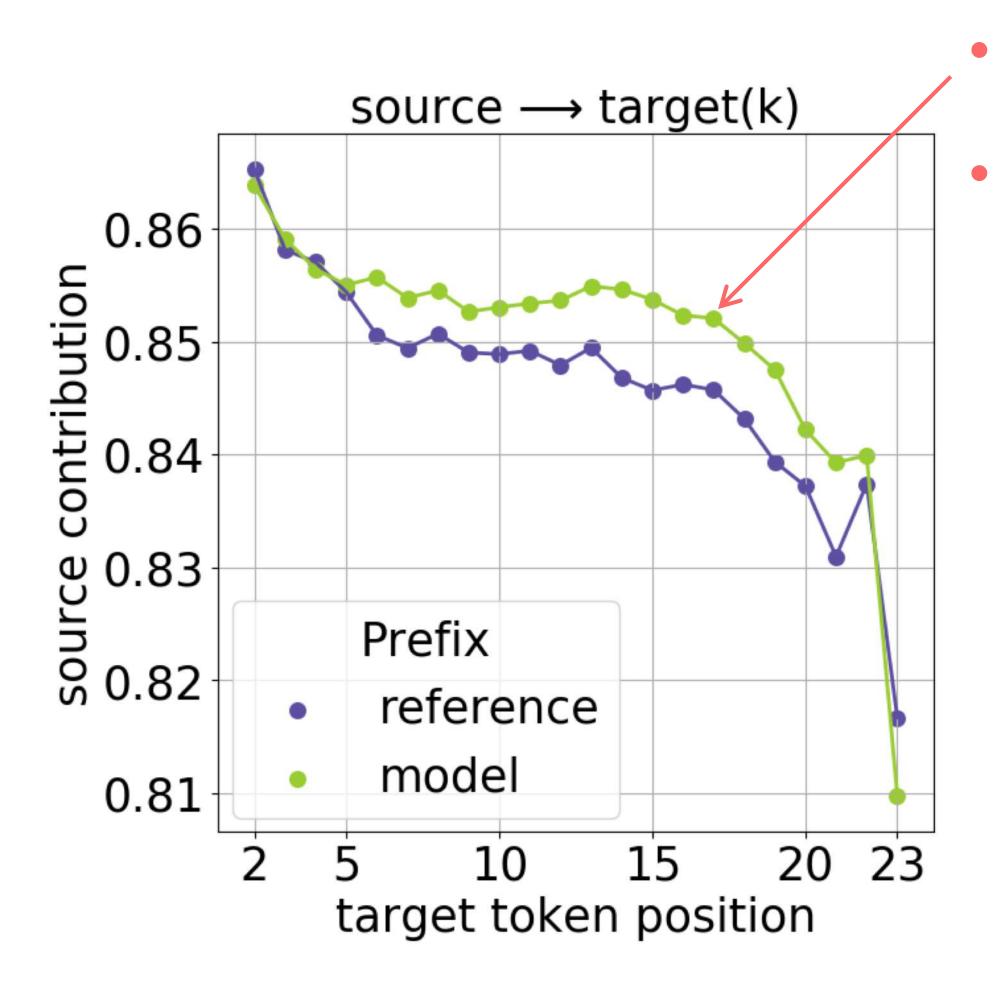
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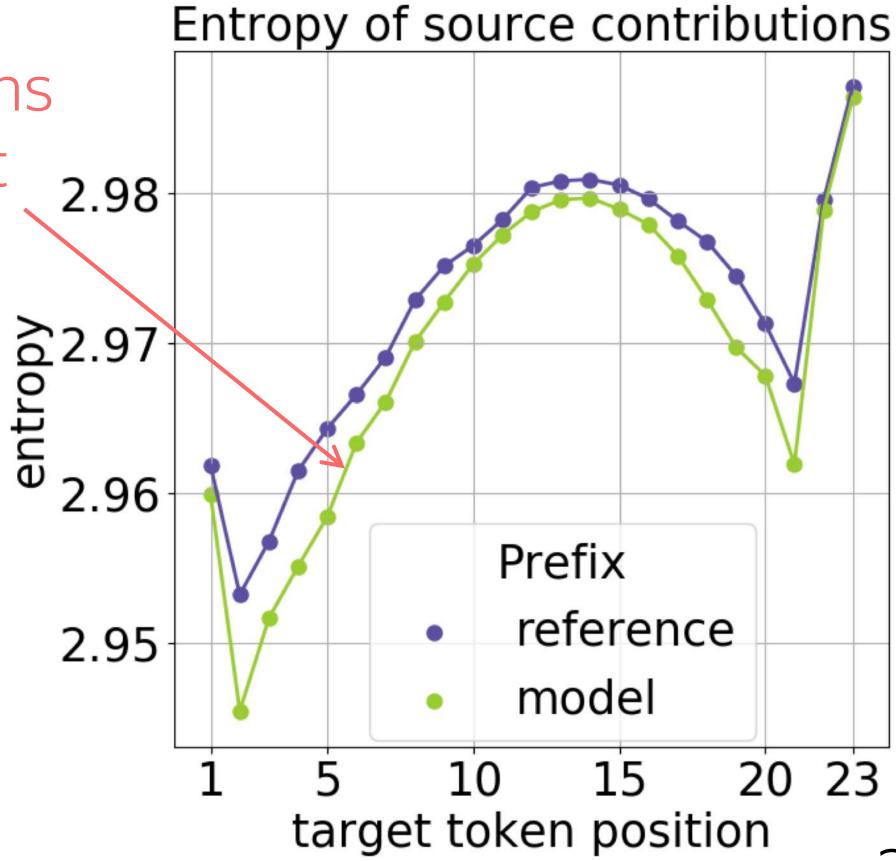


#### With model-generated prefixes:



source is used more

source contributions are more confident



26

#### Random vs Reference Prefixes

"I saw a hungry cat on a mat yesterday"
Р(...|Вчера я видел голодного кота на матрасе, The man in a blue shirt)
source target prefix
do not make sense together

prefix of a random sentence

#### Random vs Reference Prefixes

"I saw a hungry cat on a mat yesterday"
Р(...|Вчера я видел голодного кота на матрасе, The man in a blue shirt)
source target prefix
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Why random prefixes?

- We want to understand what happens when a model is hallucinating
- Random prefixes is a simple way to simulate hallucination mode

Previous work

Previous work

NMT models can hallucinate

i.e. decoder ignores source and samples from its language mode



NMT models can hallucinate

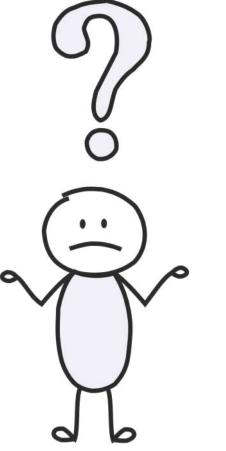
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LMs can ignore gibberish prefixes

i.e. they have the 'self-recovery' ability

NMT models can hallucinate

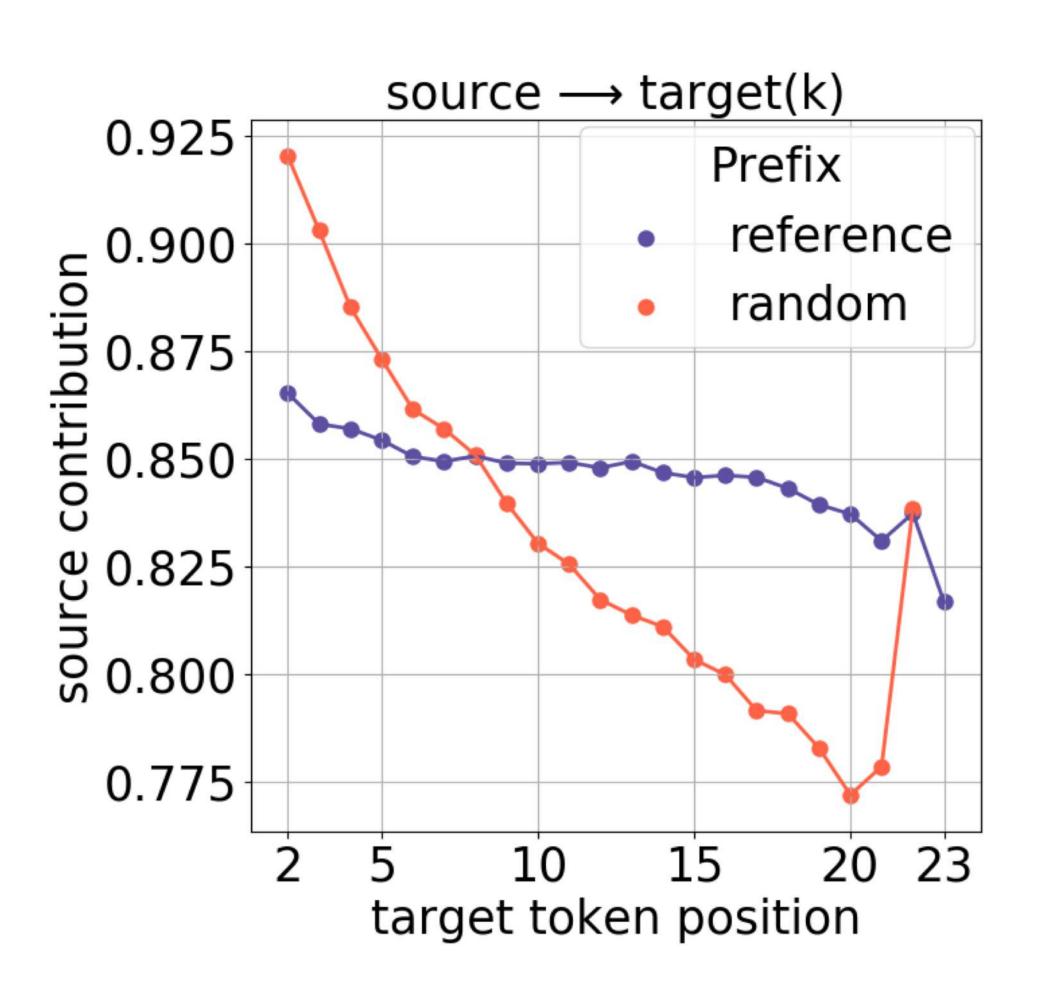
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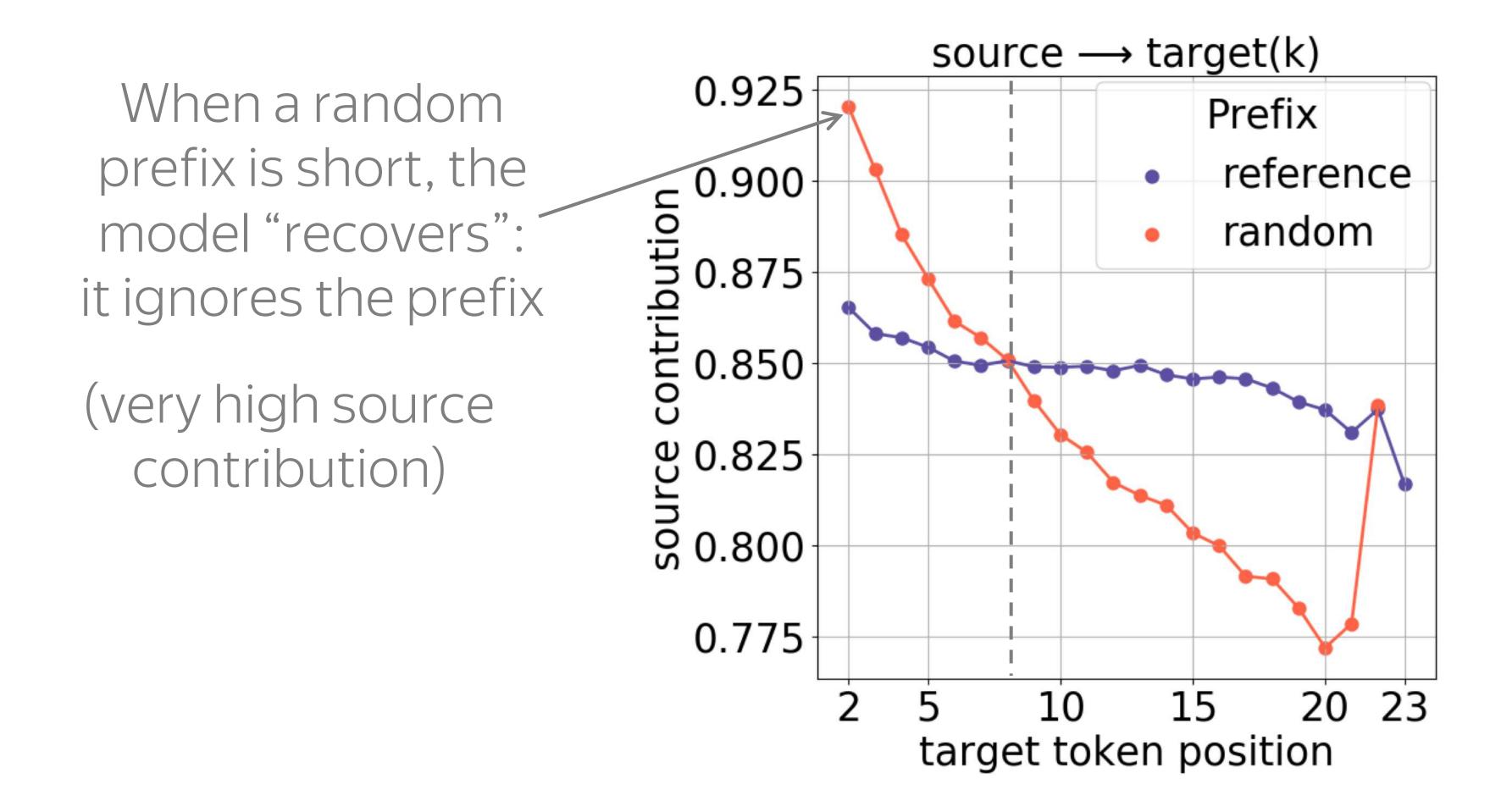


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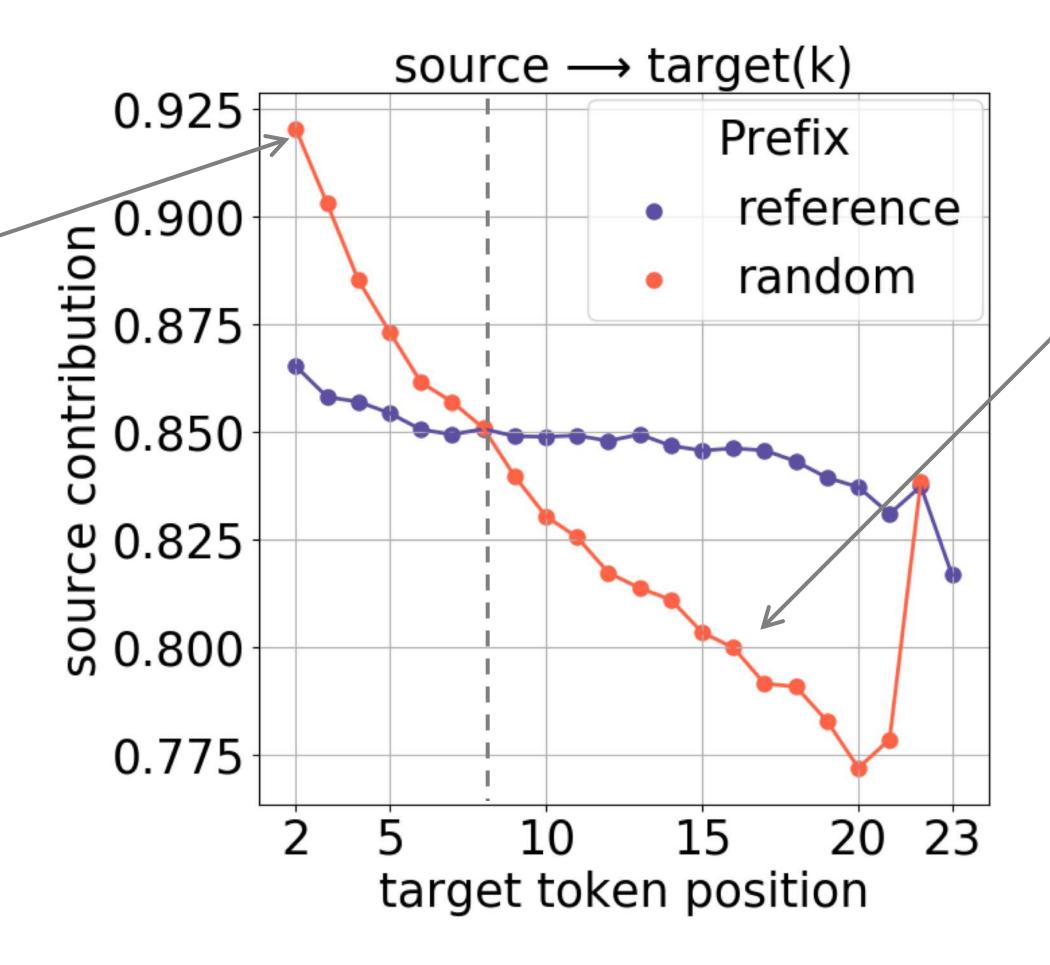
What will our model do?





When a random prefix is short, the model "recovers": it ignores the prefix

(very high source contribution)



When a random prefix is long, the model "hallucinates": it ignores the source

(very low source contribution)

#### Summary: Different Prefixes

#### Reference vs Model prefixes

- a model uses source more and does it more confidently
- probably because model-generated prefixes are simpler

#### Reference vs Random prefixes

- if a random prefix is short, a model ignores the prefix
- if a random prefix is long, a model ignores the source

#### What is going to happen:

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# Experiments:

Exposure Bias and Source Contributions



Training objective Exposure bias

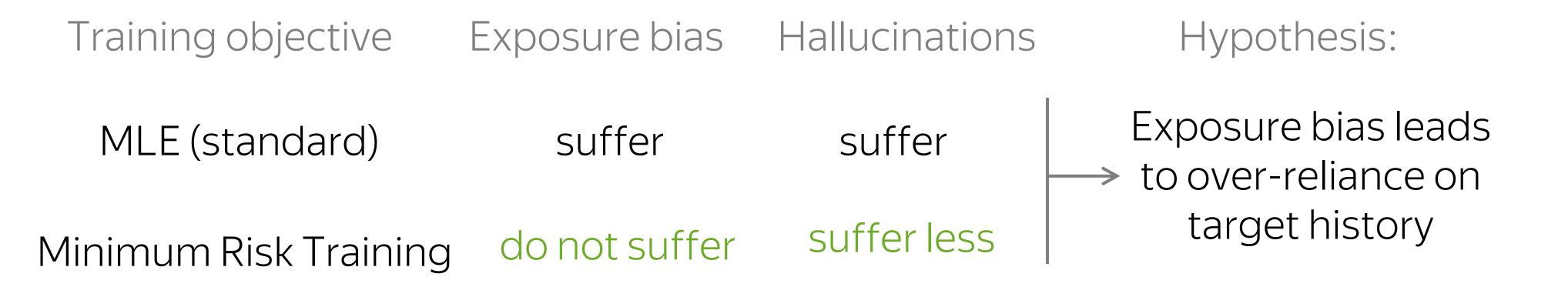
MLE (standard) suffer

Minimum Risk Training do not suffer

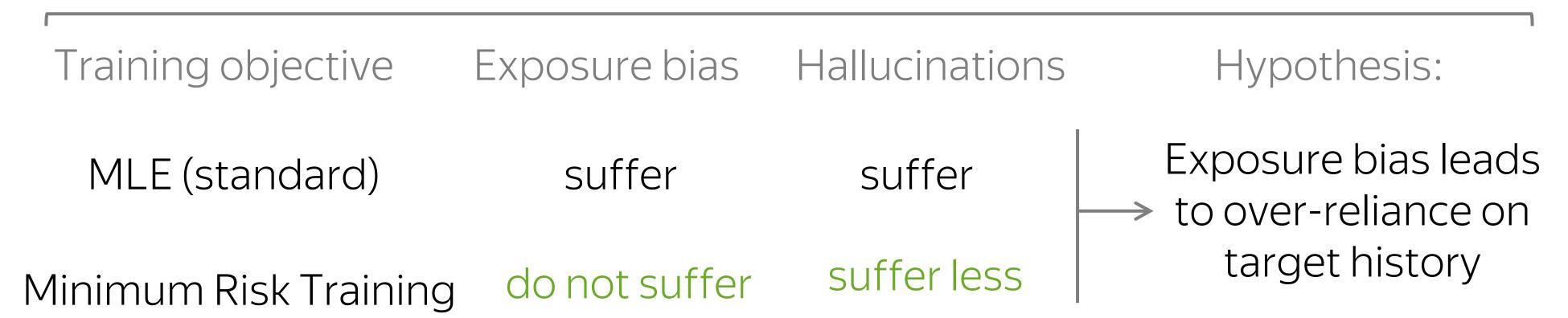
Training objective Exposure bias Hallucinations

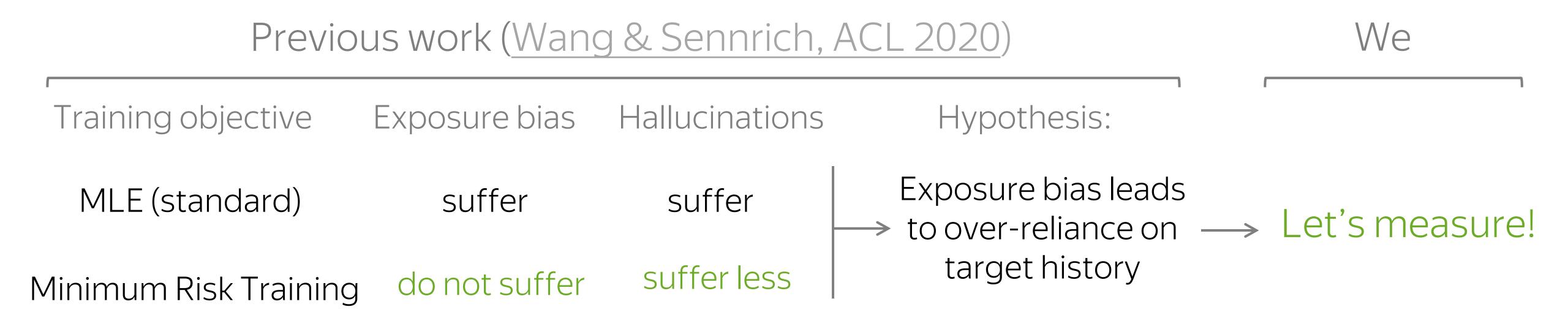
MLE (standard) suffer suffer

Minimum Risk Training do not suffer suffer less



#### Previous work (Wang & Sennrich, ACL 2020)





Model Exposure bias

baseline suffer

word dropout, source suffer

word dropout, target suffer a bit less

Minimum Risk Training do not suffer

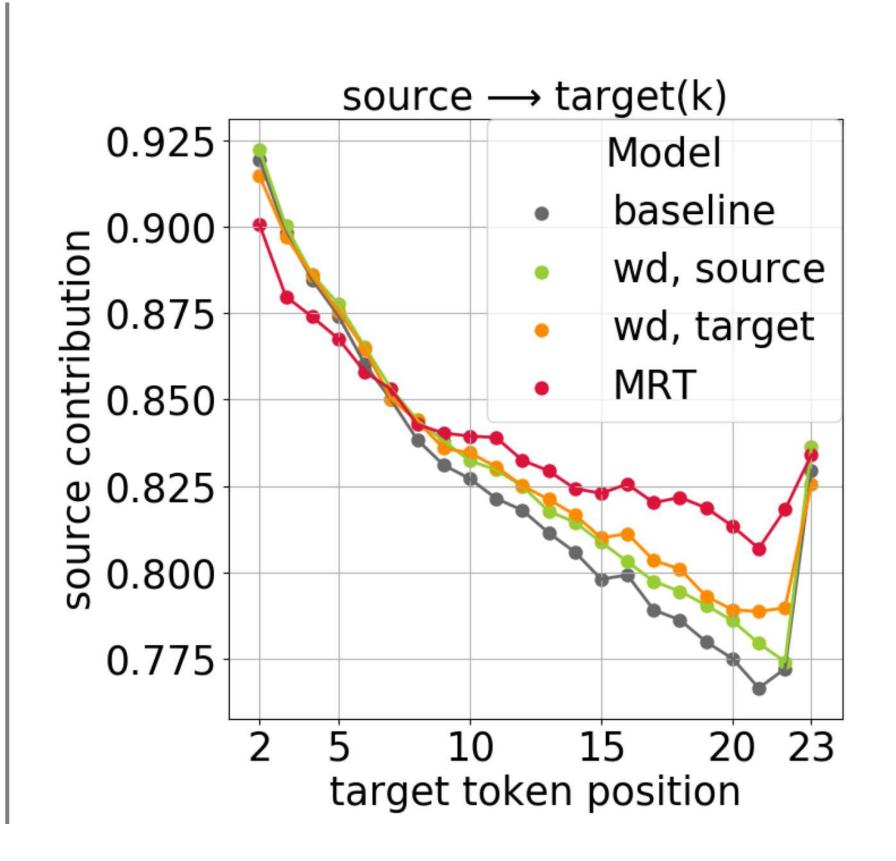
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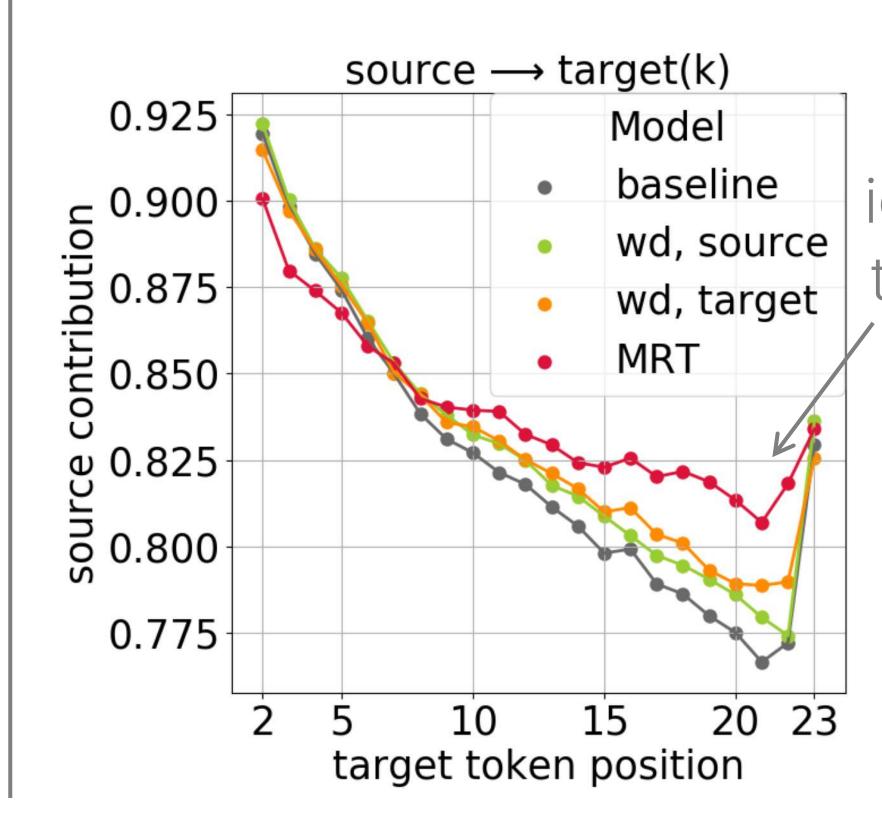
baseline suffe

word dropout, source suffe

word dropout, target suffer

Minimum Risk Training

suffer
suffer
suffer
suffer a bit less
do not suffer



With MRT, models ignore the source less than any other model

With word dropout, models:

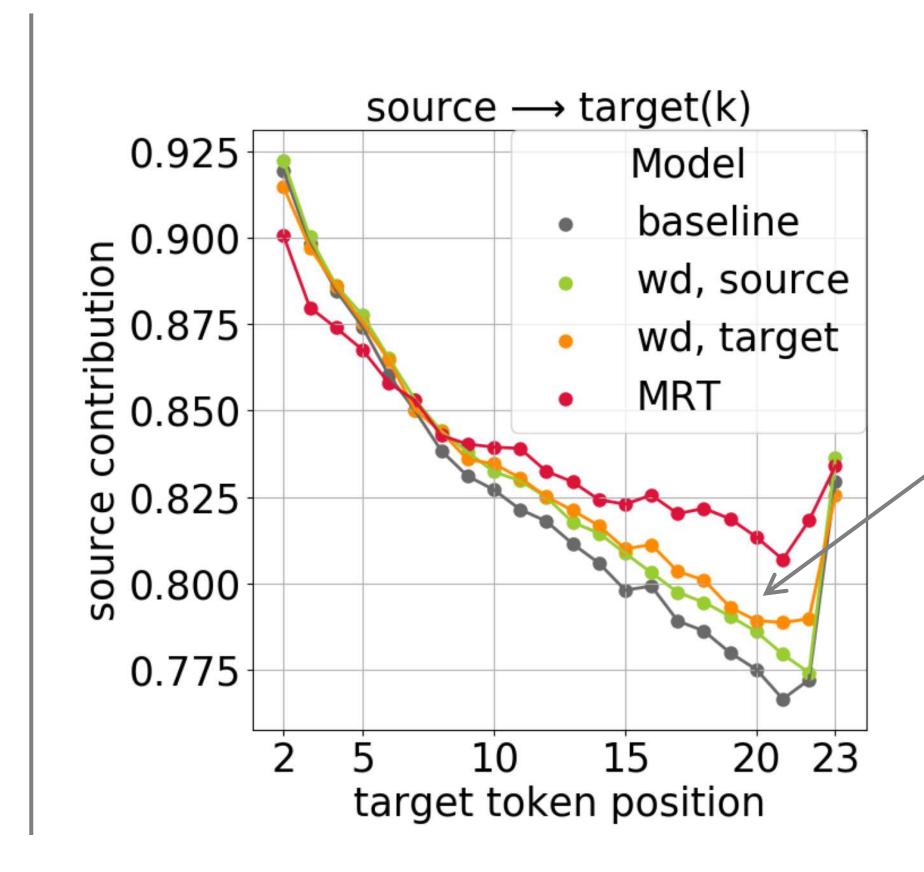
Model Exposure bias

baseline suffer

word dropout, source suffer

word dropout, target suffer a bit less

Minimum Risk Training do not suffer



- ignore the source a bit less than the baseline
- on the target side the effect is larger

Model Exposure bias

baseline

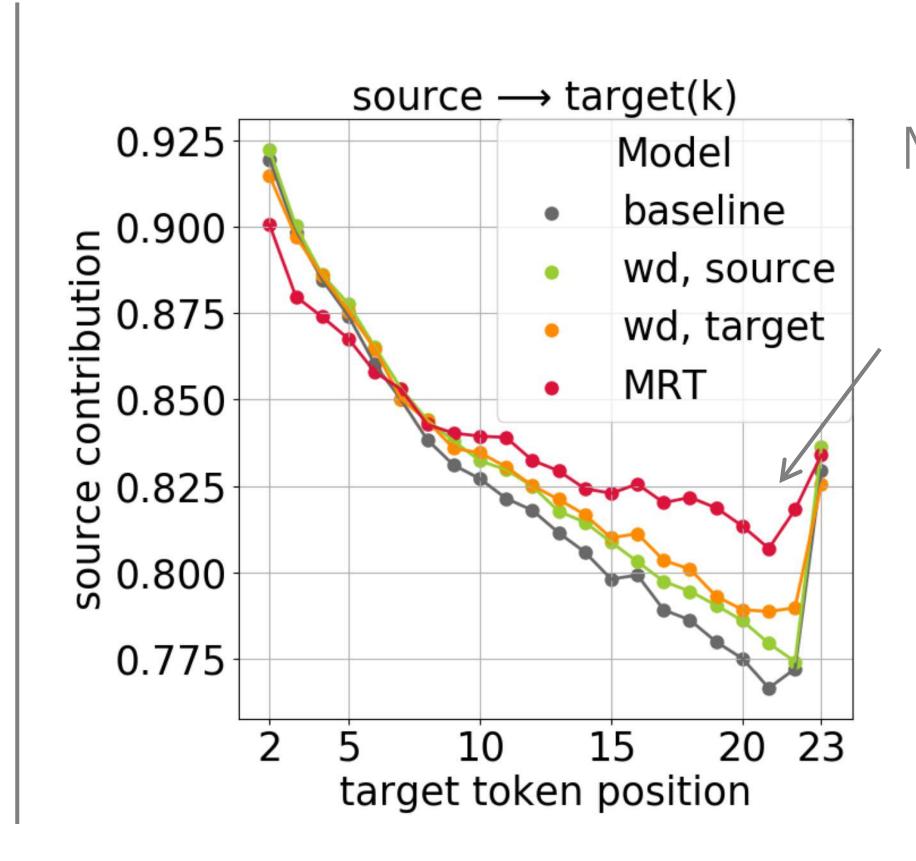
suffer

word dropout, source

word dropout, target

Minimum Risk Training

suffer suffer a bit less do not suffer



Models with alleviated exposure bias ignore the source less than other models

(when conditioned on random prefixes)

### Random prefix, entropy of source contributions

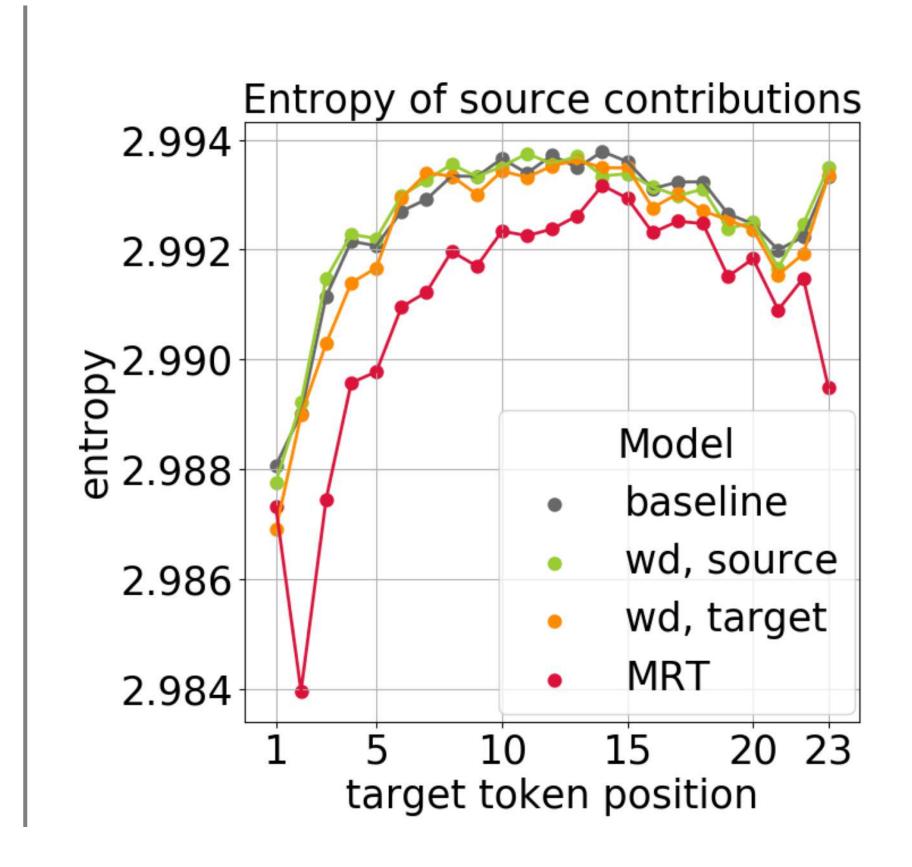
Model Exposure bias

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## Random prefix, entropy of source contributions

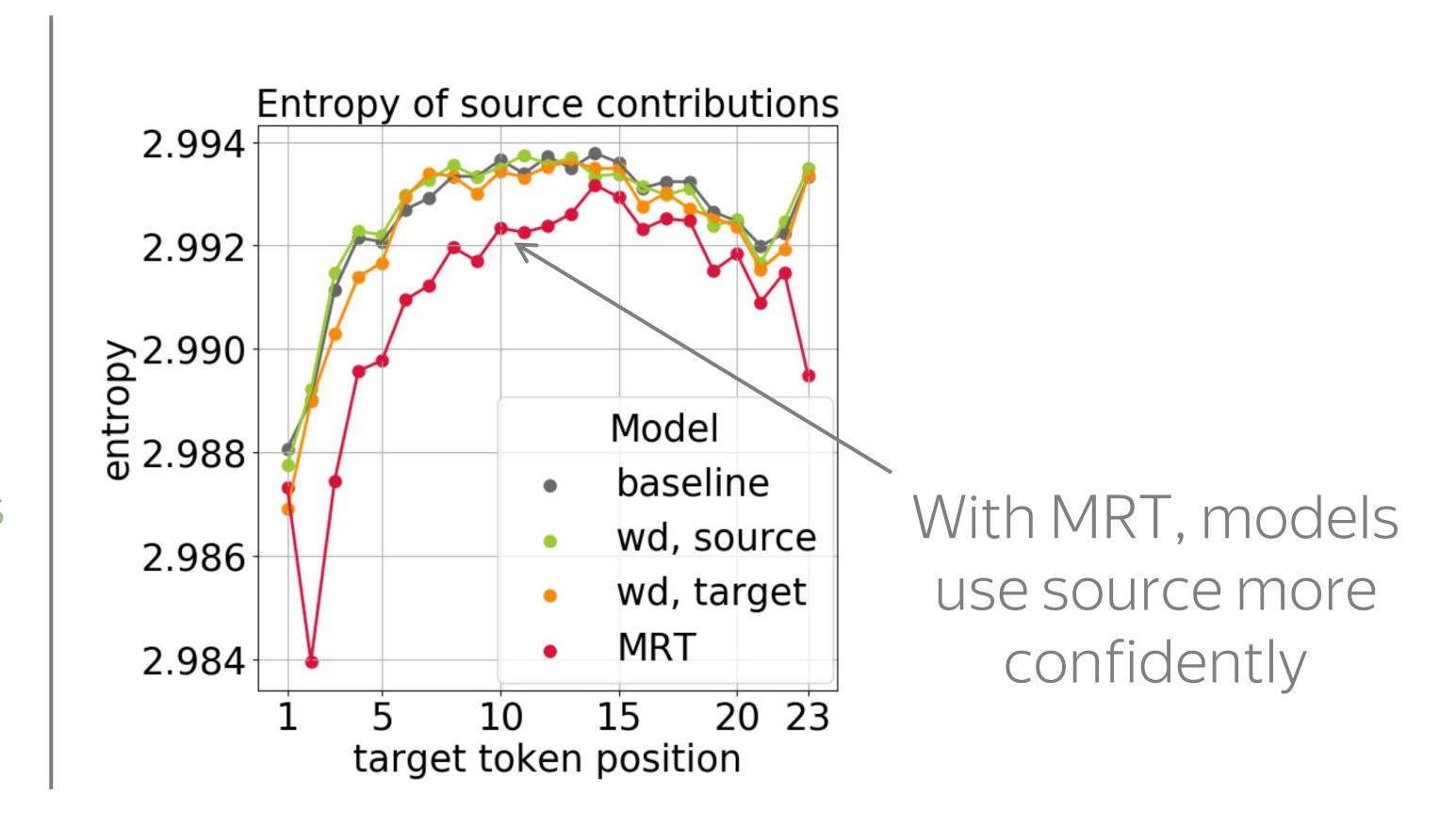
Model Exposure bias

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word dropout, target suffer a bit less

Minimum Risk Training do not suffer



#### Summary: Exposure Bias and Hallucinations

Compared to models where the exposure bias is mitigated,

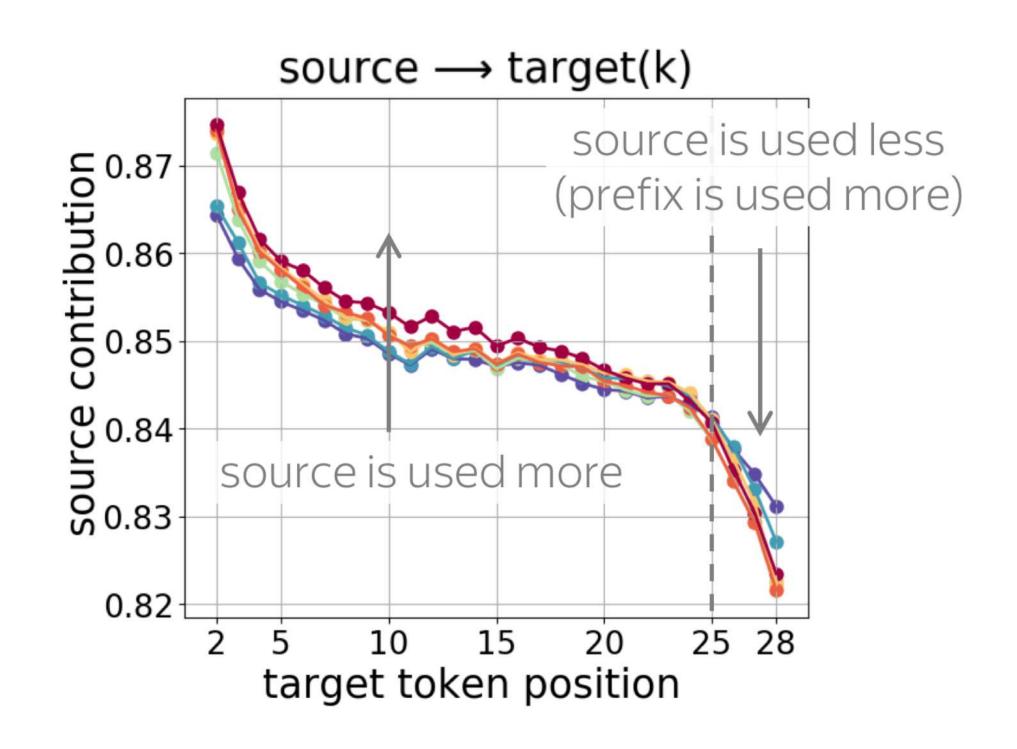
 Models suffering from exposure bias are more prone to over-relying on target history (and hence to hallucinating)

# Experiments:

Varying the Amount of Data



## Varying Amount of Training Data

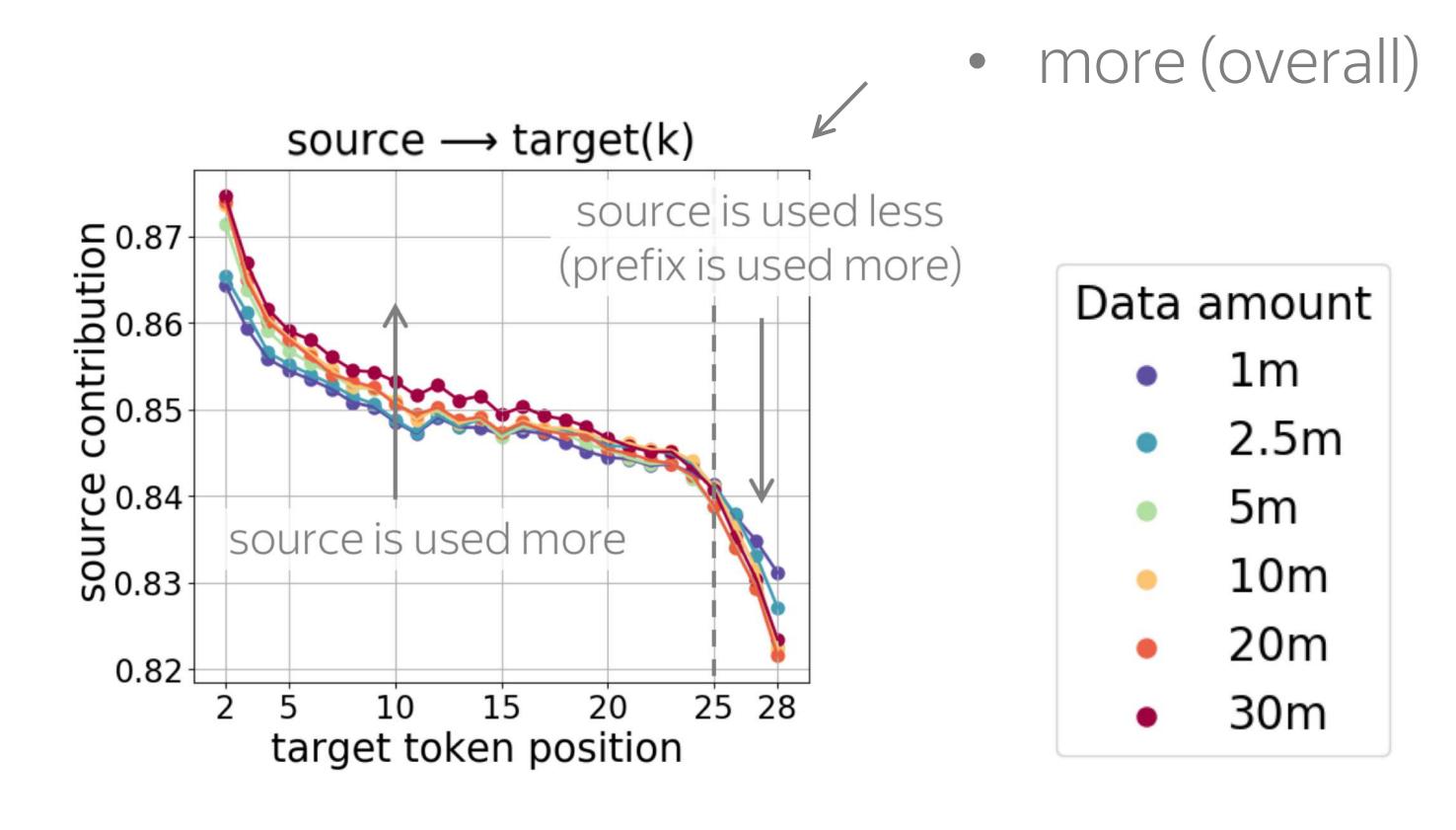


#### Data amount

- 1m
- 2.5m
- 5 m
- 10m
- 20m
- 30m

## Varying Amount of Training Data

With more data, models use source:



## Varying Amount of Training Data

With more data, models use source:



## What is going to happen:

#### The Trade-Off Between Source and Target

- Our Approach: (a version of) LRP
- Experiments
  - Getting Acquainted
  - o Reference, Model and Random Prefixes
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  - o Training Stages

(A Bit of) the Training Process (work in progress)

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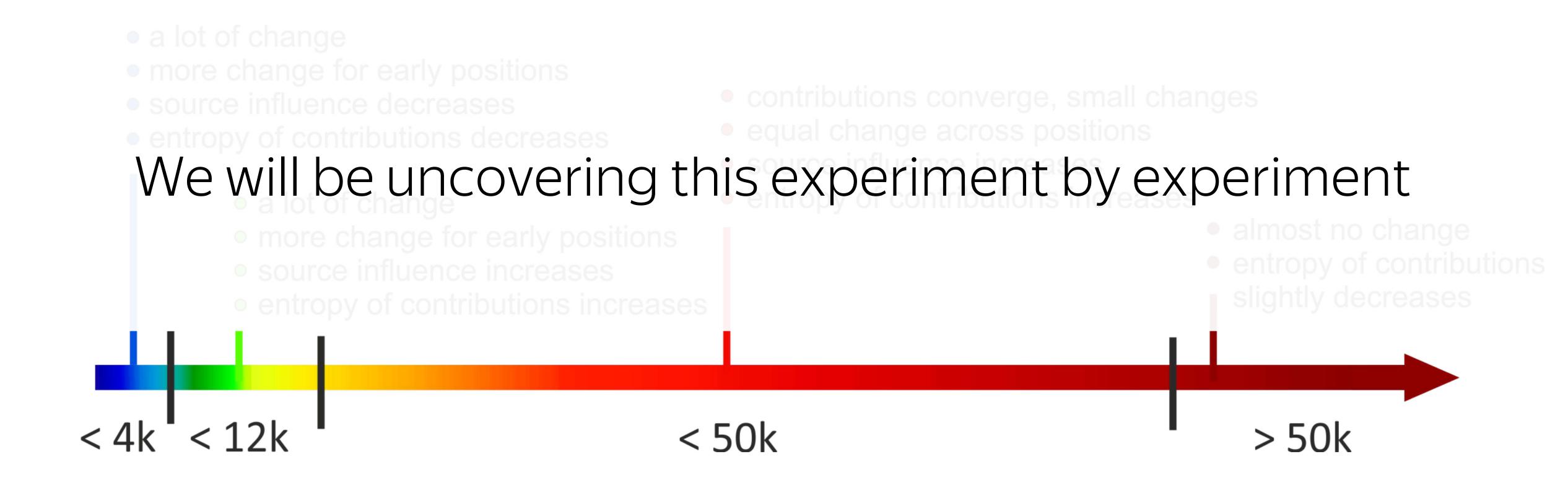
(A Bit of) the Training Process (work in progress)

# Experiments:

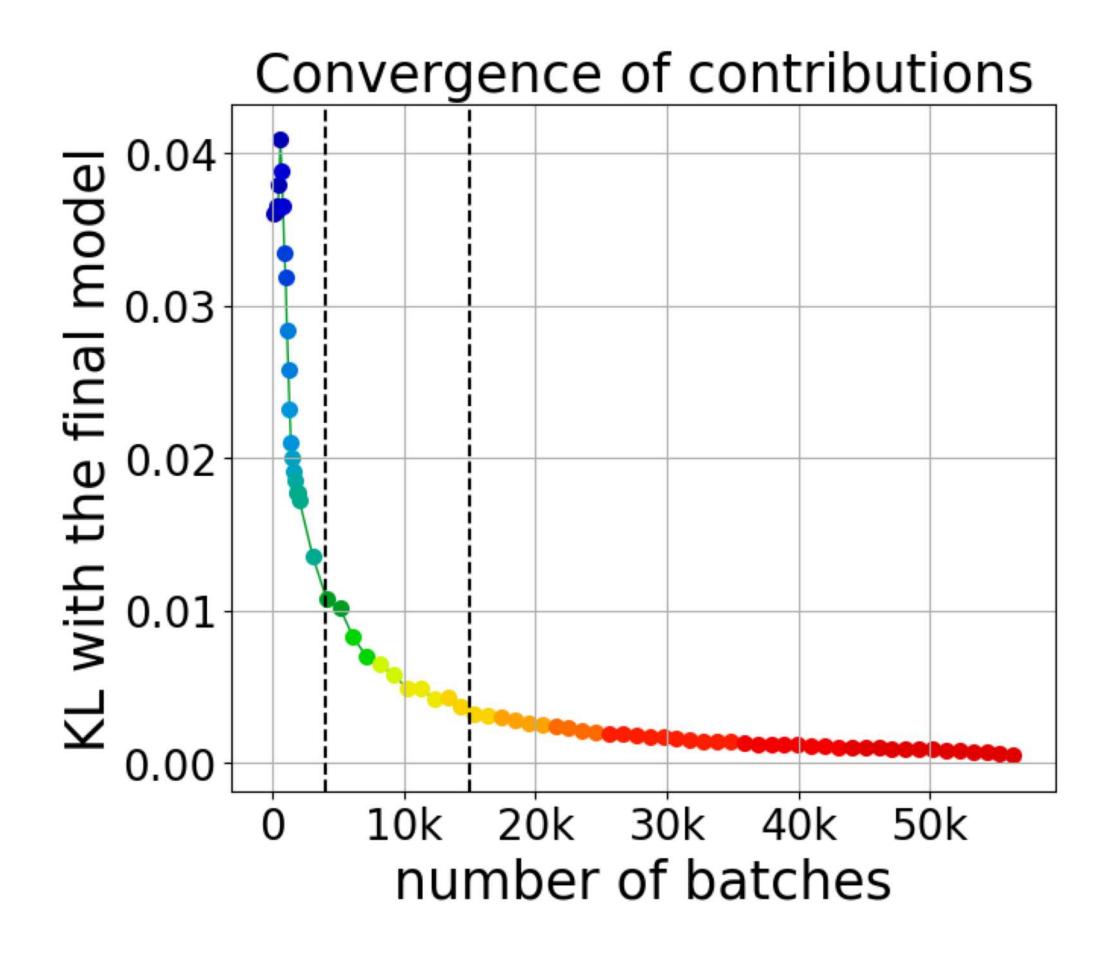
Training Stages



## The Training Timeline



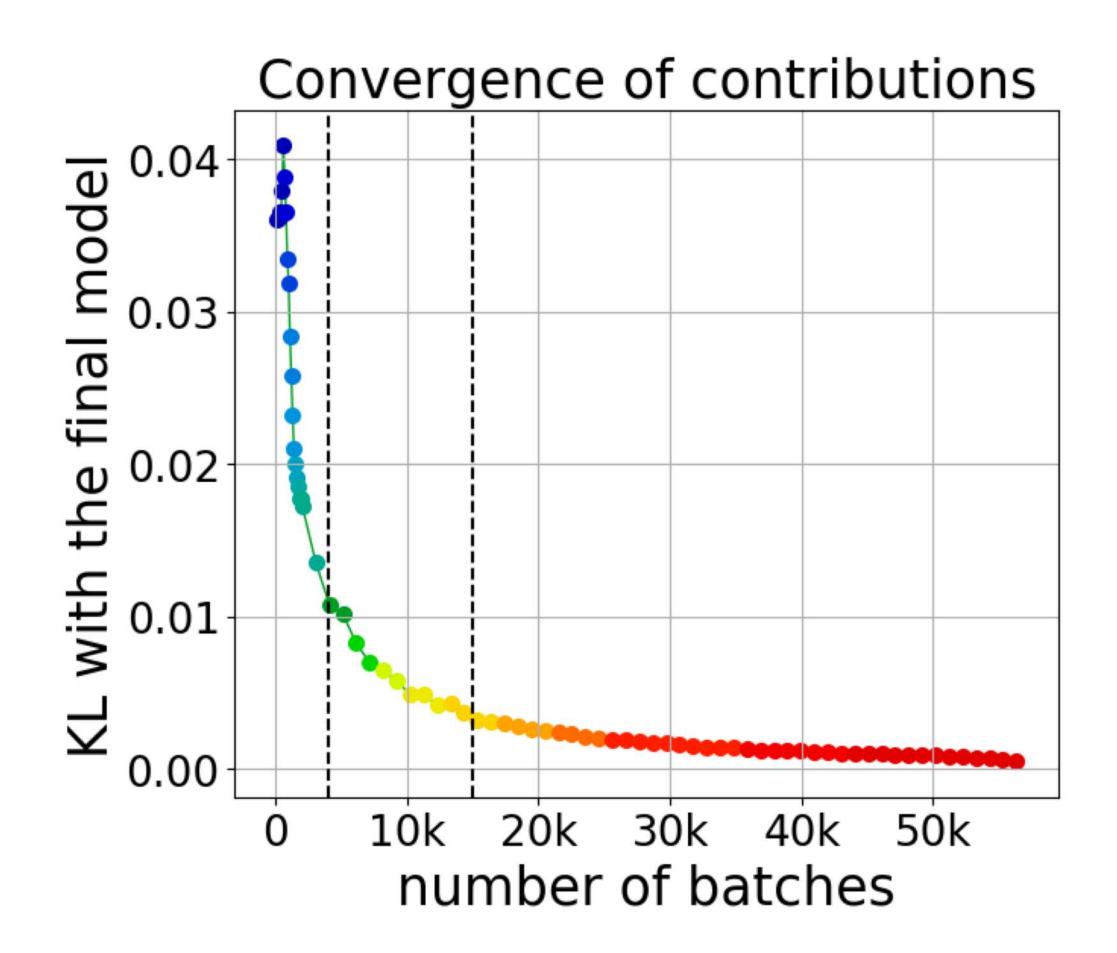
### Changes in Contributions



#### How:

 evaluate KL divergence in token influence distributions (between final model and in training)

#### Changes in Contributions

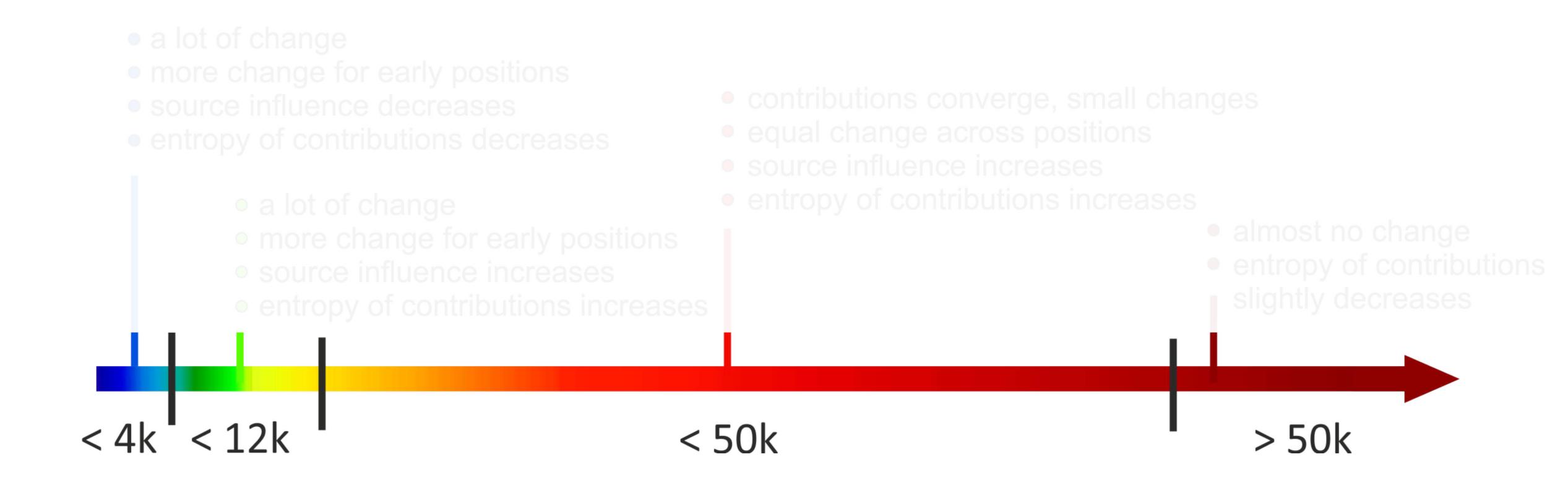


#### How:

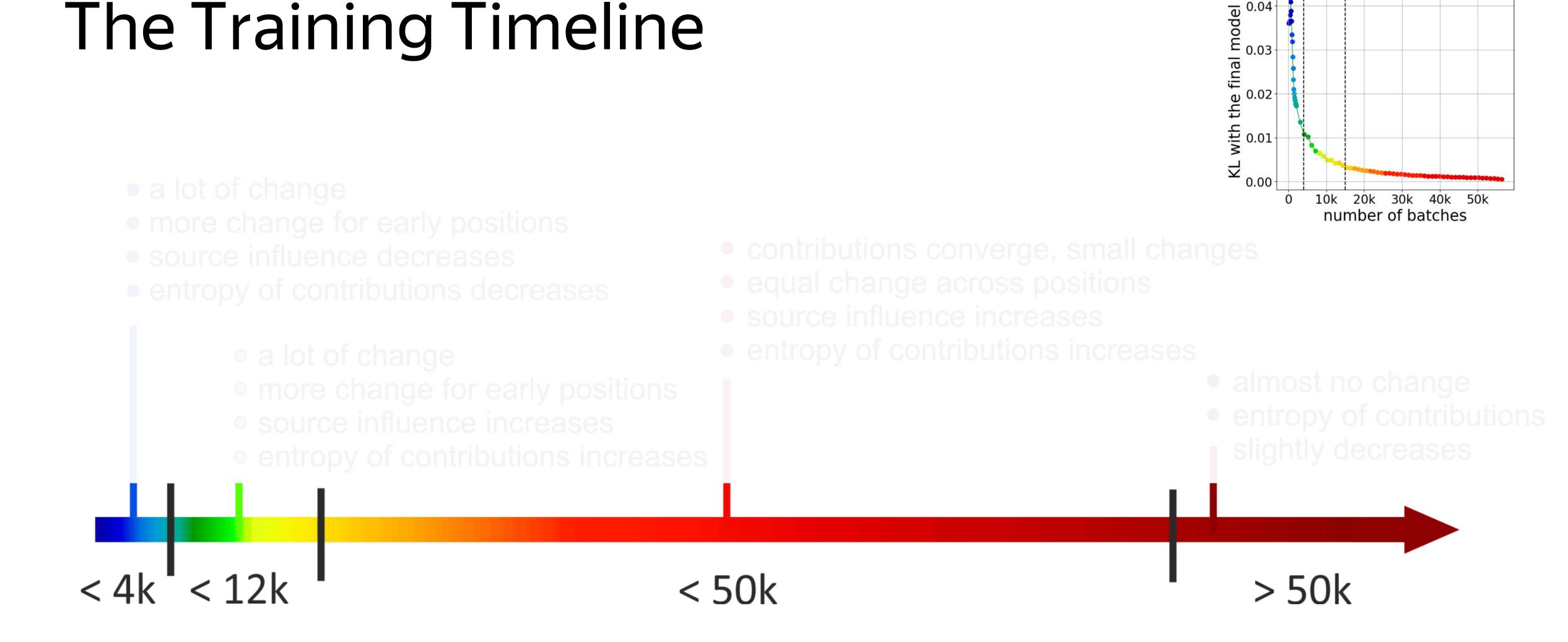
 evaluate KL divergence in token influence distributions (between final model and in training)

Early in training, the model is already close to its final state in the choice of important tokens

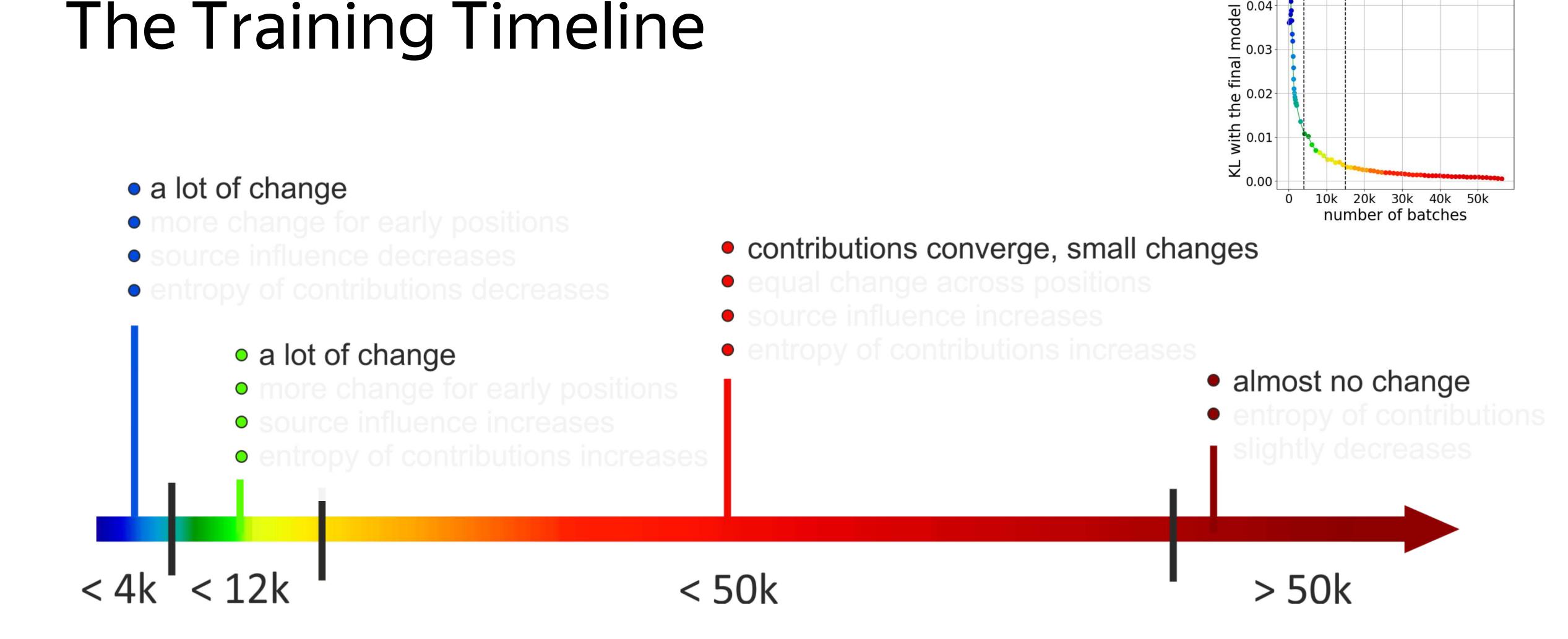
## The Training Timeline



## The Training Timeline

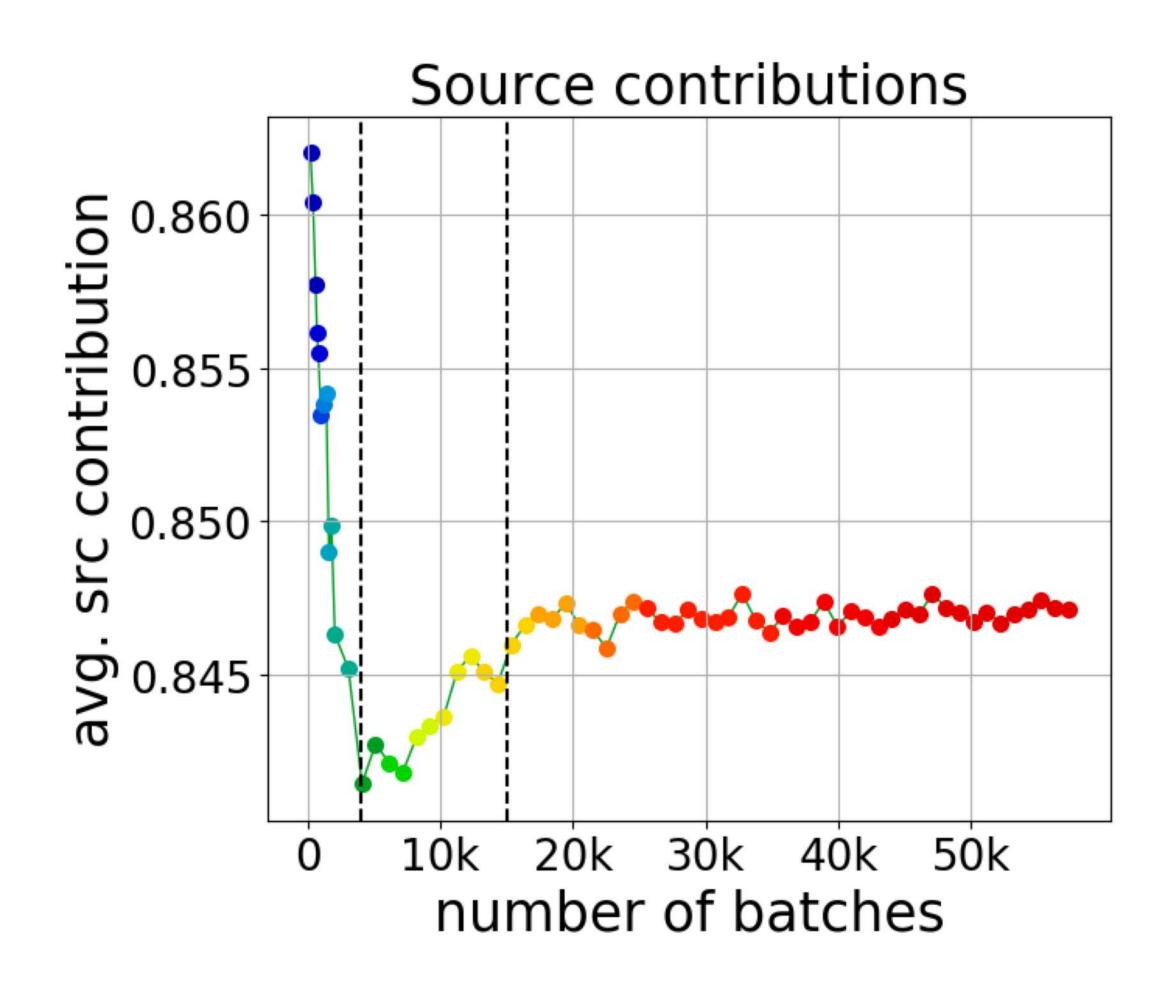


Convergence of contributions



Convergence of contributions

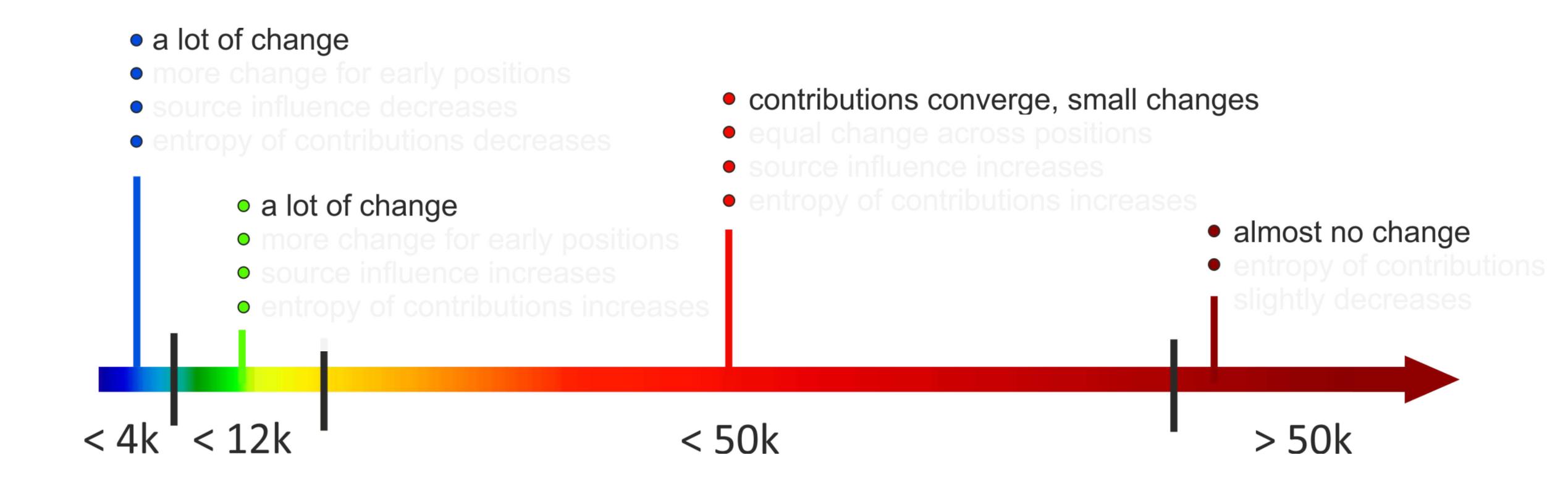
# Source Contributions: Changes are Not Monotonic

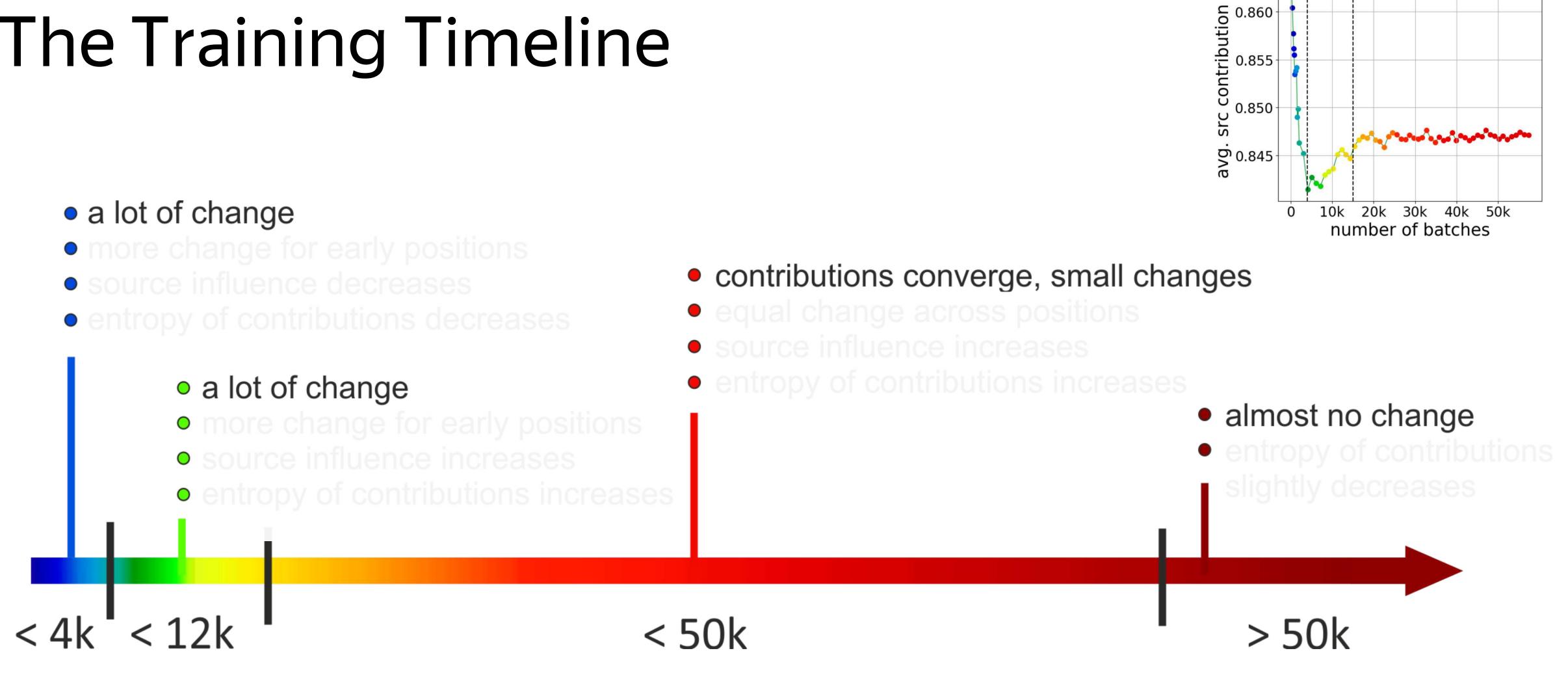


#### How:

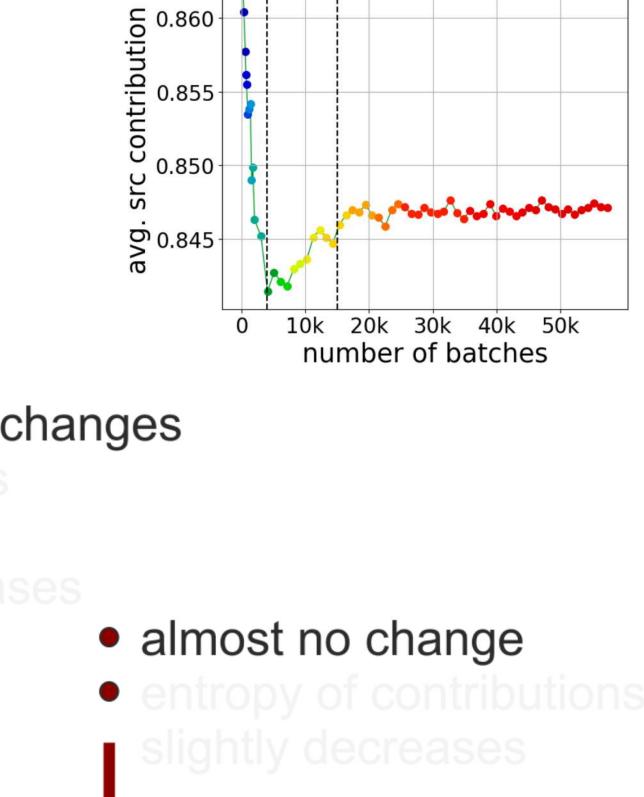
 evaluate average (over target positions and examples) source contribution

Changes are NOT monotonic!

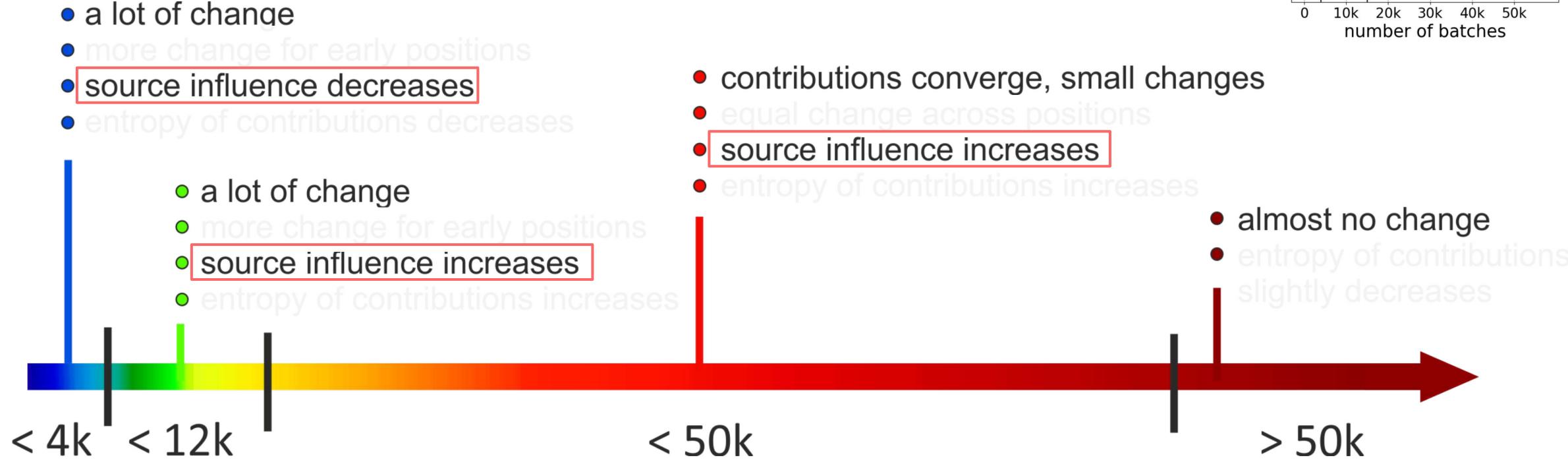




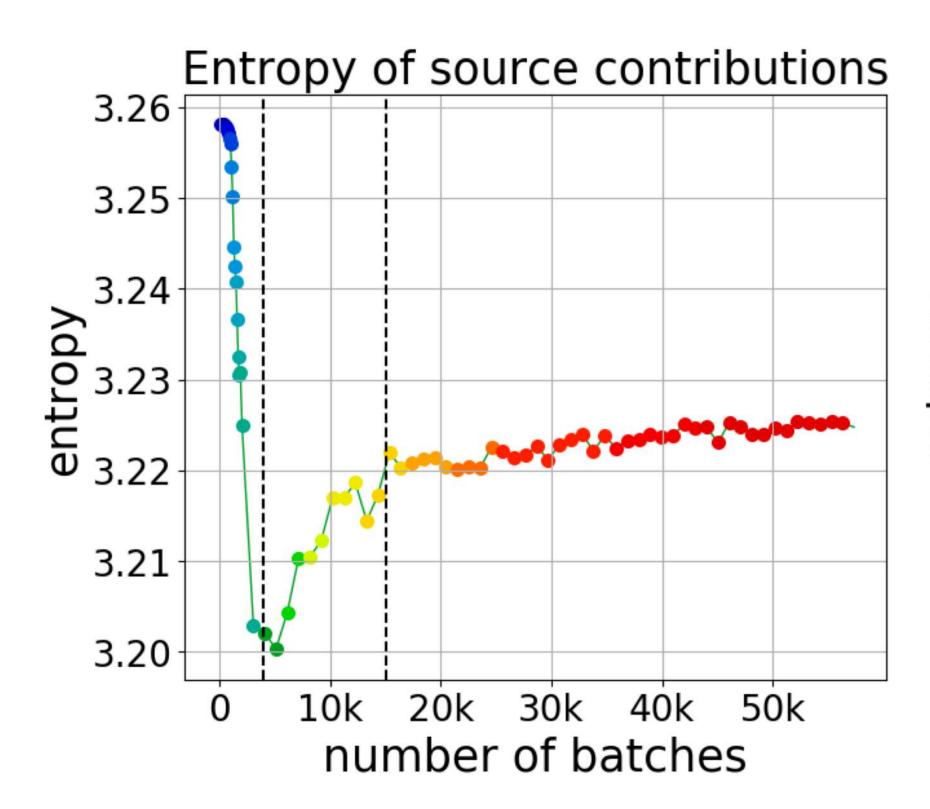
Source contributions

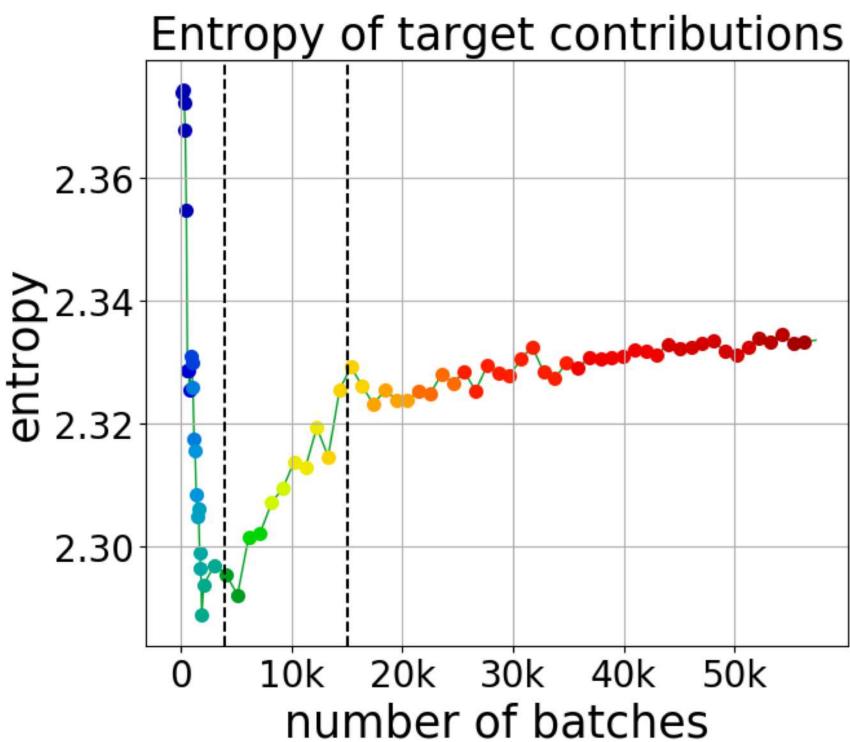


Source contributions



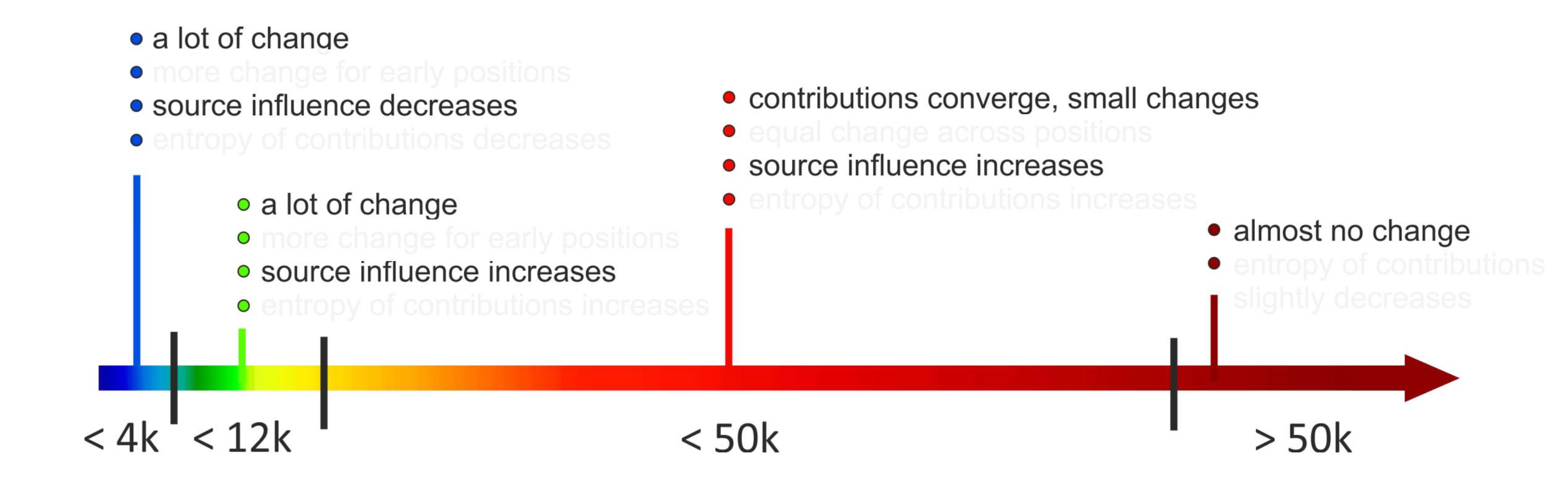
## Entropy of Contributions: Not Monotonic

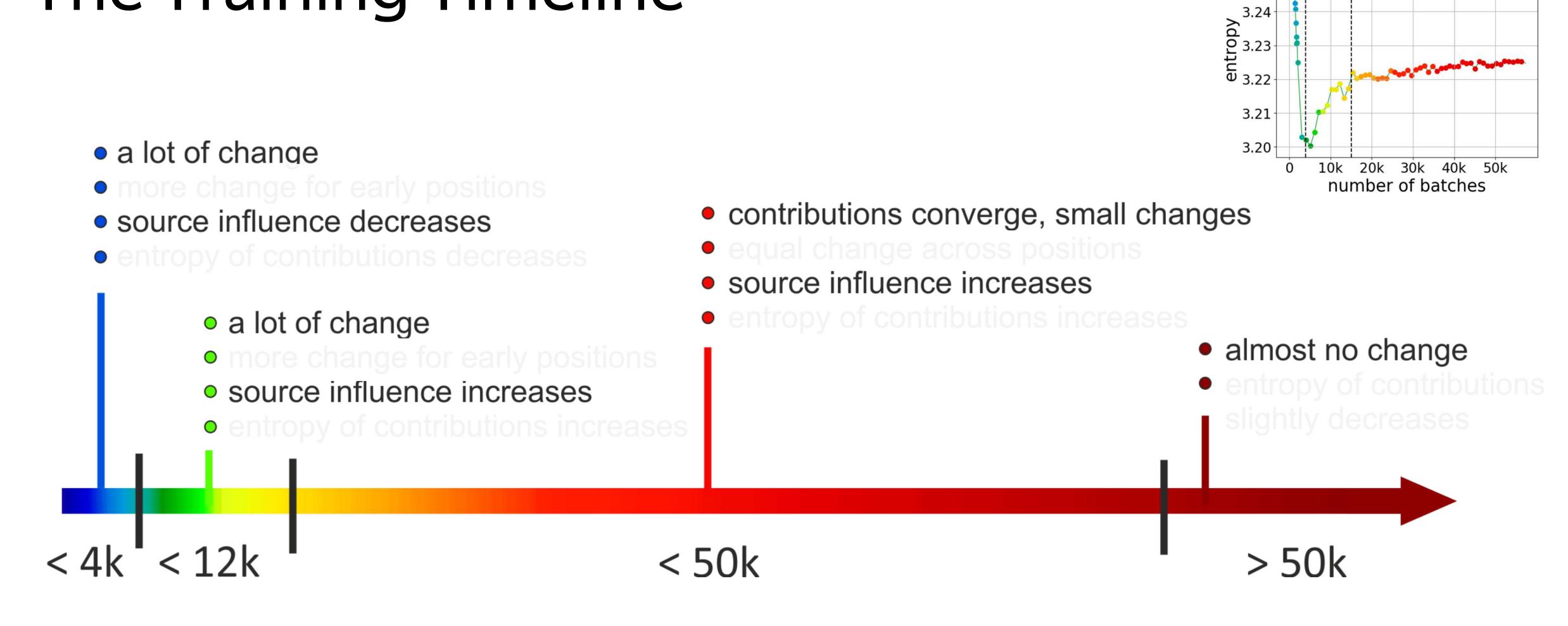




# Changes are not monotonic:

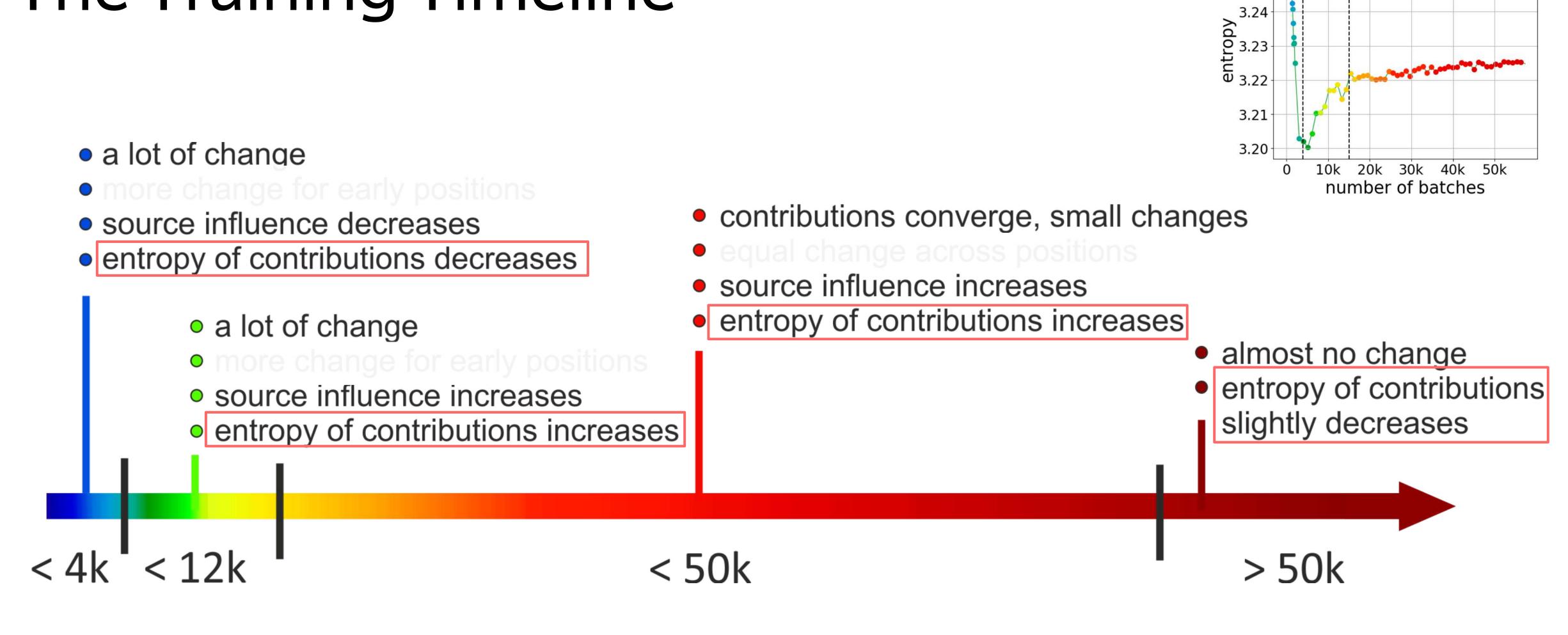
- decrease (maybe learn simple things, e.g., word-by-word translation)
- increase (learn more complex things and rely on broader context)





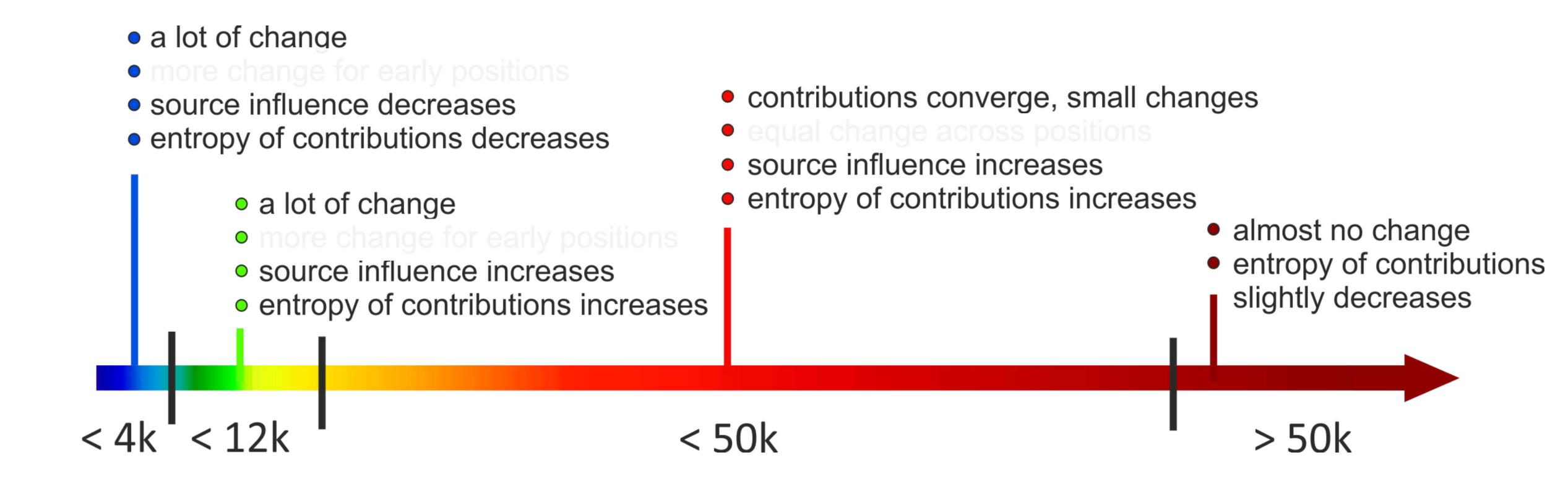
Entropy of source contributions

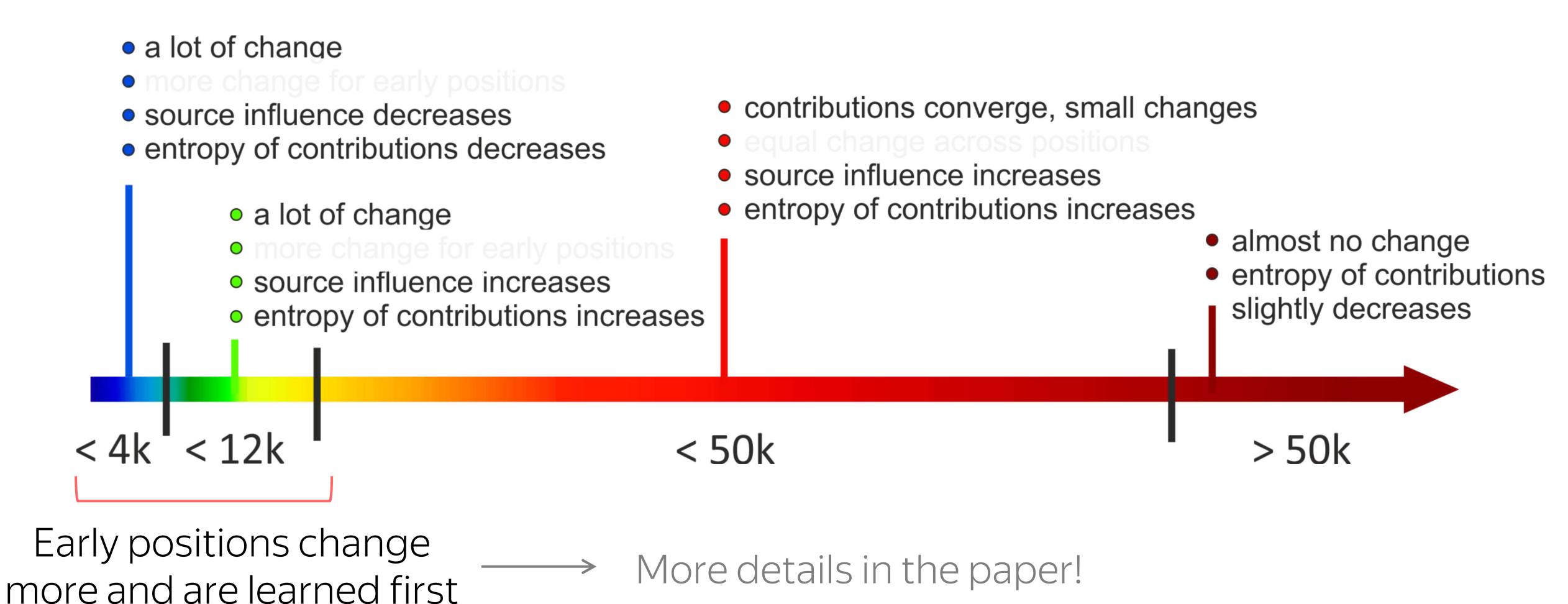
3.25

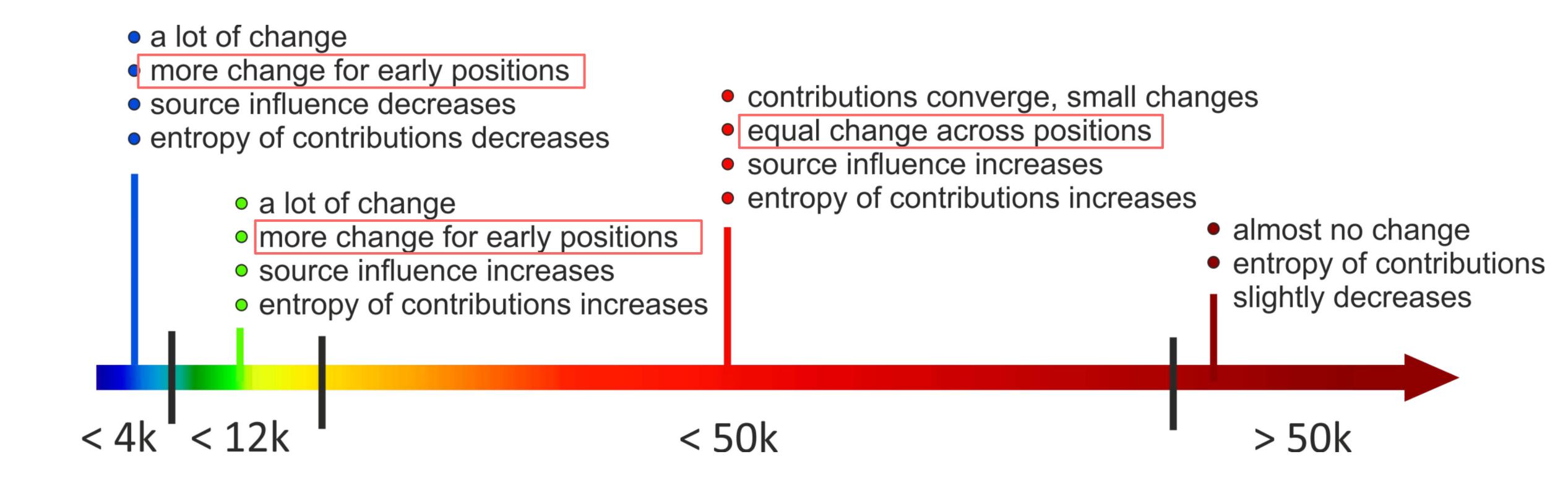


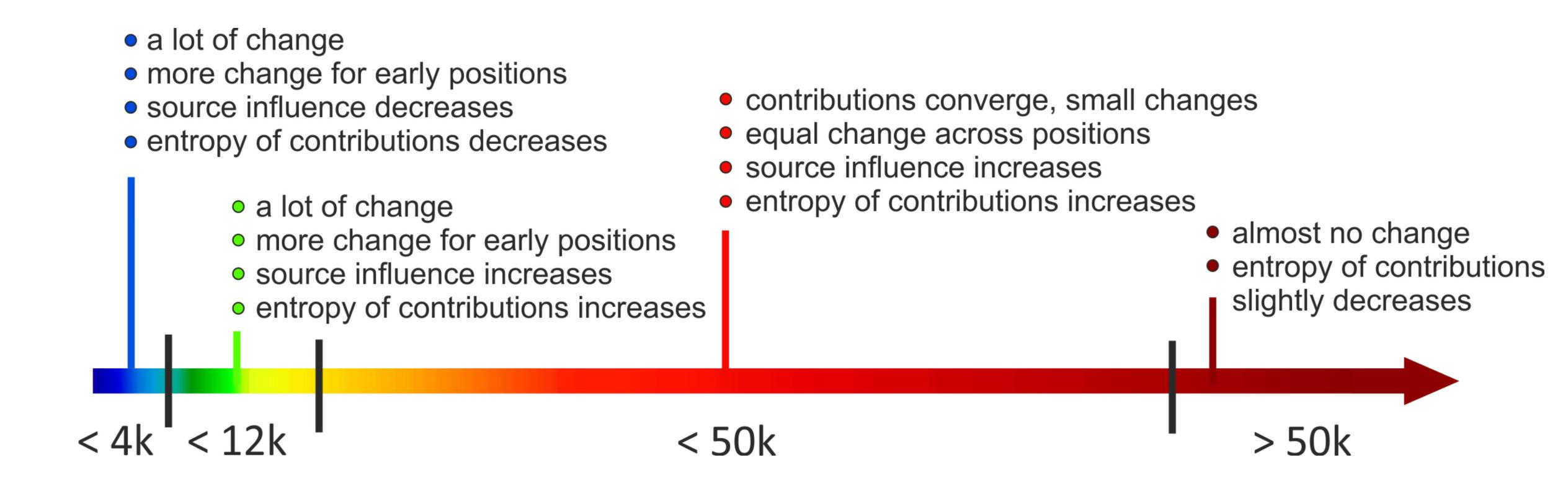
Entropy of source contributions

3.25

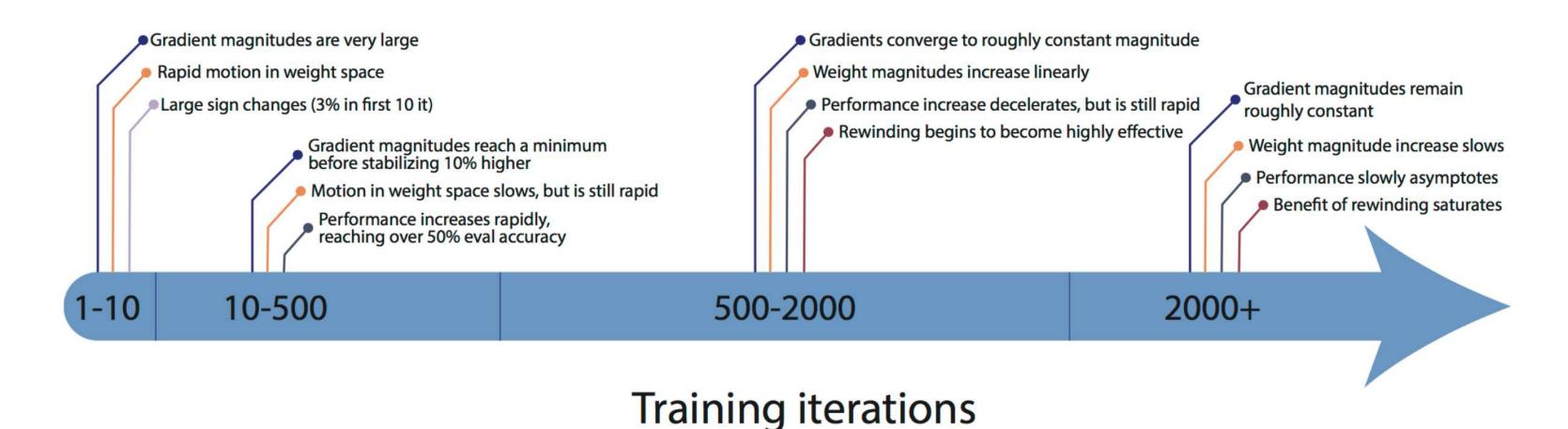




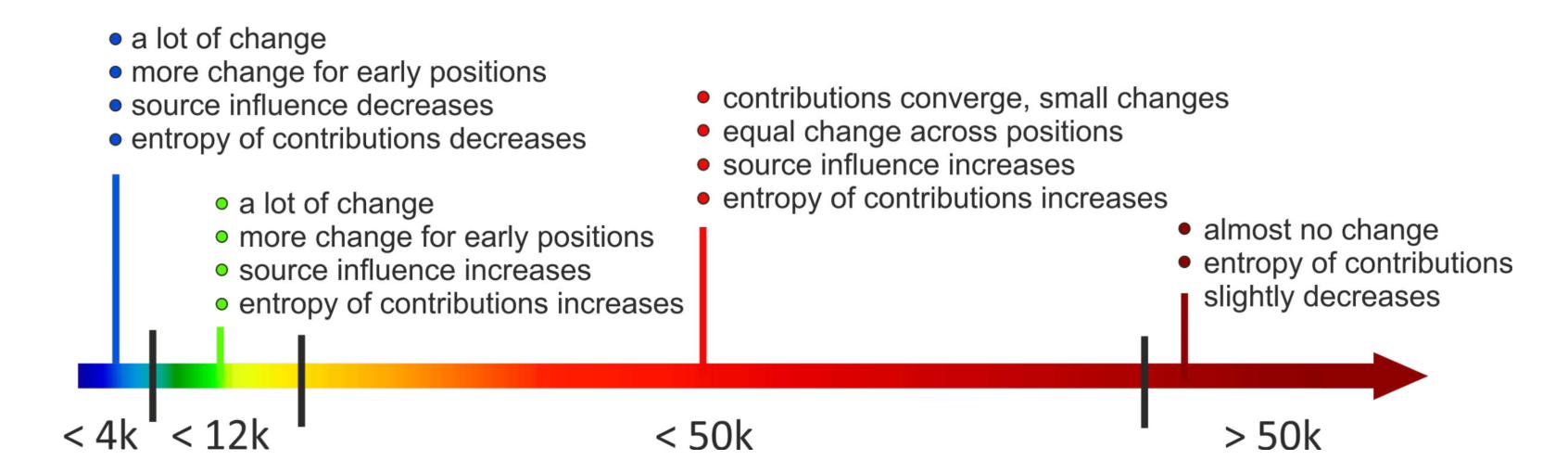




## Relation to Previous Work



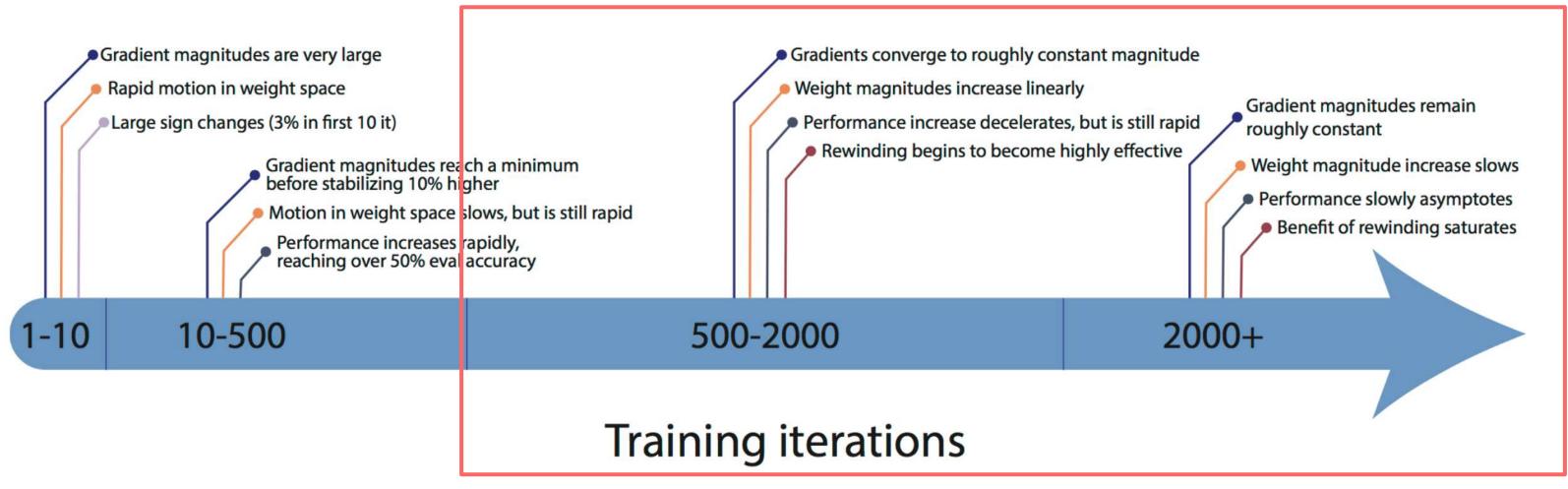
ResNet-20 on CIFAR-10, Frankle et al, ICLR 2020



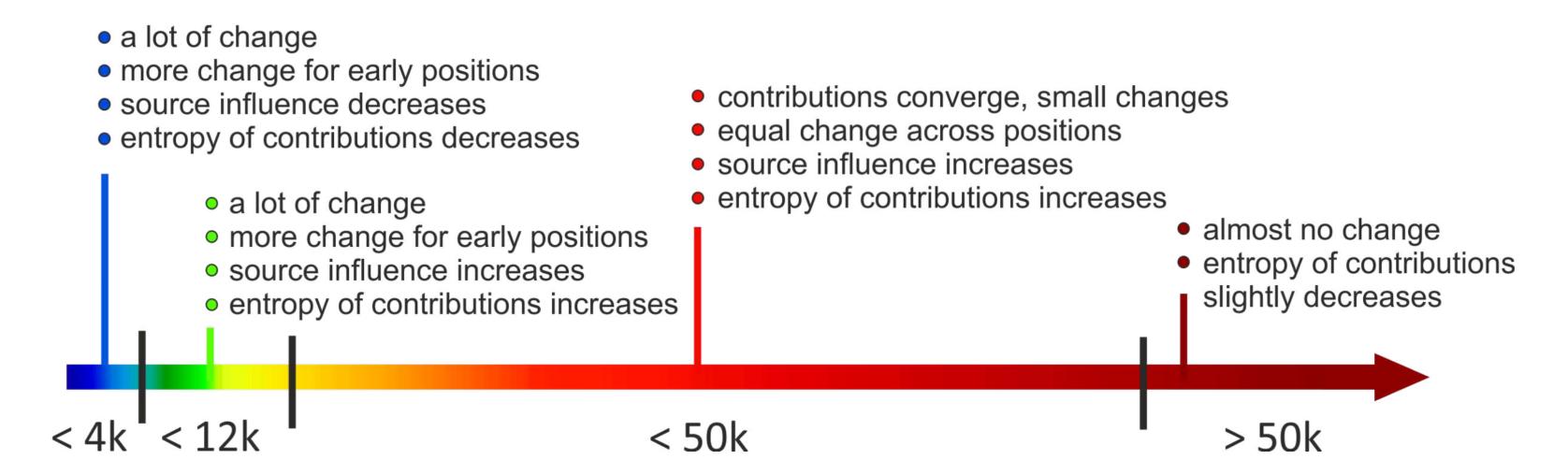
Stages by Frankle et al, 2020:

- found when validating the lottery ticket (LT) hypothesis
- match well with ours

## Relation to Previous Work



ResNet-20 on CIFAR-10, Frankle et al, ICLR 2020



Stages by Frankle et al, 2020:

- found when validating the lottery ticket (LT) hypothesis
- match well with ours
- rewinding (for LT) starts to work at stage 3 - when the contributions already converged

# What is going to happen:

## The Trade-Off Between Source and Target

- Our Approach: (a version of) LRP
- Experiments
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  - o Reference, Model and Random Prefixes
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(A Bit of) the Training Process (work in progress)

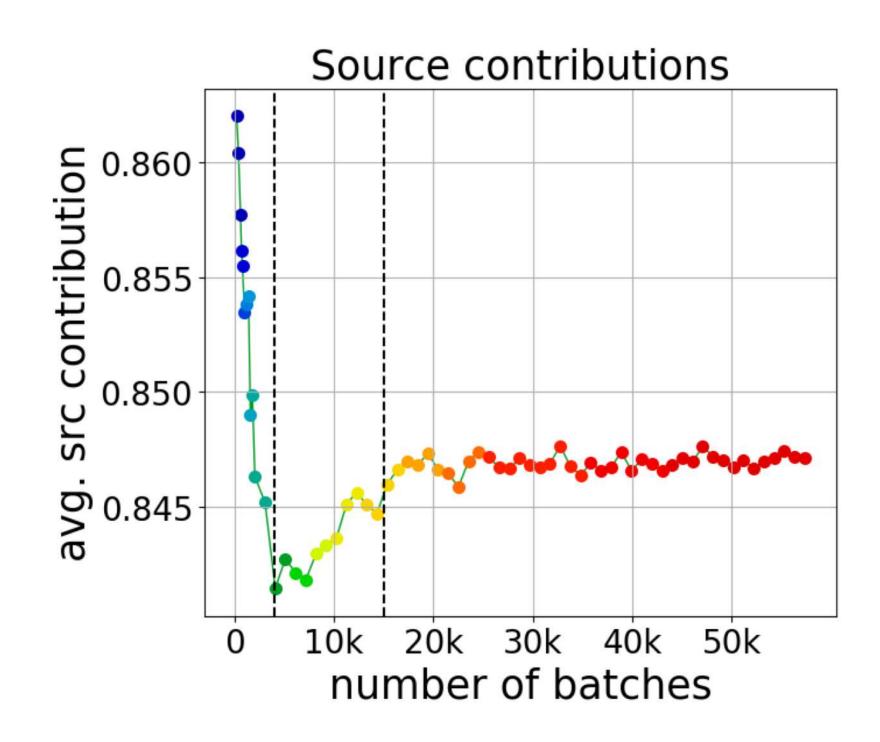
## What is going to happen:

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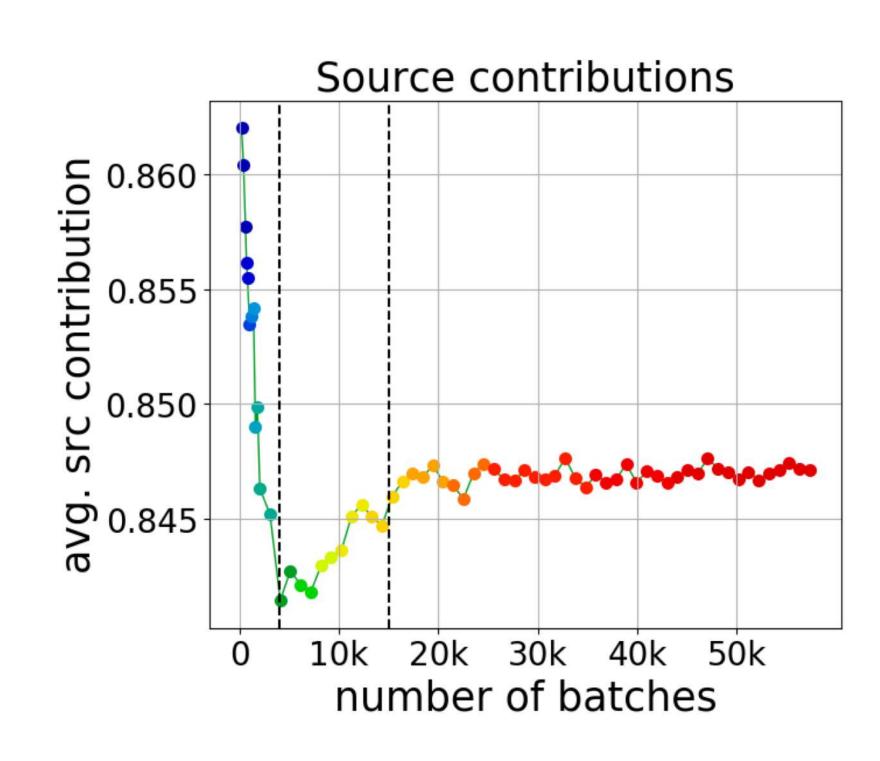
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(A Bit of) the Training Process (work in progress)

(A Bit More of)
The Training Process



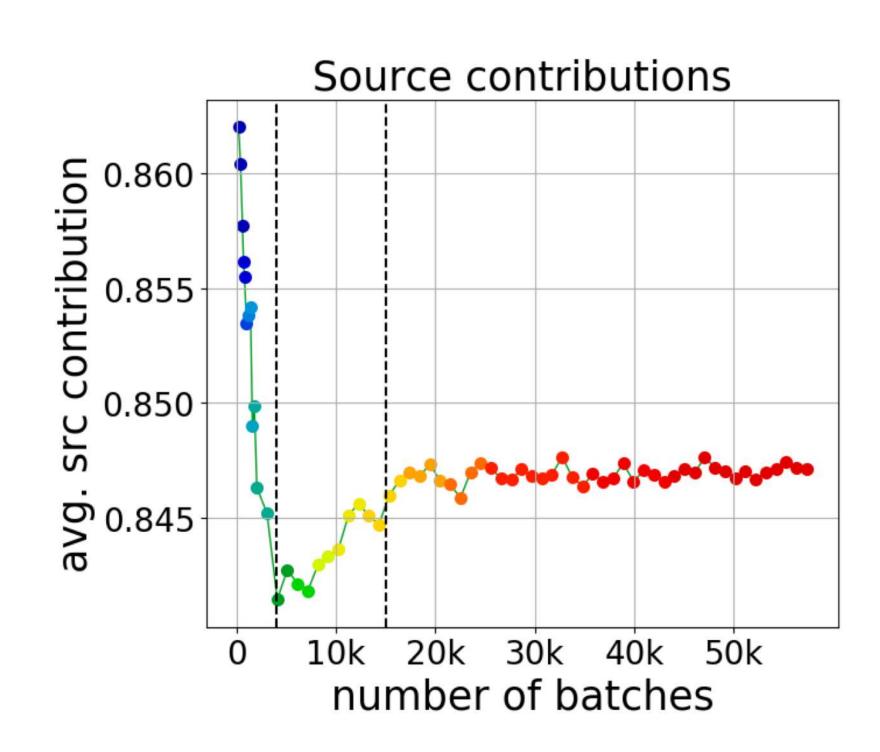
(entropy behaves similarly)



Changes are not monotonic:

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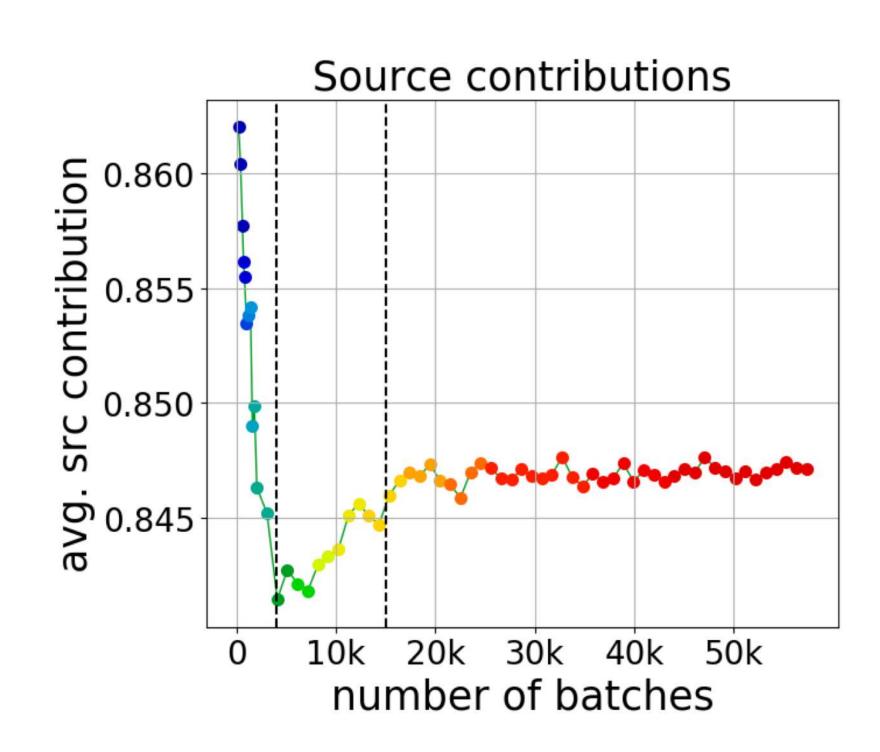
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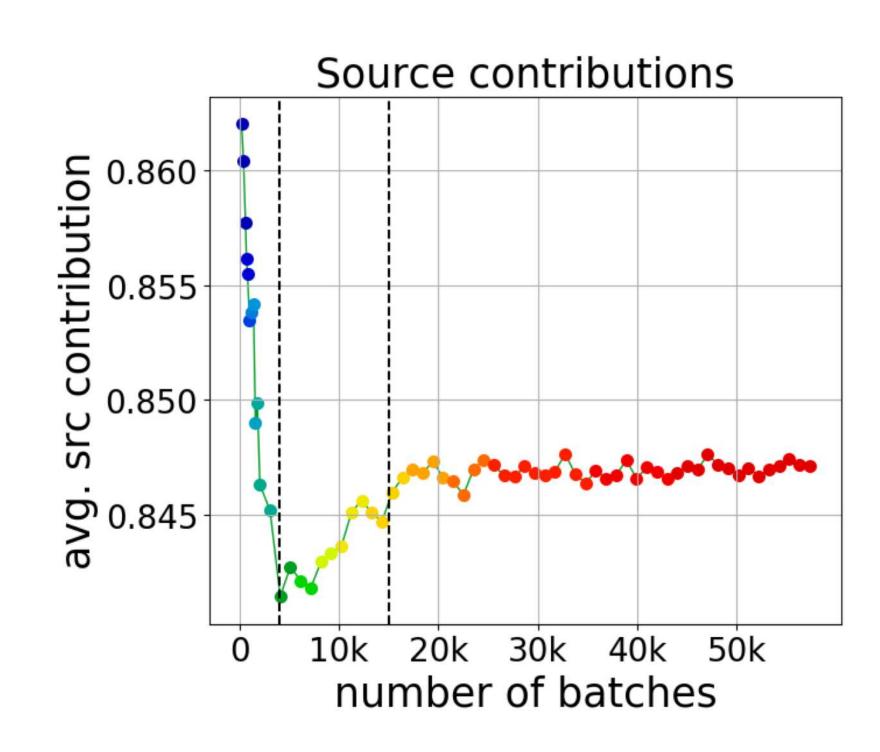


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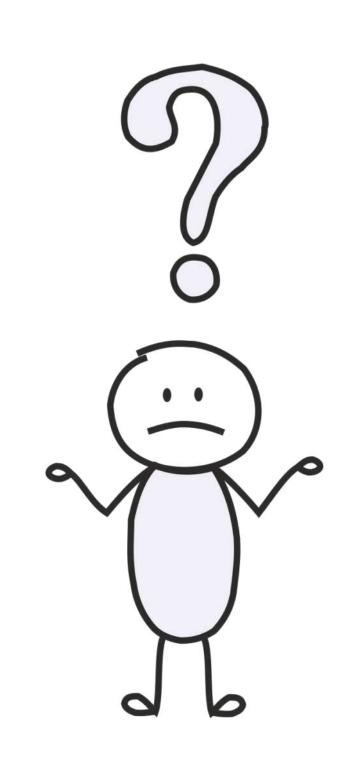


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## Changes are not monotonic:

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So far, we only hypothesized what's going on with the model



But what is **really** going on?

Statistical MT

Neural MT

## Statistical MT

Split the task into sub-tasks

(i.e. define modules of the translation model)

## Neural MT

## Statistical MT

Neural MT

> Split the task into sub-tasks

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#### Train these modules

- Target-side LM
- Lexical translation probabilities
- Alignments
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Done!

# Neural MT Take a Neural Network

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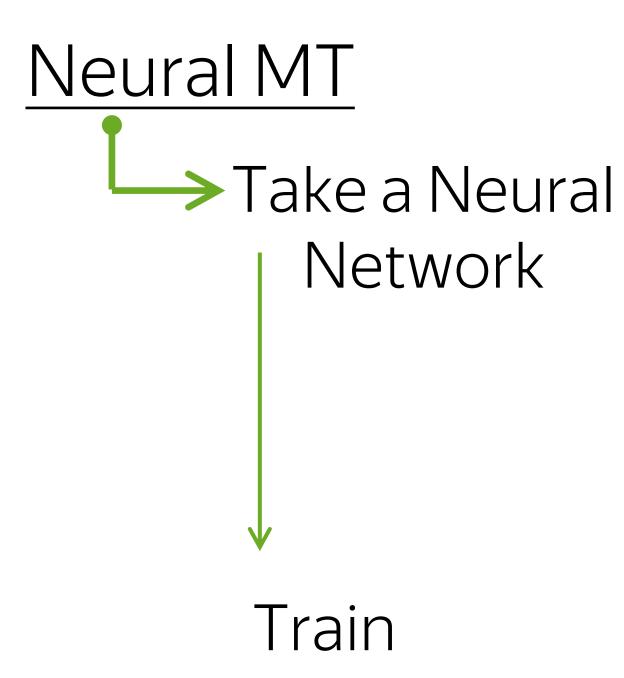
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## Statistical MT

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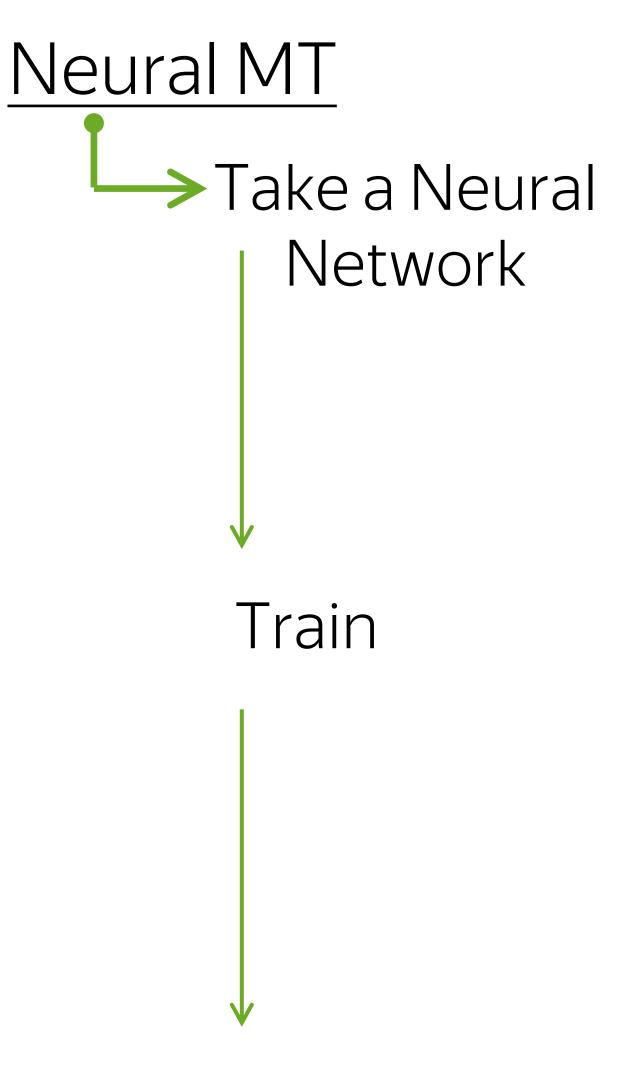
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## Statistical MT

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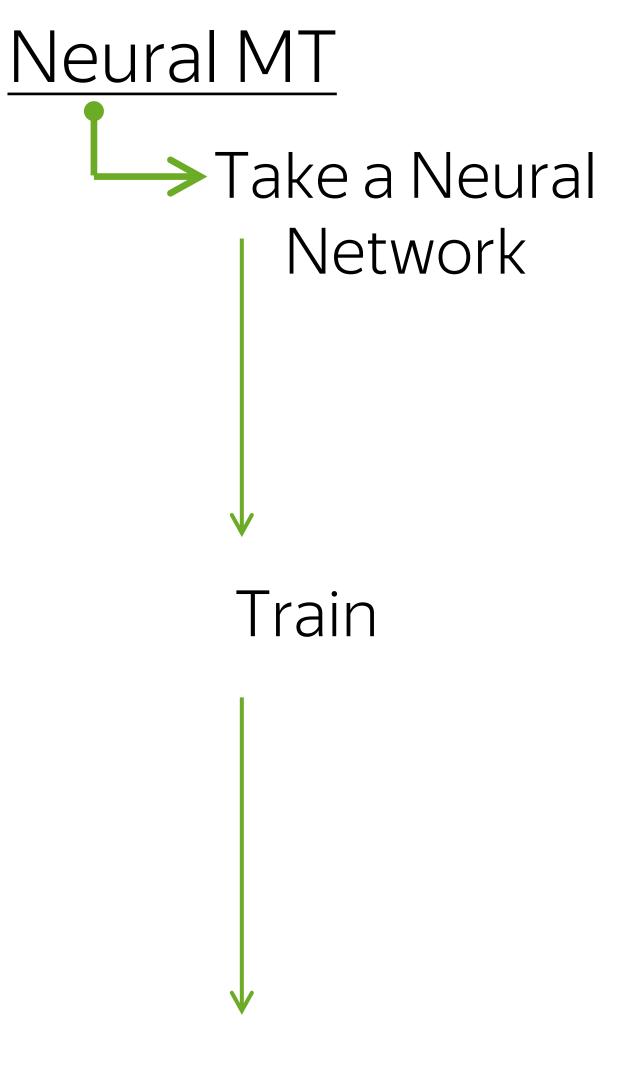
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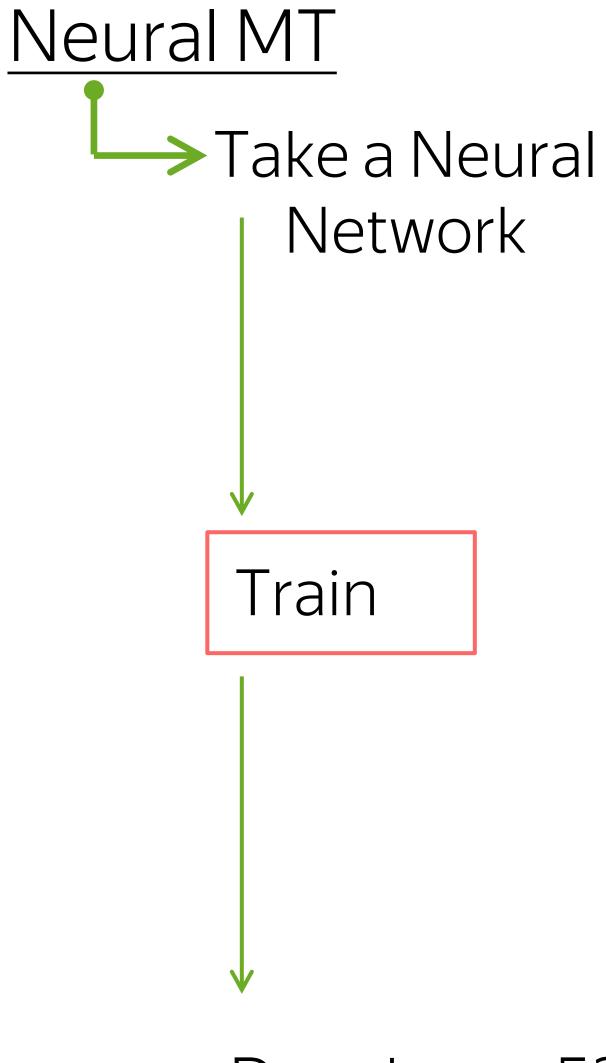
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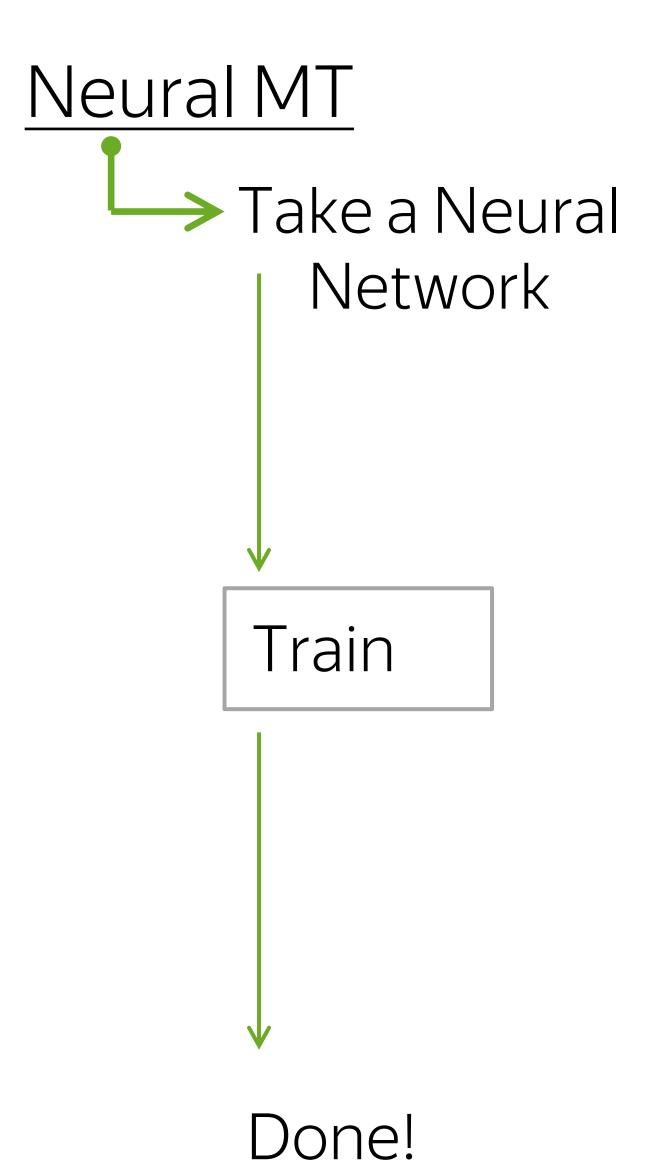
## Statistical MT Neural MT Split the task into sub-tasks Take a Neural (i.e. define modules of the Network translation model) Train these modules Target-side LM Lexical translation probabilities Train Alignments Put the modules together (first, learn how to do it)

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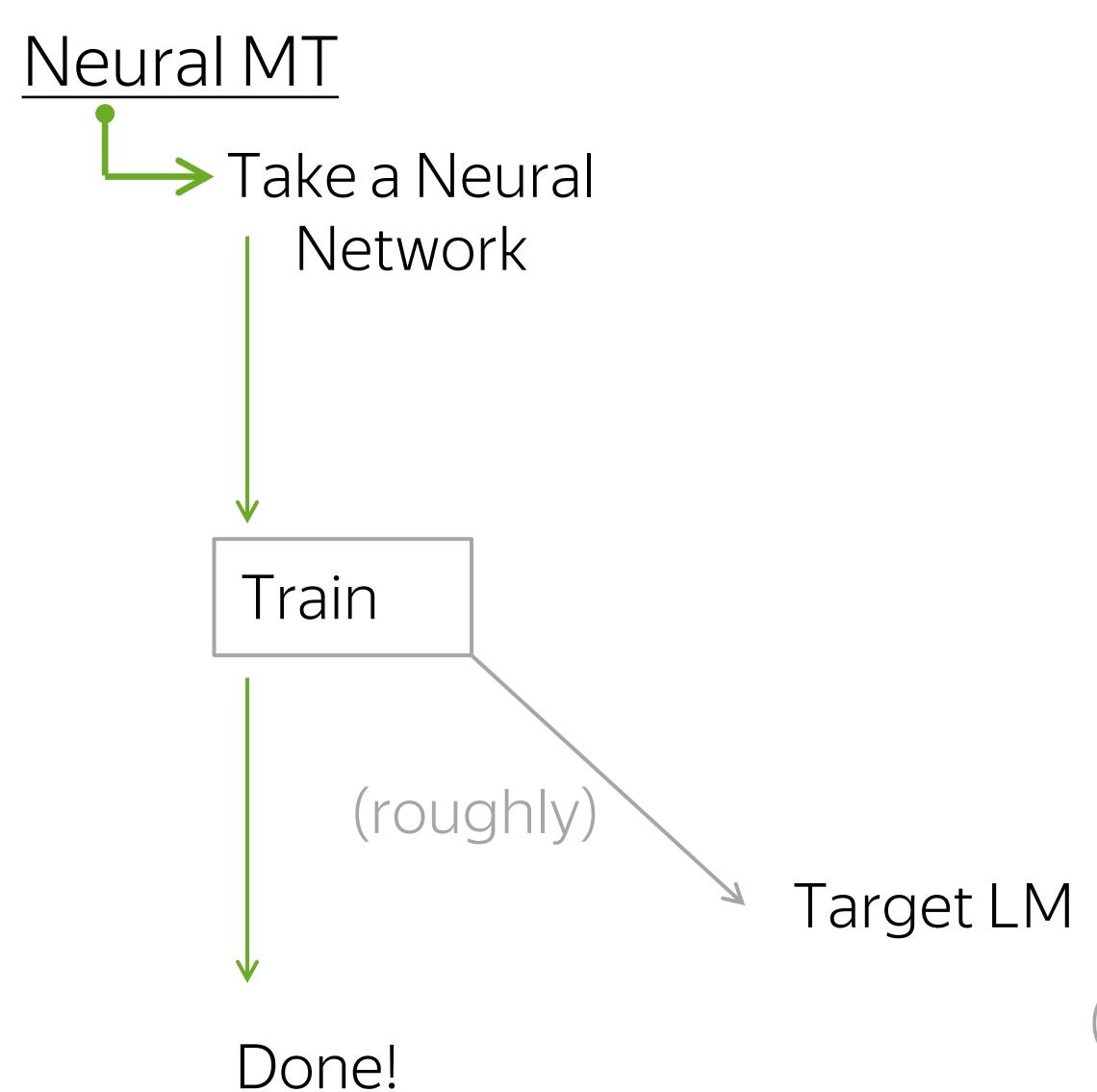
(first, learn how to do it)

Neural MT Take a Neural Network The NN has to (somehow) figure this all out during training! Train

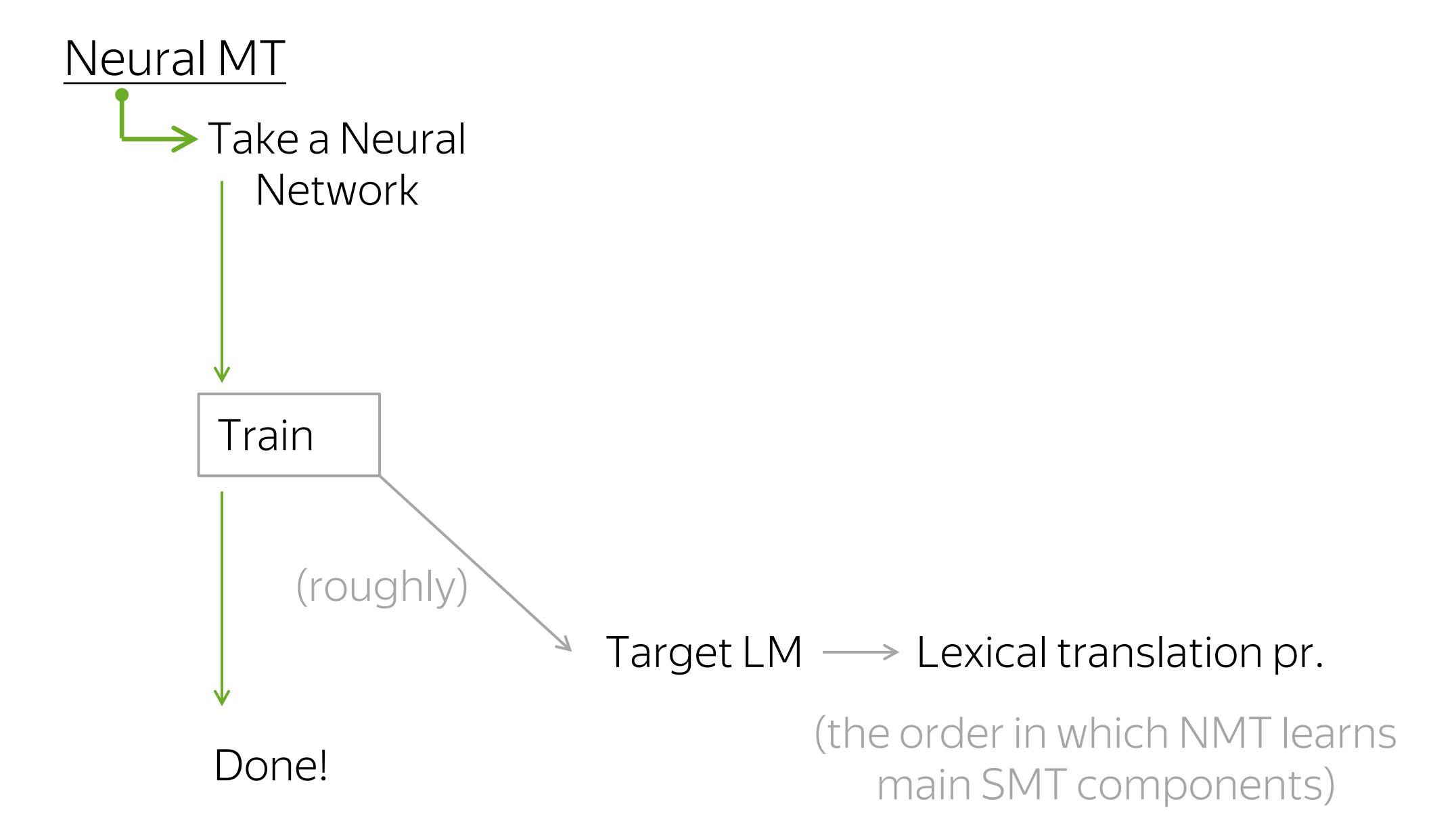
# NMT Training Process

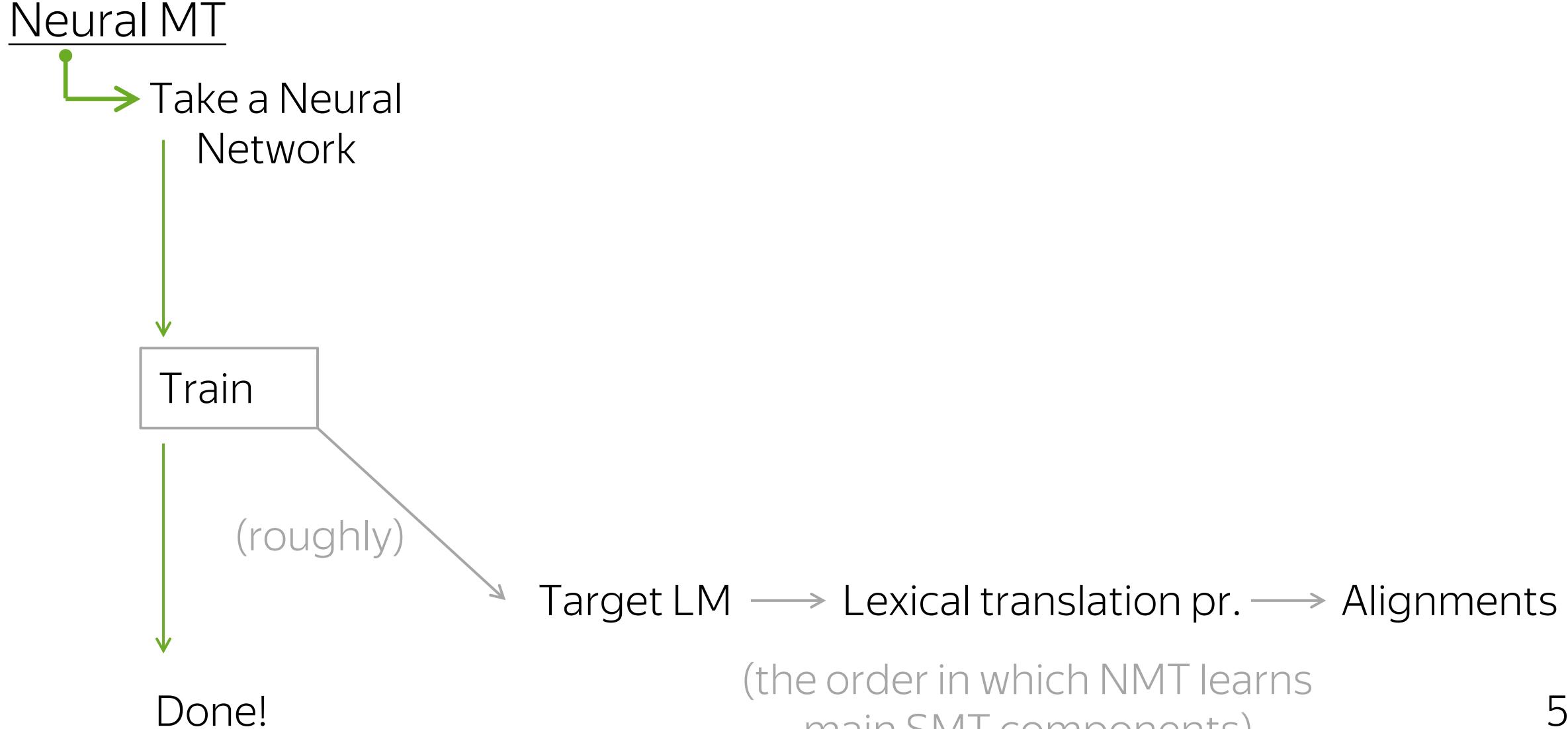


53

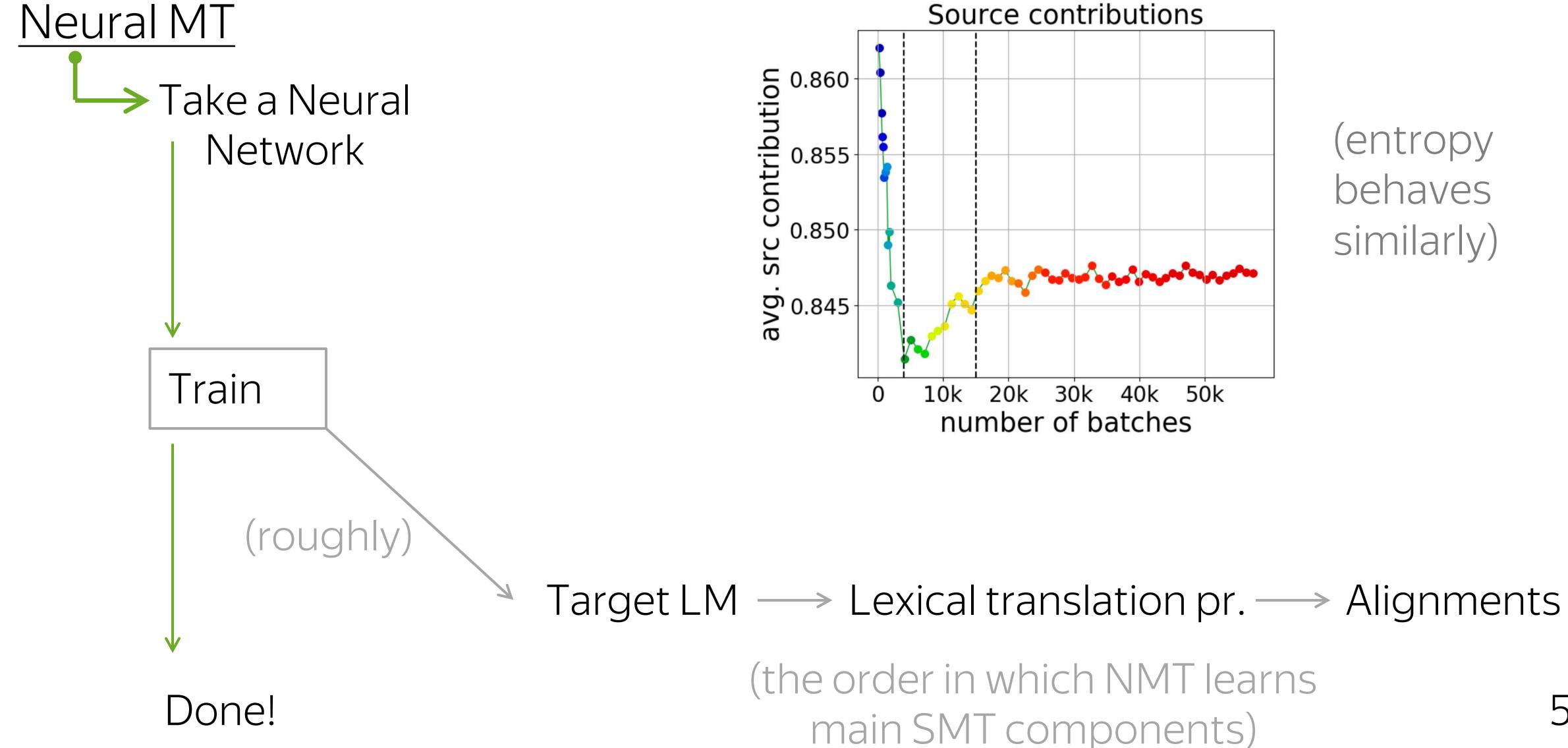


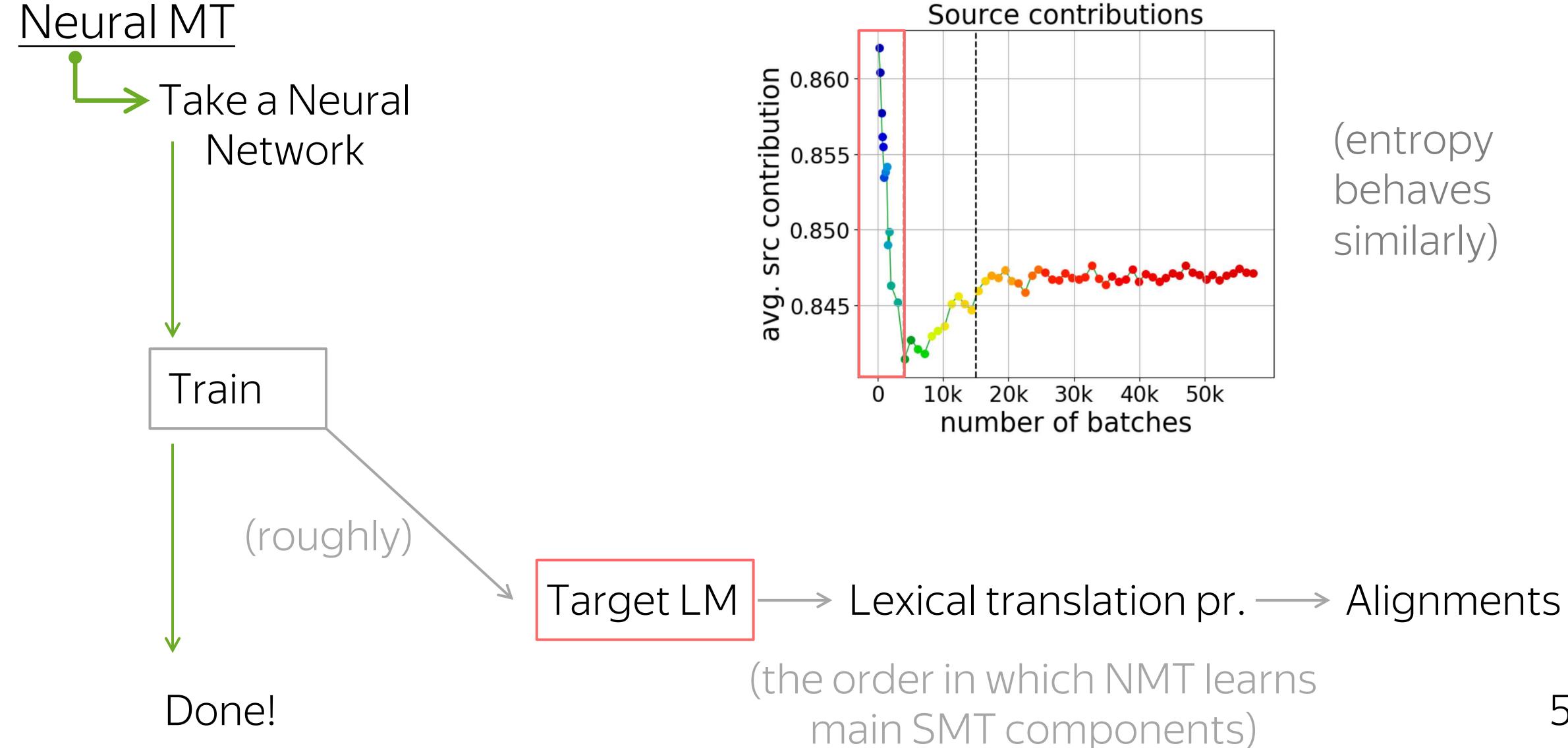
(the order in which NMT learns main SMT components)

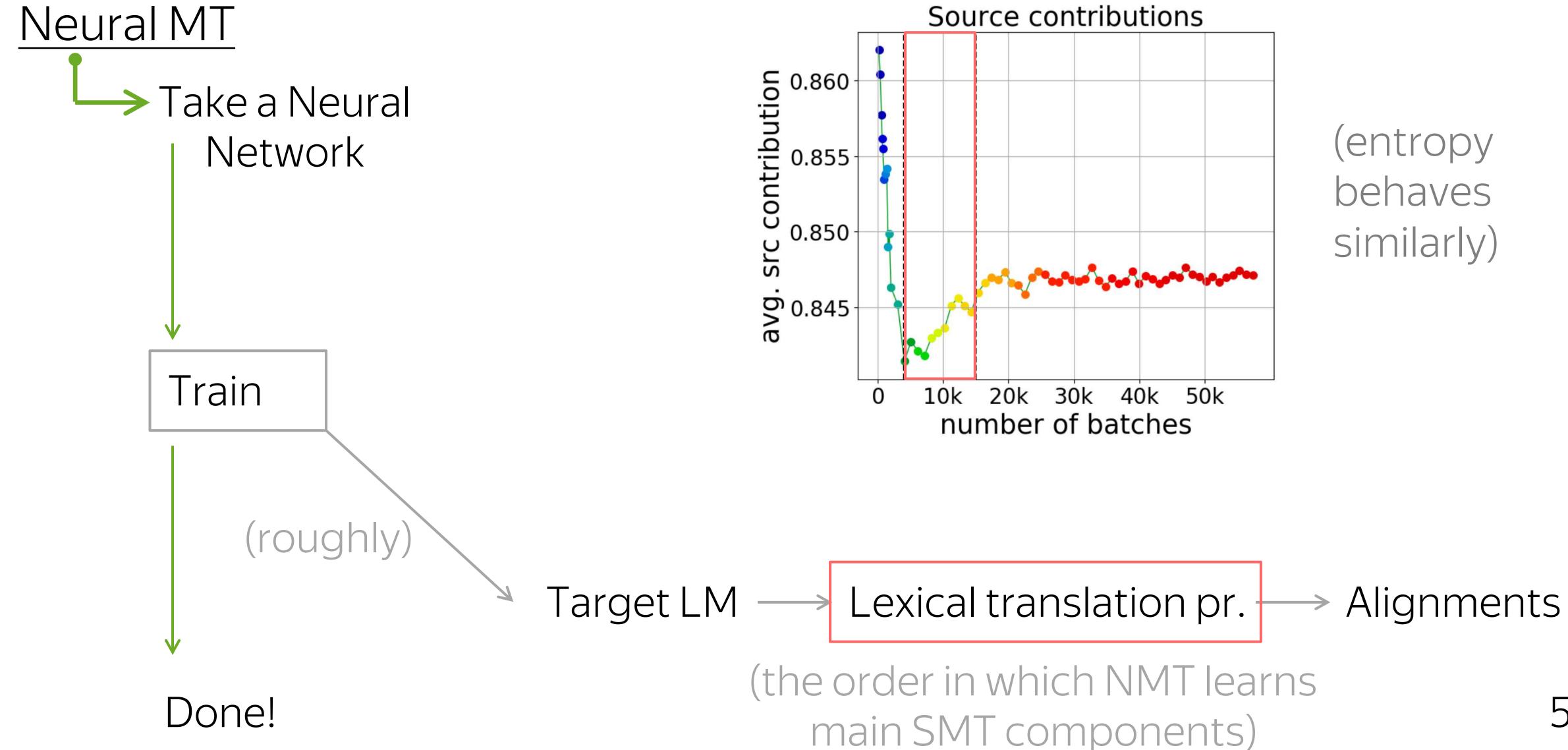


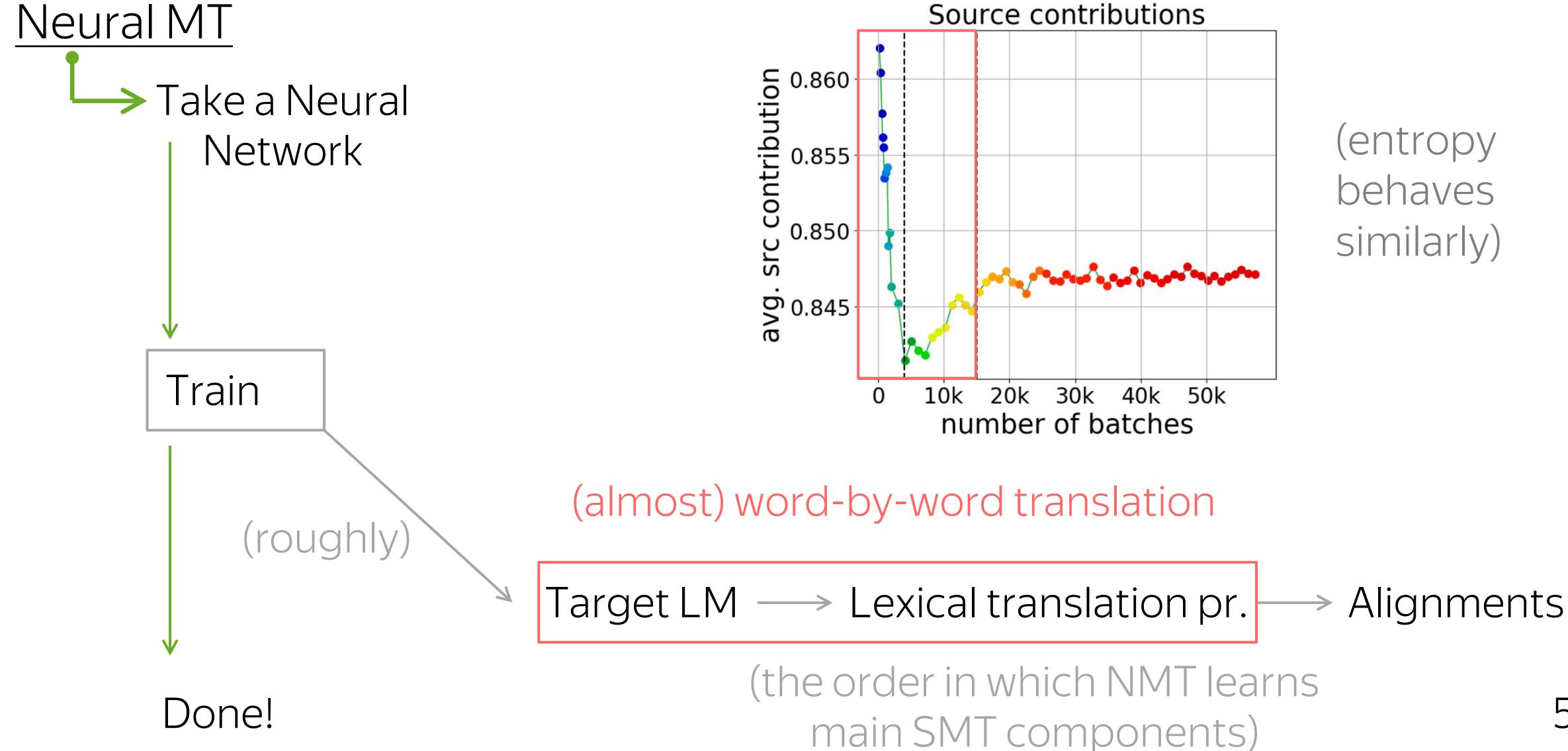


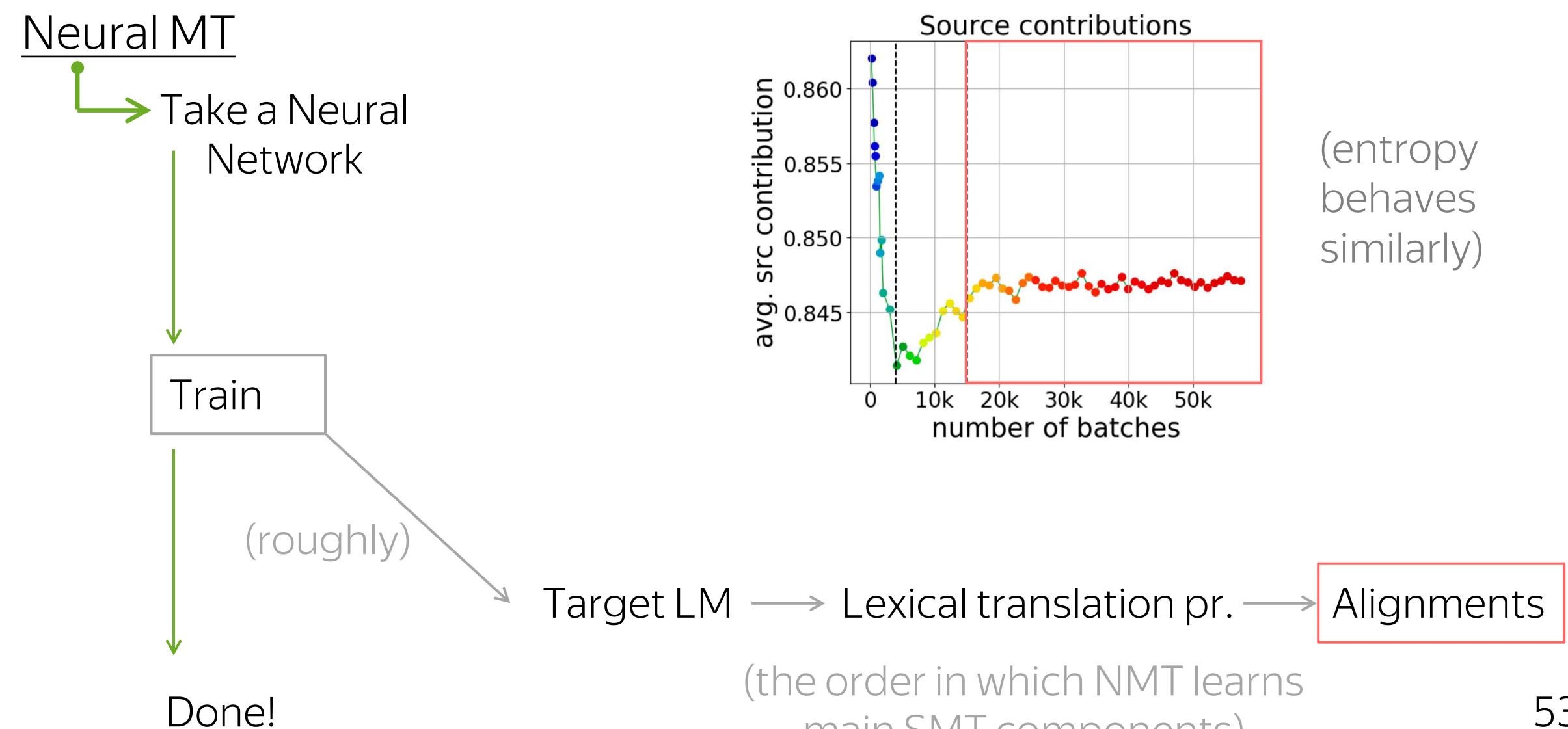
main SMT components)











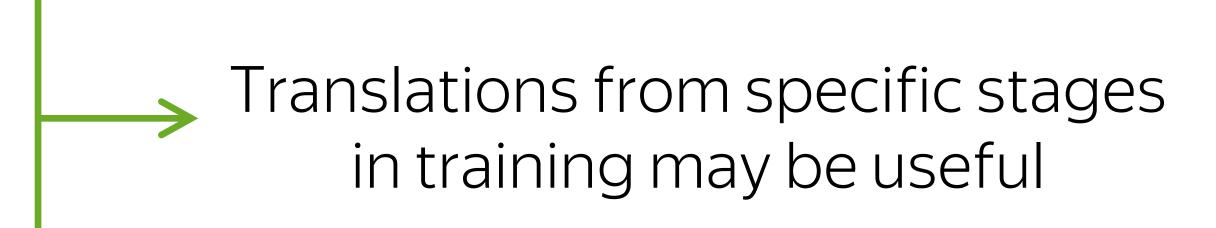
main SMT components)

• Sometimes, complexity level (or regularity) of the data is important

(Zhou et al, ICLR 2020, Ren et al, ICLR 2020)

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SMT-inspired model modifications often help

(using target LM/lexical tables/ alignments, modeling phrases, etc)



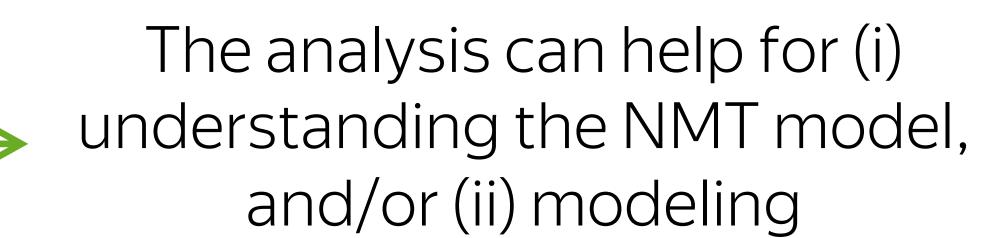
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(Zhou et al, ICLR 2020, Ren et al, ICLR 2020)

SMT-inspired model modifications often help

(using target LM/lexical tables/ alignments, modeling phrases, etc

Your options?



The analysis can help for (i) understanding the NMT model, and/or (ii) modeling

Translations from specific stages

in training may be useful

# Conclusions



#### Conclusions

- We show that LRP can be used to evaluate relative source and target contributions to NMT predictions
- Some of the findings are:
  - o with more data, models use source more and are more confident in the choice of important tokens
  - o models suffering from exposure bias are more prone to over-relying on target history
  - training process is not monotonic with several distinct stages

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Target LM -> Lexical stuff -> alignments (work in progress)

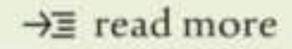
## Source and Target Contributions to NMT Predictions

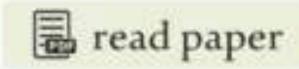
#### Source and Target Contributions to NMT Predictions

This is a post for the paper Analyzing the Source and Target Contributions to Predictions in Neural Machine Translation.

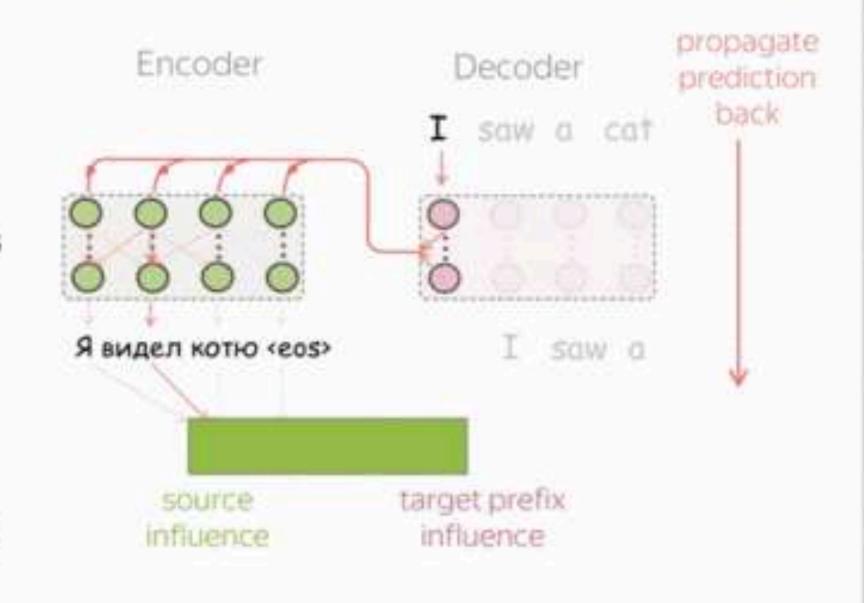
In NMT, the generation of a target token is based on two types of context: the source and the prefix of the target sentence. We show how to evaluate the relative contributions of source and target to NMT predictions and find that:

- models suffering from exposure bias are more prone to over-relying on target history (and hence to hallucinating) than the ones where the exposure bias is mitigated;
- models trained with more data rely on the source more and do it more confidently;
- the training process is non-monotonic with several distinct stages.





</> view code



October 2020

# What else?



#### Context-Aware NMT

o ACL 2018: Context-Aware NMT Learns Anaphora Resolution

When interaction with context is limited, what does a model learn?

## Context-Aware NMT

o ACL 2018: Context-Aware NMT Learns Anaphora Resolution

When interaction with context is limited, what does a model learn?

o ACL 2019: When a Good Translation is Wrong in Context

Which phenomena are the most important and how to evaluate them?

Usually, you have lots of sentence-level parallel data and only a bit of document-level. What can you do?

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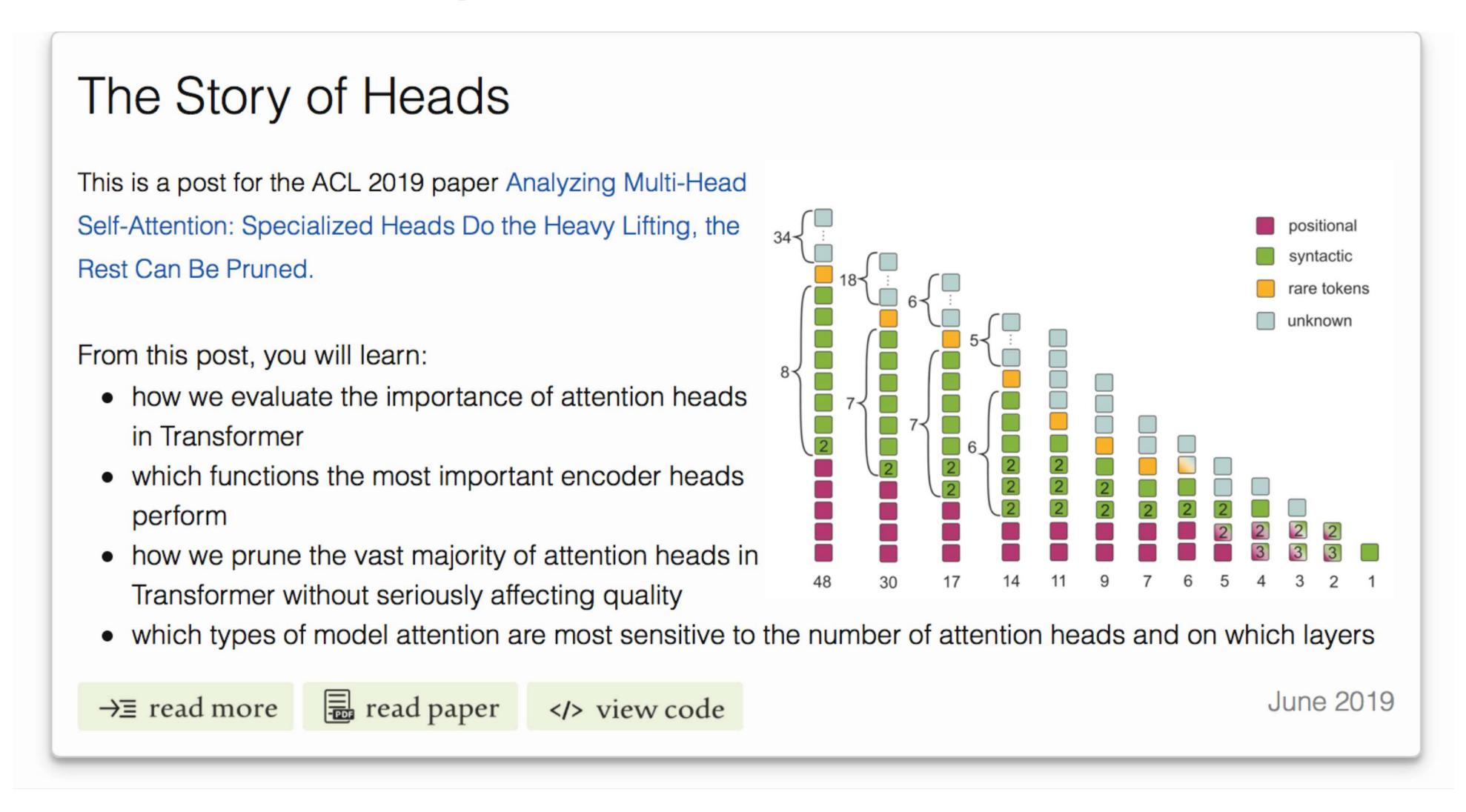
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Usually, you have lots of sentence-level parallel data and only a bit of document-level. What can you do?

o EMNLP 2019: Context-Aware Monolingual Repair for NMT

Context-Aware NMT model without parallel document-level data

# (ACL 2019) Transformer's Attention Heads: Important are Interpretable, the Rest can be Pruned

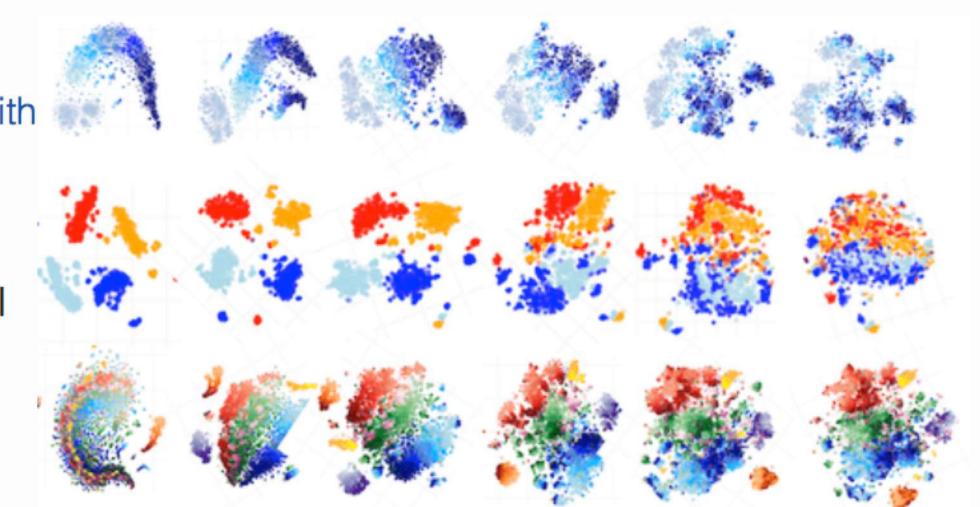


## (EMNLP 2019) Evolution of Representations in the Transformer

#### Evolution of Representations in the Transformer

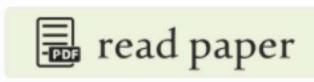
This is a post for the EMNLP 2019 paper The Bottom-up Evolution of Representations in the Transformer: A Study with Machine Translation and Language Modeling Objectives.

We look at the evolution of representations of individual tokens in Transformers trained with different training objectives (MT, LM, MLM - BERT-style) from the Information Bottleneck perspective and show, that:



- LMs gradually forget past when forming predictions about future;
- for MLMs, the evolution proceeds in two stages of context encoding and token reconstruction;
- MT representations get refined with context, but less processing is happening.

→ read more



September 2019

# (EMNLP 2020) Information-Theoretic Probing with MDL



This is a post for the EMNLP 2020 paper Information-

Theoretic Probing with Minimum Description Length.

Probing classifiers often fail to adequately reflect differences in representations and can show different results depending on hyperparameters.

Probe: Standard → Description Length how "hard" it is final Measure: to achieve it quality quality Codelength e.g., accuracy

As an alternative to the standard probes,

- we propose information-theoretic probing which measures minimum description length (MDL) of labels given representations;
- we show that MDL characterizes both probe quality and the amount of effort needed to achieve it;
- we explain how to easily measure MDL on top of standard probe-training pipelines;
- we show that results of MDL probes are more informative and stable than those of standard probes.



</> view code

March 2020

# Students/interns: BPE-Dropout (ACL 2020)

#### BPE-Dropout: Simple and Effective Subword Regularization

Ivan Provilkov\*, Dmitrii Emelianenko\*, Elena Voita

```
u-n-<u>r-e</u>-l-a-t-e-d
u-n re-l-at-<u>e-d</u>
u-n re-l-at-ed
un re-l-at-ed
un <u>re-l</u>-ated
un <u>rel-ated</u>
un <u>related</u>
un-related
unrelated
```

```
u-n_r_e_l-<u>a-t</u>-e-d
u-n<u>r-e</u>-l-a<u>t-e</u>_d
                             u-n-<u>r-e</u>-l-a_t-e-d
                            u_n re_l-<u>a-t</u>-e-d
                                                          u-n-r_e-l-at-<u>e-d</u>
u-n re-l<u>a-t</u>-e_d
                                                          <u>u-n</u>-r_e-l_at_ed
<u>u-n</u> re_l-at-e_d
                             u_n re-l-<u>at-e</u>-d
                             u_n <u>re-l</u>-ate_d
                                                          un-\underline{r-e}-l-at-ed
un re-l-at-<u>e-d</u>
                                                          un re-l<u>at-ed</u>
un re<u>l-at</u>-ed
                             u_n <u>rel-ate</u>-d
                                                          un <u>re-l</u>-ated
un <u>re-lat</u>-ed
                             u_n relate_d
                                                          un rel_ated
un relat_ed
```

BPE: deterministic

BPE-Dropout: stochastic (use in training, get profit)

# Students/interns: GraphGlove (EMNLP 2020)

#### Embedding Words in Non-Vector Space with Unsupervised Graph Learning

Max Ryabinin, Sergei Popov, Liudmila Prokhorenkova, Elena Voita

 $\frac{\mathsf{GloVe}}{\longrightarrow} \quad \mathsf{Our} : \underline{\mathsf{Graph}\,\mathsf{GloVe}} \quad \longrightarrow \quad \underline{\mathsf{Profit}}$ 

(Euclidean or Poincare)

#### Words:

vectors in a vector space

#### Distance:

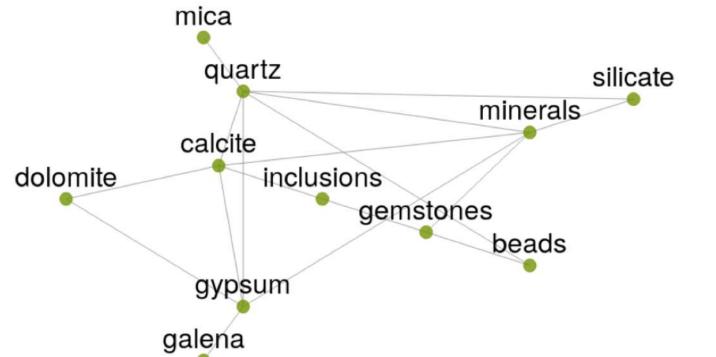
 inherited from the vector space

#### Words: Distance:

nodes in a weighted graph

# shortest path distance in the

graph



- Word similarity
- Word analogy

#### Learned Graph Structure

- structure hierarchical
- geometry non-trivial

# Thank you!

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